

# THE DIXMIER CONJECTURE AND THE SHAPE OF POSSIBLE COUNTEREXAMPLES II

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**ABSTRACT.** We continue with the investigation began in “The Dixmier conjecture and the shape of possible counterexamples”. In that paper we introduced the notion of an irreducible pair  $(P, Q)$  as the image of the pair  $(X, Y)$  of the canonical generators of  $W$  via an endomorphism which is not an automorphism, such that it cannot be made “smaller”, we let  $B$  denote the minimum of the greatest common divisor of the total degrees of  $P$  and  $Q$ , where  $(P, Q)$  runs on the irreducible pairs and we prove that  $B \geq 9$ . In the present work we improve this lower bound by proving that  $B \geq 15$ . In order to do this we need to show the the main results of our previous paper remain valid for a family of algebras  $(W^{(l)})_{l \in \mathbb{N}}$  that extend  $W$ .

## Introduction

In this paper  $K$  is a characteristic zero field,  $W$  is the Weyl algebra on  $K$ , that is the unital associative  $K$ -algebra generate by elements  $X, Y$  and the relation  $[Y, X] = 1$ . In [1] Dixmier posed a question, nowadays known as the Dixmier conjecture: is an algebra endomorphism of the Weyl algebra  $W$  on a characteristic zero field, necessarily an automorphism? Currently, the Dixmier conjecture remains open. In 2005 the stable equivalence between the Dixmier and Jacobian conjectures was established in [8] by Yoshifumi Tsuchimoto. In [3] we introduced the notion of an irreducible pair  $(P, Q)$  as the image of the pair  $(X, Y)$  of the canonical generators of  $W$  via an endomorphism which is not an automorphism, such that it cannot be made “smaller”. Following the strategy of describing the generators of possible counterexamples, we proved the following result: If the Dixmier conjecture is false, then there exist an irreducible pair  $(P, Q)$  such that the support of both  $P$  and  $Q$  is subrectangular. We also made a first “cut” at the lower right edge of the support of such pairs, which gave us a lower bound for

$$B := \min\{\gcd(v_{1,1}(P), v_{1,1}(Q)), \quad \text{where } (P, Q) \text{ is an irreducible pair}\}.$$

We managed to prove that  $B \geq 9$ .

In the present work we will start with an irreducible subrectangular pair  $(P, Q)$ , and cut further the lower right edge of the support. For this we need to embed  $P$  and  $Q$  in a bigger algebra  $W^{(l)}$ , basically adjoining fractional powers of  $X$ . As a  $K$ -linear space  $W^{(l)}$  is  $K[X, X^{-1/l}, Y]$  and the relation  $[Y, X] = 1$  is preserved.

In the first four sections we carry over the results of [3] from  $W$  to  $W^{(l)}$ . This comprehends basically the leading terms associated to a valuation, the corresponding polynomials  $f_{P,\rho,\sigma}$  and the  $(\rho, \sigma)$ -bracket. Using the same differential equation

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found in [3] we arrive at the Theorem 3.5, which asserts the existence of a  $(\rho, \sigma)$ -homogeneous element  $F$  with  $[P, F] = \ell_{\rho, \sigma}(P)$ . As in [3], it is a powerful tool to restrict the possible geometric shapes of irreducible pairs and of some pairs constructed out of them.

The central technical result in this article is Proposition 4.3 which generalizes [3, Prop.6.2]. It allows to “cut” the right lower edge of the support of a given pair in  $W^{(l)}$ . Starting from an irreducible pair  $(P_0, Q_0)$ , in Theorem 6.1 we generate a finite chain of pairs  $(P_i, Q_i)$ , with  $[P_i, Q_i]_{\rho_i, \sigma_i} = 0$  for all but the last pair.

Proposition 4.3 also allows to increase the lower bound for  $B$  from 9 to 15. For this we only have analyze extensively two cases

$$\frac{1}{m} \text{en}_{\rho, \sigma}(P) \notin \{(3, 6), (4, 6)\},$$

which is done in Proposition 5.1. To eliminate the possibility of  $B = 15$  we would have to analyze the cases  $(5, 10)$  and  $(6, 9)$ , and for  $B = 16$  we need to analyze  $(6, 10)$  and  $(4, 12)$ . Instead of making such an extensive analysis, we will develop an algorithm to carry out an exhaustive search for the smallest possible complete chain  $(S_j)$  as in Proposition 6.2, probably with the use of computers.

The present work yields the necessary tools for that purpose, which will increase significantly the lower bound for  $B$ . Additionally, it also indicates precisely the exact location of the corners of the possible counterexamples, which simplifies the search.

## 1 Preliminaries

In order to continue with the study of the irreducible pairs introduced in [3] it is convenient to consider some extensions of the Weyl algebra  $W$ . On these extensions we will make similar constructions as in [3], thus extending several results of that paper.

For each  $l \in \mathbb{N}$ , we define an algebra  $W^{(l)}$  as the Ore extension  $A[Y, \text{id}, \delta]$ , where  $A$  is the algebra of Laurent polynomials  $K[Z_l, Z_l^{-1}]$  and  $\delta: A \rightarrow A$  is the derivation, defined by  $\delta(Z_l) = \frac{1}{l} Z_l^{1-l}$ . Suppose that  $l, h \in \mathbb{N}$  such that  $l|h$  and let  $d := h/l$ . We have

$$[Y, Z_h^d] = \sum_{i=0}^{d-1} Z_h^i [Y, Z_h] Z_h^{d-i-1} = \frac{d}{h} Z_h^{d-h} = \frac{1}{l} (Z_h^d)^{1-l}.$$

Hence there is an inclusion  $\iota_l^h: W^{(l)} \rightarrow W^{(h)}$ , given by  $\iota_l^h(Z_l) := Z_h^d$  and  $\iota_l^h(Y) := Y$ .

We will write  $X^{\frac{1}{l}}$  and  $X^{\frac{-1}{l}}$  instead of  $Z_l$  and  $Z_l^{-1}$ , respectively. With this notation the map  $\iota_l^h$  satisfies  $\iota_l^h(X^{\frac{1}{l}}) = (X^{\frac{1}{h}})^d$ . We will consider  $W^{(l)} \subseteq W^{(h)}$  via this inclusion. Note that  $W \subseteq W^{(1)}$ .

Similarly, for each  $l \in \mathbb{N}$ , we consider the commutative  $K$ -algebra  $L^{(l)}$ , generated by variables  $x^{\frac{1}{l}}$ ,  $x^{\frac{-1}{l}}$  and  $y$ , subject to the relation  $x^{\frac{1}{l}} x^{\frac{-1}{l}} = 1$ . In other words  $L^{(l)} = K[x^{\frac{1}{l}}, x^{\frac{-1}{l}}, y]$ . Obviously, there is a canonical inclusion  $L^{(l)} \subseteq L^{(h)}$ , for each  $l, h \in \mathbb{N}$  such that  $l|h$ . We let  $\Psi^{(l)}: W^{(l)} \rightarrow L^{(l)}$  denote the  $K$ -linear map defined by  $\Psi^{(l)}(X^{\frac{1}{l}} Y^j) := x^{\frac{1}{l}} y^j$ . As in [3], let

$$\overline{\mathfrak{V}} := \{(\rho, \sigma) \in \mathbb{Z}^2 : \gcd(\rho, \sigma) = 1 \text{ and } \rho + \sigma \geq 0\}$$

and

$$\mathfrak{V} := \{(\rho, \sigma) \in \overline{\mathfrak{V}} : \rho + \sigma > 0\}.$$

Now we extend to the algebras  $W^{(l)}$  and  $L^{(l)}$  some well know definitions and notations given in [3] for  $W$  and the polynomial algebra  $L := K[x, y]$ .

**Definition 1.1.** For all  $(\rho, \sigma) \in \overline{\mathfrak{V}}$  and  $(i/l, j) \in \frac{1}{l}\mathbb{Z} \times \mathbb{Z}$  we write

$$v_{\rho, \sigma}(i/l, j) := \rho i/l + \sigma j.$$

**Notations 1.2.** Let  $(\rho, \sigma) \in \overline{\mathfrak{V}}$ . For  $P = \sum a_{i/l, j} x^{i/l} y^j \in L^{(l)} \setminus \{0\}$ , we define:

- The *support* of  $P$  as

$$\text{Supp}(P) := \left\{ \left( \frac{i}{l}, j \right) : a_{i/l, j} \neq 0 \right\}.$$

- The  $(\rho, \sigma)$ -*degree* of  $P$  as  $v_{\rho, \sigma}(P) := \max \left\{ v_{\rho, \sigma} \left( \frac{i}{l}, j \right) : a_{i/l, j} \neq 0 \right\}$ .

- The  $(\rho, \sigma)$ -*leading term* of  $P$  as

$$\ell_{\rho, \sigma}(P) := \sum_{\{\rho \frac{i}{l} + \sigma j = v_{\rho, \sigma}(P)\}} a_{i/l, j} x^{i/l} y^j.$$

- $w(P) := \left( \frac{i_0}{l}, \frac{j_0}{l} - v_{1, -1}(P) \right)$  such that

$$\frac{i_0}{l} = \max \left\{ \frac{i}{l} : \left( \frac{i}{l}, \frac{j}{l} - v_{1, -1}(P) \right) \in \text{Supp}(\ell_{1, -1}(P)) \right\},$$

- $\ell_c(P) := a_{i_0/l, j_0}$ , where  $\left( \frac{i_0}{l}, j_0 \right) = w(P)$ .

- $\ell_t(P) := a_{i_0/l, j_0} x^{i_0/l} y^{j_0}$ , where  $\left( \frac{i_0}{l}, j_0 \right) = w(P)$ .

- $\overline{w}(P) := \left( \frac{i_0}{l} - v_{-1, 1}(P), \frac{j_0}{l} \right)$  such that

$$\frac{i_0}{l} = \max \left\{ \frac{i}{l} : \left( \frac{i}{l} - v_{-1, 1}(P), \frac{j}{l} \right) \in \text{Supp}(\ell_{-1, 1}(P)) \right\},$$

- $\overline{\ell}_c(P) := a_{i_0/l, j_0}$ , where  $\left( \frac{i_0}{l}, j_0 \right) = \overline{w}(P)$ .

- $\overline{\ell}_t(P) := a_{i_0/l, j_0} x^{i_0/l} y^{j_0}$ , where  $\left( \frac{i_0}{l}, j_0 \right) = \overline{w}(P)$ .

**Notations 1.3.** Let  $(\rho, \sigma) \in \overline{\mathfrak{V}}$ . For  $P \in W^{(l)} \setminus \{0\}$ , we define:

- The *support* of  $P$  as  $\text{Supp}(P) := \text{Supp}(\Psi^{(l)}(P))$ .
- The  $(\rho, \sigma)$ -*degree* of  $P$  as  $v_{\rho, \sigma}(P) := v_{\rho, \sigma}(\Psi^{(l)}(P))$ .
- The  $(\rho, \sigma)$ -*leading term* of  $P$  as  $\ell_{\rho, \sigma}(P) := \ell_{\rho, \sigma}(\Psi^{(l)}(P))$ .
- $w(P) := w(\Psi^{(l)}(P))$ .
- $\ell_c(P) := \ell_c(\Psi^{(l)}(P))$ .
- $\ell_t(P) := \ell_c(P) X^{i_0/l} Y^{j_0}$ , where  $\left( \frac{i_0}{l}, j_0 \right) = w(P)$ .
- $\overline{w}(P) := \overline{w}(\Psi^{(l)}(P))$ .
- $\overline{\ell}_c(P) := \overline{\ell}_c(\Psi^{(l)}(P))$ .
- $\overline{\ell}_t(P) := \overline{\ell}_c(P) X^{i_0/l} Y^{j_0}$ , where  $\left( \frac{i_0}{l}, j_0 \right) = \overline{w}(P)$ .

**Notation 1.4.** We say that  $P \in L^{(l)}$  is  $(\rho, \sigma)$ -*homogeneous* if  $P = 0$  or  $P = \ell_{\rho, \sigma}(P)$ . Moreover we say that  $P \in W^{(l)}$  is  $(\rho, \sigma)$ -*homogeneous* if  $\Psi^{(l)}(P)$  is so.

**Definition 1.5.** Let  $P \in W^{(l)} \setminus \{0\}$ . We define

$$\text{st}_{\rho, \sigma}(P) = w(\ell_{\rho, \sigma}(P)) \quad \text{for } (\rho, \sigma) \in \overline{\mathfrak{V}} \setminus (1, -1)$$

and

$$\text{en}_{\rho, \sigma}(P) = \overline{w}(\ell_{\rho, \sigma}(P)) \quad \text{for } (\rho, \sigma) \in \overline{\mathfrak{V}} \setminus (-1, 1).$$

**Lemma 1.6.** *For each  $l \in \mathbb{N}$ , we have*

$$Y^j X^{\frac{i}{l}} = \sum_{k=0}^j k! \binom{j}{k} \binom{i/l}{k} X^{\frac{i}{l}-k} Y^{j-k}.$$

*Proof.* It follows easily using that

$$[Y, X^{\frac{i}{l}}] = \frac{i}{l} X^{\frac{i}{l}-1}, \quad [Y^j, X^{\frac{i}{l}}] = [Y, X^{\frac{i}{l}}] Y^{j-1} + Y [Y^{j-1}, X^{\frac{i}{l}}]$$

and an induction argument.  $\square$

For  $l \in \mathbb{N}$  and  $j \in \mathbb{Z}$ , we set

$$W_{j/l}^{(l)} := \left\{ P \in W^{(l)} \setminus \{0\} : P \text{ is } (1, -1)\text{-homogeneous and } v_{1,-1}(P) = \frac{j}{l} \right\} \cup \{0\}.$$

*Remark 1.7.* It is easy to see that  $W_{j/l}^{(l)}$  is a subvector space of  $W^{(l)}$ . Moreover, by Lemma 1.6, we know that  $W^{(l)}$  is a  $\frac{1}{l}\mathbb{Z}$ -graded algebra with  $W_{j/l}^{(l)}$  the  $(1, -1)$ -homogeneous component of degree  $\frac{j}{l}$ , and by [2, Lemma 2.1], we know that  $W_0^{(l)} = K[XY]$ , and hence commutative.

**Proposition 1.8.** *Let  $P, Q \in W^{(l)} \setminus \{0\}$ . The following assertions hold:*

- (1)  $w(PQ) = w(P) + w(Q)$  and  $\overline{w}(PQ) = \overline{w}(P) + \overline{w}(Q)$ . In particular  $PQ \neq 0$ .
- (2)  $\ell_{\rho,\sigma}(PQ) = \ell_{\rho,\sigma}(P)\ell_{\rho,\sigma}(Q)$  for all  $(\rho, \sigma) \in \mathfrak{V}$ .
- (3)  $v_{\rho,\sigma}(PQ) = v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q)$  for all  $(\rho, \sigma) \in \overline{\mathfrak{V}}$ .
- (4)  $\text{st}_{\rho,\sigma}(PQ) = \text{st}_{\rho,\sigma}(P) + \text{st}_{\rho,\sigma}(Q)$  for all  $(\rho, \sigma) \in \mathfrak{V}$ .
- (5)  $\text{en}_{\rho,\sigma}(PQ) = \text{en}_{\rho,\sigma}(P) + \text{en}_{\rho,\sigma}(Q)$  for all  $(\rho, \sigma) \in \mathfrak{V}$ .

*The same properties hold for  $P, Q \in L^{(l)} \setminus \{0\}$ .*

*Proof.* For  $P, Q \in W^{(l)} \setminus \{0\}$  this follows easily from Lemma 1.6 using that  $\rho + \sigma > 0$  if  $(\rho, \sigma) \in \mathfrak{V}$ . The proof for  $P, Q \in L^{(l)} \setminus \{0\}$  is easier.  $\square$

Let  $A = (a_1, a_2)$  and  $B = (b_1, b_2)$  in  $\mathbb{R}^2$ . As in [3] we say that  $A$  and  $B$  are *aligned* if  $A \times B := \det \begin{pmatrix} a_1 & a_2 \\ b_1 & b_2 \end{pmatrix}$  is zero.

**Definition 1.9.** Let  $P, Q \in L^{(l)} \setminus \{0\}$ . We say that  $P$  and  $Q$  are *aligned* and write  $P \sim Q$ , if  $w(P)$  and  $w(Q)$  are so. Moreover we say that  $P, Q \in W^{(l)} \setminus \{0\}$  are aligned if  $\Psi^{(l)}(P) \sim \Psi^{(l)}(Q)$ . Note that

- By definition  $P \sim Q$  if and only if  $\ell_{1,-1}(P) \sim \ell_{1,-1}(Q)$ .
- $\sim$  is not an equivalence relation (it is so restricted to  $\{P : w(P) \neq (0, 0)\}$ ).
- If  $P \sim Q$  and  $w(P) \neq (0, 0) \neq w(Q)$ , then  $w(P) = \lambda w(Q)$  with  $\lambda \neq 0$ .

**Proposition 1.10.** *Let  $P, Q \in W^{(l)} \setminus \{0\}$ . The following assertions hold:*

- (1) *If  $P \approx Q$ , then*

$$[P, Q] \neq 0 \quad \text{and} \quad w([P, Q]) = w(P) + w(Q) - (1, 1).$$

- (2) *If  $\overline{w}(P) \approx \overline{w}(Q)$ , then*

$$[P, Q] \neq 0 \quad \text{and} \quad \overline{w}([P, Q]) = \overline{w}(P) + \overline{w}(Q) - (1, 1).$$

*Proof.* We only prove item (1) since item (2) is similar. Let  $w(P) = (\frac{r}{l}, s)$  and  $w(Q) = (\frac{u}{l}, v)$ . Since  $\binom{s}{1} \binom{u/l}{1} - \binom{v}{1} \binom{r/l}{1} = (r/l, s) \times (u/l, v) \neq 0$ , using Lemma 1.6 one can check that

$$\ell_t([P, Q]) = \left( \binom{s}{1} \binom{u/l}{1} - \binom{v}{1} \binom{r/l}{1} \right) \ell_c(P) \ell_c(Q) X^{\frac{r+u}{l}-1} Y^{s+v-1}.$$

So,  $w([P, Q]) = w(P) + w(Q) - (1, 1)$ .  $\square$

*Remark 1.11.* For all  $P, Q \in W^{(l)} \setminus \{0\}$  and each  $(\rho, \sigma) \in \overline{\mathfrak{V}}$ , we have

$$[P, Q] = 0 \quad \text{or} \quad v_{\rho, \sigma}([P, Q]) \leq v_{\rho, \sigma}(P) + v_{\rho, \sigma}(Q) - (\rho + \sigma).$$

## 2 The bracket associated with a valuation

**Definition 2.1.** Let  $(\rho, \sigma) \in \overline{\mathfrak{V}}$  and  $P, Q \in W^{(l)} \setminus \{0\}$ . We say that  $P$  and  $Q$  are  $(\rho, \sigma)$ -proportional if  $[P, Q] = 0$  or  $v_{\rho, \sigma}([P, Q]) < v_{\rho, \sigma}(P) + v_{\rho, \sigma}(Q) - (\rho + \sigma)$ .

**Definition 2.2.** Let  $l \in \mathbb{N}$  and  $(\rho, \sigma) \in \overline{\mathfrak{V}}$ . We define

$$[-, -]_{\rho, \sigma} : (W^{(l)} \setminus \{0\}) \times (W^{(l)} \setminus \{0\}) \rightarrow L_{\rho, \sigma}^{(l)},$$

by

$$[P, Q]_{\rho, \sigma} = \begin{cases} 0 & \text{if } P \text{ and } Q \text{ are } (\rho, \sigma)\text{-proportional,} \\ \ell_{\rho, \sigma}([P, Q]) & \text{if } P \text{ and } Q \text{ are not } (\rho, \sigma)\text{-proportional.} \end{cases}$$

**Lemma 2.3.** Let  $(\rho, \sigma) \in \mathfrak{V}$  and let  $P$  and  $Q$  be  $(\rho, \sigma)$ -homogeneous elements of  $W^{(l)} \setminus \{0\}$ . Assume that  $\sigma \leq 0$ .

- (1) If  $w(P) \approx w(Q)$ , then  $[P, Q] \neq 0$  and  $w([P, Q]) = w(\ell_{\rho, \sigma}([P, Q]))$ .
- (2) If  $\overline{w}(P) \approx \overline{w}(Q)$ , then  $[P, Q] \neq 0$  and  $\overline{w}([P, Q]) = \overline{w}(\ell_{\rho, \sigma}([P, Q]))$ .

*Proof.* We only prove item (1) since item (2) is similar. Write

$$P = \sum_{i=0}^{\alpha} \lambda_i X^{\frac{r}{l} - \frac{i\sigma}{\rho}} Y^{s+i} \quad \text{and} \quad Q = \sum_{j=0}^{\beta} \mu_j X^{\frac{u}{l} - \frac{j\sigma}{\rho}} Y^{v+j},$$

with  $\lambda_0, \lambda_{\alpha}, \mu_0, \mu_{\beta} \neq 0$ . Since, by Lemma 1.6,

$$X^{\frac{r}{l}} Y^s X^{\frac{u}{l} - \frac{j'\sigma}{\rho}} Y^{v+j'} = \sum_{k=0}^j k! \binom{j}{k} \binom{i'/l}{k} X^{\frac{r+u}{l} - \frac{(i+j')\sigma}{\rho} - k} Y^{s+v+i+j-k},$$

we obtain that

$$[P, Q] = \sum_{i=0}^{\alpha} \sum_{j=0}^{\beta} \sum_{k=0}^{\max\{s+i, v+j\}} \lambda_i \mu_j c_{ijk} X^{\frac{r+u}{l} - \frac{(i+j)\sigma}{\rho} - k} Y^{s+v+i+j-k},$$

where

$$c_{ijk} = k! \binom{s+i}{k} \binom{u/l - j\sigma/\rho}{k} - k! \binom{v+j}{k} \binom{r/l - i\sigma/\rho}{k}.$$

Note that  $c_{ij0} = 0$ . Furthermore  $c_{001} \neq 0$ , because  $w(P) \approx w(Q)$ . Consequently, since  $\rho + \sigma > 0$ ,

$$\ell_{\rho, \sigma}([P, Q]) = \sum_{i=0}^{\alpha} \sum_{j=0}^{\beta} \lambda_i \mu_j c_{ij1} x^{\frac{r+u}{l} - \frac{(i+j)\sigma}{\rho} - 1} y^{s+v+i+j-1}.$$

Using again that  $c_{001} \neq 0$ , we obtain that

$$w([P, Q]) = \left( \frac{r+u}{l} - 1, s+v-1 \right) = w(\ell_{\rho, \sigma}([P, Q])),$$

as desired.  $\square$

**Proposition 2.4.** Let  $P, Q, R \in W^{(l)} \setminus \{0\}$  such that  $[P, Q]_{\rho, \sigma} = \ell_{\rho, \sigma}(R)$ , where  $(\rho, \sigma) \in \mathfrak{V}$ . Assume that  $\sigma \leq 0$ . We have

(1) If  $\text{st}_{\rho,\sigma}(P) \approx \text{st}_{\rho,\sigma}(Q)$ , then

$$\text{st}_{\rho,\sigma}(P) + \text{st}_{\rho,\sigma}(Q) - (1, 1) = \text{st}_{\rho,\sigma}(R).$$

(2) If  $\text{en}_{\rho,\sigma}(P) \approx \text{en}_{\rho,\sigma}(Q)$ , then

$$\text{en}_{\rho,\sigma}(P) + \text{en}_{\rho,\sigma}(Q) - (1, 1) = \text{en}_{\rho,\sigma}(R).$$

*Proof.* We only prove item (1) and leave the proof of item (2), which is similar, to the reader. Let  $P_1$  and  $Q_1$  be  $(\rho, \sigma)$ -homogeneous elements of  $W^{(l)} \setminus \{0\}$ , such that

$$v_{\rho,\sigma}(P - P_1) < v_{\rho,\sigma}(P_1) \quad \text{and} \quad v_{\rho,\sigma}(Q - Q_1) < v_{\rho,\sigma}(Q_1). \quad (2.1)$$

Since

$$[P, Q] = [P_1, Q_1] + [P_1, Q - Q_1] + [P - P_1, Q],$$

and, by Remark 1.11, we have

$$\begin{aligned} v_{\rho,\sigma}([P_1, Q - Q_1]) &\leq v_{\rho,\sigma}(P_1) + v_{\rho,\sigma}(Q - Q_1) - (\rho + \sigma) \\ &< v_{\rho,\sigma}(P_1) + v_{\rho,\sigma}(Q_1) - (\rho + \sigma) \\ &= v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q) - (\rho + \sigma) \end{aligned}$$

and

$$v_{\rho,\sigma}([P - P_1, Q]) < v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q) - (\rho + \sigma),$$

it follows from the fact that  $P$  and  $Q$  are not  $(\rho, \sigma)$ -proportional, that

$$v_{\rho,\sigma}([P, Q] - [P_1, Q_1]) < v_{\rho,\sigma}([P, Q]). \quad (2.2)$$

Note that (2.1) and (2.2) imply

$$\ell_{\rho,\sigma}(P) = \ell_{\rho,\sigma}(P_1), \quad \ell_{\rho,\sigma}(Q) = \ell_{\rho,\sigma}(Q_1) \quad \text{and} \quad \ell_{\rho,\sigma}([P, Q]) = \ell_{\rho,\sigma}([P_1, Q_1]).$$

Consequently, by item (1) of Proposition 1.10 and item (1) of Lemma 2.3,

$$\begin{aligned} \text{st}_{\rho,\sigma}(P) + \text{st}_{\rho,\sigma}(Q) - (1, 1) &= \text{st}_{\rho,\sigma}(P_1) + \text{st}_{\rho,\sigma}(Q_1) - (1, 1) \\ &= w(P_1) + w(Q_1) - (1, 1) \\ &= w([P_1, Q_1]) \\ &= w(\ell_{\rho,\sigma}([P_1, Q_1])) \\ &= w(\ell_{\rho,\sigma}([P, Q])) \\ &= w(\ell_{\rho,\sigma}(R)) \\ &= \text{st}_{\rho,\sigma}(R), \end{aligned}$$

as desired.  $\square$

**Proposition 2.5.** Let  $(\rho, \sigma) \in \mathfrak{V}$  and  $P, Q \in W^{(l)} \setminus \{0\}$ . Assume that  $\sigma \leq 0$ . If

$$\ell_{\rho,\sigma}(P) = \sum_{i=0}^{\alpha} \lambda_i x^{\frac{r}{t} - \frac{i\sigma}{\rho}} y^{s+i} \quad \text{and} \quad \ell_{\rho,\sigma}(Q) = \sum_{j=0}^{\beta} \mu_j x^{\frac{u}{t} - \frac{j\sigma}{\rho}} y^{v+j},$$

with  $\lambda_0, \lambda_\alpha, \mu_0, \mu_\beta \neq 0$ , then

$$[P, Q]_{\rho,\sigma} = \sum \lambda_i \mu_j c_{ij} x^{\frac{r+u}{t} - \frac{(i+j)\sigma}{\rho} - 1} y^{s+v+i+j-1}.$$

where  $c_{ij} = \left(\frac{u}{t} - \frac{j\sigma}{\rho}, v+j\right) \times \left(\frac{r}{t} - \frac{i\sigma}{\rho}, s+i\right)$ .

*Proof.* Write

$$P = \sum_{i=0}^{\alpha} \lambda_i X^{\frac{\tau}{t} - \frac{i\sigma}{\rho}} Y^{s+i} + R_P \quad \text{and} \quad Q = \sum_{j=0}^{\beta} \mu_j X^{\frac{u}{t} - \frac{j\sigma}{\rho}} Y^{v+j} + R_Q.$$

Since  $R_P = 0$  or  $v_{\rho,\sigma}(R_P) < v_{\rho,\sigma}(P)$ , and  $R_Q = 0$  or  $v_{\rho,\sigma}(R_Q) < v_{\rho,\sigma}(Q)$ , from Remark 1.11 it follows that

$$[P, Q] = \sum_{i=0}^{\alpha} \sum_{j=0}^{\beta} \lambda_i \mu_j \left[ X^{\frac{\tau}{t} - \frac{i\sigma}{\rho}} Y^{s+i}, X^{\frac{u}{t} - \frac{j\sigma}{\rho}} Y^{v+j} \right] + R, \quad (2.3)$$

where  $R = 0$  or  $v_{\rho,\sigma}(R) < v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q) - (\rho + \sigma)$ . Now, since  $\rho + \sigma > 0$  and by Lemma 1.6,

$$X^{\frac{\tau}{t}} Y^j X^{\frac{i'}{t}} Y^{j'} = \sum_{k=0}^j k! \binom{j}{k} \binom{i'/l}{k} X^{\frac{i+i'}{t} - k} Y^{j+j'-k},$$

we obtain that

$$\left[ X^{\frac{\tau}{t} - \frac{i\sigma}{\rho}} Y^{s+i}, X^{\frac{u}{t} - \frac{j\sigma}{\rho}} Y^{v+j} \right] = c_{ij} X^{\frac{\tau+u}{t} - \frac{(i+j)\sigma}{\rho} - 1} Y^{s+v+i+j-1} + R_{ij}, \quad (2.4)$$

with  $R_{ij} = 0$  or  $v_{\rho,\sigma}(R_{ij}) < v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q) - (\rho + \sigma)$ . Combining (2.3) with (2.4), we obtain that

$$[P, Q] = \sum_{i=0}^{\alpha} \sum_{j=0}^{\beta} \lambda_i \mu_j c_{ij} X^{\frac{\tau+u}{t} - \frac{(i+j)\sigma}{\rho} - 1} Y^{s+v+i+j-1} + R_{PQ},$$

where  $R_{PQ} = 0$  or  $v_{\rho,\sigma}(R_{PQ}) < v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q) - (\rho + \sigma)$ . Now, since

$$v_{\rho,\sigma} \left( X^{\frac{\tau+u}{t} - \frac{(i+j)\sigma}{\rho} - 1} Y^{s+v+i+j-1} \right) = v_{\rho,\sigma}(P) + v_{\rho,\sigma}(Q) - (\rho + \sigma),$$

the result follows immediately.  $\square$

**Corollary 2.6.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  and  $P, Q, P_1, Q_1 \in W^{(l)} \setminus \{0\}$ . Assume that  $\sigma \leq 0$ . If  $\ell_{\rho,\sigma}(P) = \ell_{\rho,\sigma}(P_1)$  and  $\ell_{\rho,\sigma}(Q) = \ell_{\rho,\sigma}(Q_1)$ , then  $[P, Q]_{\rho,\sigma} = [P_1, Q_1]_{\rho,\sigma}$ .*

*Proof.* By Proposition 2.5.  $\square$

**Corollary 2.7.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  and  $P, Q \in W^{(l)} \setminus \{0\}$ . If  $[P, Q]_{\rho,\sigma} = 0$  and  $\sigma \leq 0$ , then*

$$\text{st}_{\rho,\sigma}(P) \sim \text{st}_{\rho,\sigma}(Q) \quad \text{and} \quad \text{en}_{\rho,\sigma}(P) \sim \text{en}_{\rho,\sigma}(Q).$$

*Proof.* This follows immediately from Proposition 2.5, since

$$\text{st}_{\rho,\sigma}(P) \times \text{st}_{\rho,\sigma}(Q) = c_{00} \quad \text{and} \quad \text{en}_{\rho,\sigma}(P) \times \text{en}_{\rho,\sigma}(Q) = c_{\alpha\beta},$$

where we are using the same notations as in the statement of that result.  $\square$

*Remark 2.8.* Until now all definitions and notations we have introduced, when applied to  $P \in W \subseteq W^{(1)}$ , coincide with those given in [3]. This is not the case with the following definition.

**Definition 2.9.** Given  $P \in L^{(l)} \setminus \{0\}$  and  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$ , we write

$$f_{P,\rho,\sigma}^{(l)} := \sum_{i=0}^{\gamma} a_i x^i \in K[x],$$

if

$$\ell_{\rho,\sigma}(P) = \sum_{i=0}^{\gamma} a_i x^{\frac{\tau}{t} - \frac{i\sigma}{\rho}} y^{s+i} \quad \text{with } a_0 \neq 0 \text{ and } a_{\gamma} \neq 0.$$

Now, for  $P \in W^{(l)}$  we set  $f_{P,\rho,\sigma}^{(l)} := f_{\Psi^{(l)}(P),\rho,\sigma}^{(l)}$ . Note that

$$\text{st}_{\rho,\sigma}(P) = \left(\frac{r}{l}, s\right), \quad \text{en}_{\rho,\sigma}(P) = \left(\frac{r}{l} - \frac{\gamma\sigma}{\rho}, s + \gamma\right) \quad (2.5)$$

and

$$\ell_{\rho,\sigma}(P) = x^{\frac{r}{l}} y^s f_{P,\rho,\sigma}^{(l)}(x^{-\frac{\sigma}{\rho}} y). \quad (2.6)$$

*Remark 2.10.* Let  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$  and let  $P \in W \setminus \{0\}$ . Comparing Definition 2.9 and [3, Def. 1.20], we obtain that

$$f_{P,\rho,\sigma}^{(l)}(x) = f_{P,\rho,\sigma}(x^\rho).$$

The same formula is valid for  $P \in L \setminus \{0\}$ .

*Remark 2.11.* Let  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$ . From Proposition 1.8 it follows immediately that

$$f_{PQ,\rho,\sigma}^{(l)} = f_{P,\rho,\sigma}^{(l)} f_{Q,\rho,\sigma}^{(l)} \quad \text{for } P, Q \in W^{(l)} \setminus \{0\}.$$

The same result holds for  $P, Q \in L^{(l)} \setminus \{0\}$ .

Item (2) of the following theorem justifies the terminology “ $(\rho, \sigma)$ -proportional” introduced in Definition 2.1.

**Theorem 2.12.** *Let  $P, Q \in W^{(l)} \setminus \{0\}$  and  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$ . Set  $a := \frac{1}{\rho} v_{\rho,\sigma}(Q)$  and  $b := \frac{1}{\rho} v_{\rho,\sigma}(P)$ .*

- (1) *If  $[P, Q]_{\rho,\sigma} \neq 0$ , then there exist  $h \in \mathbb{N}_0$  and  $c \in \mathbb{Z}$ , such that*

$$x^h f_{[P,Q]} = c f_P f_Q + a x f'_P f_Q - b x f'_Q f_P,$$

*where  $f_P := f_{P,\rho,\sigma}^{(l)}$ ,  $f_Q := f_{Q,\rho,\sigma}^{(l)}$  and  $f_{[P,Q]} := f_{[P,Q],\rho,\sigma}^{(l)}$ .*

- (2) *If  $[P, Q]_{\rho,\sigma} = 0$  and  $a, b > 0$ , then there exist  $\lambda_P, \lambda_Q \in K^\times$ ,  $m, n \in \mathbb{N}$  and a  $(\rho, \sigma)$ -homogeneous polynomial  $R \in L^{(l)}$ , with  $\gcd(m, n) = 1$  and  $m/n = b/a$ , such that*

$$\ell_{\rho,\sigma}(P) = \lambda_P R^m \quad \text{and} \quad \ell_{\rho,\sigma}(Q) = \lambda_Q R^n.$$

*Proof.* Write

$$\ell_{\rho,\sigma}(P) = \sum_{i=0}^{\alpha} \lambda_i x^{\frac{r}{l}} y^{s+i} \quad \text{and} \quad \ell_{\rho,\sigma}(Q) = \sum_{j=0}^{\beta} \mu_j x^{\frac{u}{l}} y^{v+j},$$

with  $\lambda_0, \lambda_\alpha, \mu_0, \mu_\beta \neq 0$ . By Proposition 2.5,

$$[P, Q]_{\rho,\sigma} = \sum \lambda_i \mu_j c_{ij} x^{\frac{r+u}{l} - \frac{(i+j)\sigma}{\rho} - 1} y^{s+v+i+j-1},$$

where  $c_{ij} := \left(\frac{u}{l} - \frac{j\sigma}{\rho}, v+j\right) \times \left(\frac{r}{l} - \frac{i\sigma}{\rho}, s+i\right)$ . Set

$$F(x) := \sum_{i,j} \lambda_i \mu_j c_{ij} x^{i+j}.$$

Note that if  $[P, Q]_{\rho,\sigma} = 0$ , then  $F = 0$ , and if  $[P, Q]_{\rho,\sigma} \neq 0$ , then  $F = x^h f_{[P,Q]}$ , where  $h$  is the multiplicity of  $x$  in  $F$ . Note that

$$a = \left(\frac{u}{l}, v\right) \times \left(-\frac{\sigma}{\rho}, 1\right) \quad \text{and} \quad b = -\left(-\frac{\sigma}{\rho}, 1\right) \times \left(\frac{r}{l}, s\right).$$

Let

$$c := \left(\frac{u}{l}, v\right) \times \left(\frac{r}{l}, s\right).$$



Clearly  $c_{ij} = c + ia - jb$ . Since

$$\sum_{i,j} \lambda_i \mu_j x^{i+j} = f_P f_Q, \quad \sum_{i,j} i \lambda_i \mu_j x^{i+j} = x f'_P f_Q \quad \text{and} \quad \sum_{i,j} j \lambda_i \mu_j x^{i+j} = x f'_Q f_P.$$

we obtain

$$F = c f_P f_Q + a x f'_P f_Q - b x f'_Q f_P. \quad (2.7)$$

Item (1) follows immediately from this fact. Assume now that  $[P, Q]_{\rho, \sigma} = 0$  and that  $a, b > 0$ . In this case  $F = 0$  and, in particular,  $c = c_{00} = \frac{F(0)}{\lambda_0 \mu_0} = 0$ . Hence, (2.7) becomes

$$a f'_P f_Q - b f'_Q f_P = 0. \quad (2.8)$$

Let  $\bar{l} \in \mathbb{N}$  be such that  $\bar{a} := \bar{l}a$  and  $\bar{b} := \bar{l}b$  are natural numbers. Since (2.8) implies  $(f_P^{\bar{a}}/f_Q^{\bar{b}})' = 0$ , there exists  $\lambda \in K^\times$ , such that  $f_P^{\bar{a}} = \lambda f_Q^{\bar{b}}$ . Hence, there are  $g \in K[x]$  and  $\lambda_P, \lambda_Q \in K^\times$ , such that

$$f_P = \lambda_P g^m \quad \text{and} \quad f_Q = \lambda_Q g^n, \quad (2.9)$$

where  $m := \bar{b}/\gcd(\bar{a}, \bar{b})$  and  $n := \bar{a}/\gcd(\bar{a}, \bar{b})$ . Now, note that  $\{(s, -\frac{r}{l}), (\rho, \sigma)\}$  is a basis of  $\mathbb{Q} \times \mathbb{Q}$  as a  $\mathbb{Q}$ -vector space, since

$$\left(s, -\frac{r}{l}\right) \times (\rho, \sigma) = \left(\frac{r}{l}, s\right) \cdot (\rho, \sigma) = v_{\rho, \sigma}(P) = \rho b > 0,$$

where the dot denotes the usual inner product. Hence, from

$$\rho b \left(\frac{u}{l}, v\right) \cdot \left(s, -\frac{r}{l}\right) = \rho b \left(\frac{u}{l}, v\right) \times \left(\frac{r}{l}, s\right) = \rho b c = 0 = \rho a \left(\frac{r}{l}, s\right) \cdot \left(s, -\frac{r}{l}\right)$$

and

$$\rho b \left(\frac{u}{l}, v\right) \cdot (\rho, \sigma) = v_{\rho, \sigma}(P) v_{\rho, \sigma}(Q) = v_{\rho, \sigma}(Q) v_{\rho, \sigma}(P) = \rho a \left(\frac{r}{l}, s\right) \cdot (\rho, \sigma),$$

it follows that  $\bar{b}(u, lv) = \bar{a}(r, ls)$ . Consequently  $m(u, lv) = n(r, ls)$ , and so there exists  $(p, \bar{q}) \in \mathbb{Z} \times \mathbb{N}_0$ , such that

$$(u, lv) = n(p, \bar{q}) \quad \text{and} \quad (r, ls) = m(p, \bar{q}).$$

In particular  $l|n\bar{q}$  and  $l|m\bar{q}$ , and so  $l|\bar{q}$ , since  $m$  and  $n$  are coprime. Hence,

$$\left(\frac{u}{l}, v\right) = n \left(\frac{p}{l}, q\right) \quad \text{and} \quad \left(\frac{r}{l}, s\right) = m \left(\frac{p}{l}, q\right), \quad (2.10)$$

where  $q := \bar{q}/l$ . If  $g = \sum_{i=0}^{\gamma} \nu_i x^i$  with  $\nu_\gamma \neq 0$ , then, by (2.9) and (2.10),

$$R := \sum_{i=0}^{\gamma} \nu_i x^{\frac{p}{l} - i \frac{\sigma}{\rho}} y^{q+i}$$

fulfills

$$\ell_{\rho, \sigma}(P) = x^{\frac{r}{l}} y^s f_P(x^{-\frac{\sigma}{\rho}} y) = \lambda_P (x^{\frac{r}{l}} y^q g(x^{-\frac{\sigma}{\rho}} y))^m = \lambda_P R^m$$

and

$$\ell_{\rho, \sigma}(Q) = x^{\frac{r}{l}} y^v f_Q(x^{-\frac{\sigma}{\rho}} y) = \lambda_Q (x^{\frac{r}{l}} y^q g(x^{-\frac{\sigma}{\rho}} y))^n = \lambda_Q R^n.$$

In order to finish the proof it suffices to check that  $R \in L^{(l)}$ . First note that  $R$  belongs to the field of fractions of  $L^{(l)}$ , because  $R^m, R^n \in L^{(l)}$  and  $\gcd(m, n) = 1$ . But then  $R \in L^{(l)}$ , since  $R^m \in L^{(l)}$ .  $\square$

**Lemma 2.13.** *Let  $C, E \in W^{(l)} \setminus \{0\}$ ,  $m \in \mathbb{N}$  and  $(\rho, \sigma) \in \mathfrak{V}$ . If  $[C, E] \neq 0$ , then*

$$[C^m, E] \neq 0 \quad \text{and} \quad \ell_{\rho, \sigma}([C^m, E]) = m \ell_{\rho, \sigma}(C)^{m-1} \ell_{\rho, \sigma}([C, E]).$$

*Moreover  $[C^m, E]_{\rho, \sigma} \neq 0$  if and only if  $[C, E]_{\rho, \sigma} \neq 0$ .*

*Proof.* Since

$$[C^m, E] = \sum_{i=0}^{m-1} C^i [C, E] C^{m-i-1}$$

and, by Proposition 1.8,

$$\ell_{\rho, \sigma}(C^i [C, E] C^{m-i-1}) = \ell_{\rho, \sigma}(C)^{m-1} \ell_{\rho, \sigma}([C, E]),$$

we have

$$\ell_{\rho, \sigma}([C^m, E]) = m \ell_{\rho, \sigma}(C)^{m-1} \ell_{\rho, \sigma}([C, E]),$$

In order to prove the last assertion note that, again by Proposition 1.8,

$$v_{\rho, \sigma}([C^m, E]) = v_{\rho, \sigma}(C^m) + v_{\rho, \sigma}([C, E]) - v_{\rho, \sigma}(C),$$

and so

$$v_{\rho, \sigma}([C^m, E]) = v_{\rho, \sigma}(C^m) + v_{\rho, \sigma}(E) - (\rho + \sigma)$$

if and only if

$$v_{\rho, \sigma}([C, E]) = v_{\rho, \sigma}(C) + v_{\rho, \sigma}(E) - (\rho + \sigma),$$

which by Definition 2.2, means that  $[C^m, E]_{\rho, \sigma} \neq 0 \Leftrightarrow [C, E]_{\rho, \sigma} \neq 0$ .  $\square$

**Lemma 2.14.** *Let  $A, B \in L^{(l)}$  and  $C \in L^{(l')}$ , where  $l|l'$ . If  $AC = B$ , then  $C \in L^{(l)}$ .*

*Proof.* Consider the  $K(y)$ -polynomial algebras  $K(y)[x^{\frac{1}{l}}] \subseteq K(y)[x^{\frac{1}{l'}}]$ . By the division algorithm there exists  $D, R \in K(y)[x^{\frac{1}{l}}]$  such that

$$CA = B = DA + R \quad \text{and} \quad R = 0 \text{ or } \deg_l(R) < \deg_l(A),$$

where  $\deg_l$  denotes usual the degree in  $x^{\frac{1}{l}}$ . Let  $\deg_{l'}$  be the degree in  $x^{\frac{1}{l'}}$ . Since  $\deg_{l'} = \frac{l'}{l} \deg_l$  the result follows from the uniqueness of the division algorithm.  $\square$

**Theorem 2.15.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$  and let  $C, D \in W^{(l)} \setminus \{0\}$  with  $v_{\rho, \sigma}(C) > 0$ . If*

$$[C^k, D]_{\rho, \sigma} = \ell_{\rho, \sigma}(C^{k+j}) \quad \text{for some } k \in \mathbb{N} \text{ and } j \in \mathbb{N}_0, \quad (2.11)$$

*then there exists a  $(\rho, \sigma)$ -homogeneous element  $E \in W^{(l)}$ , such that*

$$[C^t, E]_{\rho, \sigma} = t \ell_{\rho, \sigma}(C^t) \quad \text{for all } t \in \mathbb{N}.$$

*Proof.* By equality (2.11) and items (2) and (3) of Proposition 1.8, we have

$$(k+j)v_{\rho, \sigma}(C) = kv_{\rho, \sigma}(C) + v_{\rho, \sigma}(D) - (\rho + \sigma) \quad \text{and} \quad \ell_{\rho, \sigma}([C^k, D]) = \ell_{\rho, \sigma}(C^{k+j}),$$

and so,

$$v_{\rho, \sigma}(D) = jv_{\rho, \sigma}(C) + \rho + \sigma \quad \text{and} \quad f_{[C^k, D], \rho, \sigma}^{(l)} = f_{C^{k+j}, \rho, \sigma}^{(l)}. \quad (2.12)$$

Hence, by item (1) of Theorem 2.12 and Remark 2.11, there exist  $h \in \mathbb{N}_0$  and  $c \in \mathbb{Z}$ , such that

$$x^h f^{k+j} = c f^k g + a x(f^k)' g - b x f^k g',$$

where

$$f := f_{C, \rho, \sigma}^{(l)}, \quad g := f_{D, \rho, \sigma}^{(l)}, \quad a := \frac{1}{\rho} v_{\rho, \sigma}(D) \quad \text{and} \quad b := \frac{1}{\rho} v_{\rho, \sigma}(C^k) = \frac{k}{\rho} v_{\rho, \sigma}(C).$$

Note that, since

$$a = \frac{j}{k} b + \varepsilon,$$

with  $\varepsilon := 1 + \frac{\sigma}{\rho}$ , the pair  $(f, g)$  fulfills the condition PE( $k, j, \varepsilon, b, c$ ), introduced in [3, Def. 1.23]. By [3, Prop. 1.24] there exists  $\bar{g} \in K[x]$  such that  $g = f^j \bar{g}$ . Set  $\alpha := \deg f$ ,  $\beta := \deg g$  and write

$$\ell_{\rho, \sigma}(C) = \sum_{i=0}^{\alpha} \lambda_i x^{\frac{\alpha}{l} - i \frac{\sigma}{\rho}} y^{s+i} \quad \text{and} \quad \ell_{\rho, \sigma}(D) = \sum_{i=0}^{\beta} \mu_i x^{\frac{\beta}{l} - i \frac{\sigma}{\rho}} y^{v+i},$$

with  $\lambda_0, \lambda_\alpha, \mu_0, \mu_\beta \neq 0$ . By item (2) of Proposition 1.8,

$$\ell_{\rho,\sigma}(C^k) = \ell_{\rho,\sigma}(C)^k = \left( \sum_{i=0}^{\alpha} \lambda_i x^{\frac{\tau}{l} - i \frac{\sigma}{\rho}} y^{s+i} \right)^k = \sum_{i=0}^{k\alpha} \bar{\lambda}_i x^{k \frac{\tau}{l} - i \frac{\sigma}{\rho}} y^{ks+i}$$

with each  $\bar{\lambda}_i \in K$ . Let  $\gamma := \beta - j\alpha$  be the degree of  $\bar{g}$  and write  $\bar{g} = \sum_{i=0}^{\gamma} \eta_i x^i$ . Note that  $\eta_0 \neq 0$ , since  $f^j(0)\eta_0 = f^j(0)\bar{g}(0) = g(0) \neq 0$ . We define

$$E := k \sum_{i=0}^{\gamma} \eta_i X^{\frac{u}{l} - j \frac{\tau}{l} - i \frac{\sigma}{\rho}} Y^{v-j s + i}.$$

We claim that  $E \in W^{(l')}$ , where  $l' := \text{lcm}(l, \rho)$ . For this it suffices to check that

$$v - js \geq 0.$$

We consider the two cases

$$\text{st}_{\rho,\sigma}(D) \sim \text{st}_{\rho,\sigma}(C) \quad \text{and} \quad \text{st}_{\rho,\sigma}(D) \approx \text{st}_{\rho,\sigma}(C).$$

Note that  $(\frac{\tau}{l}, s) = \text{st}_{\rho,\sigma}(C) \neq (0, 0)$ , since  $v_{\rho,\sigma}(\text{st}_{\rho,\sigma}(C)) = v_{\rho,\sigma}(C) > 0$ . Hence, if  $\text{st}_{\rho,\sigma}(D) \sim \text{st}_{\rho,\sigma}(C)$ , then there exists  $\lambda \in K$  such that

$$\left( \frac{u}{l}, v \right) = \text{st}_{\rho,\sigma}(D) = \lambda \text{st}_{\rho,\sigma}(C) = \lambda \left( \frac{\tau}{l}, s \right).$$

Consequently, by the first equality in (2.12),

$$jv_{\rho,\sigma}(C) + \rho + \sigma = v_{\rho,\sigma}(D) = v_{\rho,\sigma}(\text{st}_{\rho,\sigma}(D)) = \lambda v_{\rho,\sigma}(\text{st}_{\rho,\sigma}(C)) = \lambda v_{\rho,\sigma}(C),$$

which implies  $\lambda > j$ , since  $v_{\rho,\sigma}(C) > 0$  and  $\rho + \sigma > 0$ . But then  $v - js = (\lambda - j)s \geq 0$  as we want. Assume now that  $\text{st}_{\rho,\sigma}(D) \approx \text{st}_{\rho,\sigma}(C)$ . Then

$$\text{st}_{\rho,\sigma}(D) \approx \text{st}_{\rho,\sigma}(C^k),$$

since  $\text{st}_{\rho,\sigma}(C^k) = k \text{st}_{\rho,\sigma}(C)$  by item (4) of Proposition 1.8. Hence, equality (2.11), Proposition 2.4 and item (4) of Proposition 1.8 yields

$$\text{st}_{\rho,\sigma}(D) + k \text{st}_{\rho,\sigma}(C) - (1, 1) = (k + j) \text{st}_{\rho,\sigma}(C),$$

which implies  $(\frac{u}{l}, v) = j(\frac{\tau}{l}, s) + (1, 1)$ , and so  $v - js = 1 > 0$ , which ends the proof of the claim.

Now, since  $\eta_0 \neq 0$  and  $\eta_\gamma \neq 0$ , we have  $\bar{g} = f_{\frac{1}{k}E, \rho, \sigma}^{(l)}$ . Thus, by Proposition 1.8 and equality (2.6),

$$\begin{aligned} \ell_{\rho,\sigma} \left( \frac{1}{k} EC^j \right) &= \ell_{\rho,\sigma} \left( \frac{1}{k} E \right) \ell_{\rho,\sigma}(C)^j \\ &= x^{\frac{u-j\tau}{l}} y^{v-js} \bar{g}(x^{-\frac{\sigma}{\rho}} y) \left( x^{\frac{\tau}{l}} y^s f(x^{-\frac{\sigma}{\rho}} y) \right)^j \\ &= x^{\frac{u}{l}} y^v g(x^{-\frac{\sigma}{\rho}} y) \\ &= \ell_{\rho,\sigma}(D). \end{aligned}$$

Consequently, by Lemma 2.14,  $\ell_{\rho,\sigma}(E) \in L^{(l)}$  and, since  $\Psi^{(l)}(E) = \ell_{\rho,\sigma}(E)$ , we have  $E \in W^{(l)}$ . Moreover, by Corollary 2.6, equality (2.11) and item (2) of Proposition 1.8,

$$\left[ C^k, \frac{1}{k} EC^j \right]_{\rho,\sigma} = [C^k, D]_{\rho,\sigma} = \ell_{\rho,\sigma}(C^{k+j}) = \ell_{\rho,\sigma}(C)^{k+j}. \quad (2.13)$$

Hence  $[C^k, \frac{1}{k} EC^j]_{\rho,\sigma} \neq 0$ , and so, by items (2) and (3) of Proposition 1.8,

$$\begin{aligned} v_{\rho,\sigma} \left( \left[ C^k, \frac{1}{k} EC^j \right] \right) &= v_{\rho,\sigma}(C^k) + v_{\rho,\sigma} \left( \frac{1}{k} EC^j \right) - (\rho + \sigma) \\ &= (k + j)v_{\rho,\sigma}(C) + v_{\rho,\sigma}(E) - (\rho + \sigma) \end{aligned} \quad (2.14)$$

and

$$\begin{aligned}
\left[ C^k, \frac{1}{k} EC^j \right]_{\rho, \sigma} &= \ell_{\rho, \sigma} \left( \left[ C^k, \frac{1}{k} EC^j \right] \right) \\
&= \ell_{\rho, \sigma} \left( \left[ C^k, \frac{1}{k} E \right] C^j \right) \\
&= \frac{1}{k} \ell_{\rho, \sigma}([C^k, E]) \ell_{\rho, \sigma}(C)^j \\
&= \ell_{\rho, \sigma}([C, E]) \ell_{\rho, \sigma}(C)^{k+j-1},
\end{aligned} \tag{2.15}$$

where the last equality follows from Lemma 2.13. Hence, again by Proposition 2.7

$$v_{\rho, \sigma} \left( \left[ C^k, \frac{1}{k} EC^j \right] \right) = (k+j-1)v_{\rho, \sigma}(C) + v_{\rho, \sigma}([C, E]). \tag{2.16}$$

Combining now (2.14) with (2.16), and (2.13) with (2.15), we obtain

$$v_{\rho, \sigma}([C, E]) = v_{\rho, \sigma}(C) + v_{\rho, \sigma}(E) - (\rho + \sigma) \quad \text{and} \quad \ell_{\rho, \sigma}([C, E]) = \ell_{\rho, \sigma}(C).$$

Hence  $[C, E]_{\rho, \sigma} = \ell_{\rho, \sigma}(C) \neq 0$ , and thus, by Lemma 2.13 and item (2) of Proposition 1.8, we have

$$[C^t, E]_{\rho, \sigma} = \ell_{\rho, \sigma}([C^t, E]) = t \ell_{\rho, \sigma}(C)^{t-1} \ell_{\rho, \sigma}([C, E]) = t \ell_{\rho, \sigma}(C)^t = t \ell_{\rho, \sigma}(C^t),$$

for all  $t \in \mathbb{N}$ .  $\square$

Recall from [3] that  $\overline{\mathfrak{V}}$  is endowed with an order relation such that

$$(1, -1) < (\rho, \sigma) < (-1, 1)$$

for all  $(\rho, \sigma) \in \mathfrak{V}$  and that

$$(\rho_1, \sigma_1) \leq (\rho, \sigma) \Leftrightarrow (\rho_1, \sigma_1) \times (\rho, \sigma) \geq 0 \quad \text{for all } (\rho_1, \sigma_1), (\rho, \sigma) \in \mathfrak{V}.$$

**Definition 2.16.** Let  $P \in W^{(l)} \setminus \{0\}$ . We define the set of *valuations associated with  $P$*  as

$$\text{Val}(P) := \{(\rho, \sigma) \in \mathfrak{V} : \# \text{Supp}(\ell_{\rho, \sigma}(P)) > 1\},$$

and we set  $\overline{\text{Val}}(P) := \text{Val}(P) \cup \{(1, -1), (-1, 1)\}$ . We make a similar definition for  $P \in L^{(l)} \setminus \{0\}$ .

For each  $(r/l, s) \in \frac{1}{l}\mathbb{Z} \times \mathbb{Z} \setminus \mathbb{Z}(1, 1)$  there exists a unique  $(\rho, \sigma) \in \mathfrak{V}$  such that  $v_{\rho, \sigma}(r/l, s) = 0$ . In fact clearly

$$(\rho, \sigma) := \begin{cases} \left(-\frac{ls}{d}, \frac{r}{d}\right) & \text{if } r - ls > 0, \\ \left(\frac{ls}{d}, -\frac{r}{d}\right) & \text{if } r - ls < 0, \end{cases}$$

where  $d := \gcd(r, ls)$ , fulfill the required condition, and the uniqueness is evident.

**Definition 2.17.** For  $(r/l, s) \in \frac{1}{l}\mathbb{Z} \times \mathbb{Z} \setminus \mathbb{Z}(1, 1)$ , we define  $\text{val}(r/l, s)$  to be the unique  $(\rho, \sigma) \in \mathfrak{V}$  such that  $v_{\rho, \sigma}(r/l, s) = 0$ .

*Remark 2.18.* Note that if  $P \in W^{(l)} \setminus \{0\}$  and  $(\rho, \sigma) \in \text{Val}(P)$ , then

$$(\rho, \sigma) = \text{val}(\text{en}_{\rho, \sigma}(P) - \text{st}_{\rho, \sigma}(P)).$$

Our aim is to prove Proposition 2.23, and therefore we fix  $P \in W^{(l)} \setminus \{0\}$  and  $(\rho, \sigma) \in \mathfrak{V}$ . We set  $\text{en} := \text{en}_{\rho, \sigma}(P)$  and  $\text{st} := \text{st}_{\rho, \sigma}(P)$  and we consider the following two sets of valuations

$$\text{Valsup}(\rho, \sigma) := \left\{ \text{val} \left( \left( \frac{i}{l}, j \right) - \text{en} \right) : \left( \frac{i}{l}, j \right) \in \text{Supp}(P) \text{ and } v_{-1, 1} \left( \frac{i}{l}, j \right) > v_{-1, 1}(\text{en}) \right\}$$

and

$$\text{Valinf}(\rho, \sigma) := \left\{ \text{val} \left( \left( \frac{i}{l}, j \right) - \text{st} \right) : \left( \frac{i}{l}, j \right) \in \text{Supp}(P) \text{ and } v_{1, -1} \left( \frac{i}{l}, j \right) > v_{1, -1}(\text{st}) \right\}.$$

**Lemma 2.19.** *The following assertions hold:*

- (1) *If  $(\rho_1, \sigma_1) \in \text{Valsup}(\rho, \sigma)$ , then  $(\rho_1, \sigma_1) > (\rho, \sigma)$ .*
- (2) *If  $(\rho_1, \sigma_1) \in \text{Valinf}(\rho, \sigma)$ , then  $(\rho_1, \sigma_1) < (\rho, \sigma)$ .*

*Proof.* We only prove item (1) and leave the other one to the reader. Clearly, if

$$(i/l, j) \in \text{Supp}(P) \quad \text{and} \quad v_{\rho, \sigma}(i/l, j) = v_{\rho, \sigma}(P),$$

then  $(i/l, j) \in \text{Supp}(\ell_{\rho, \sigma}(P))$ , and so  $v_{-1, 1}(i/l, j) \leq v_{-1, 1}(\text{en})$ . Consequently, if

$$(i/l, j) \in \text{Supp}(P) \quad \text{and} \quad v_{-1, 1}(i/l, j) > v_{-1, 1}(\text{en}),$$

then  $v_{\rho, \sigma}(i/l, j) < v_{\rho, \sigma}(P) = v_{\rho, \sigma}(\text{en})$ . This means

$$v_{\rho, \sigma}(a, b) < 0, \tag{2.17}$$

where  $(a, b) := (i/l, j) - \text{en}$ . Note that  $v_{-1, 1}(i/l, j) > v_{-1, 1}(\text{en})$  now reads

$$b - a = v_{-1, 1}(a, b) > 0.$$

But then

$$(\rho_1, \sigma_1) := \text{val}((i/l, j) - \text{en}) = \text{val}(a, b) = \lambda(b, -a),$$

for some  $\lambda > 0$ . Hence

$$\begin{aligned} 0 &> v_{\rho, \sigma}(a, b) \\ &= a\rho + b\sigma \\ &= -\frac{1}{\lambda}(\sigma_1\rho - \rho_1\sigma) \\ &= -\frac{1}{\lambda}(\rho, \sigma) \times (\rho_1, \sigma_1). \end{aligned}$$

This yields  $(\rho, \sigma) \times (\rho_1, \sigma_1) > 0$ , and so  $(\rho_1, \sigma_1) > (\rho, \sigma)$ , as desired.  $\square$

**Lemma 2.20.** *Let  $P$ ,  $(\rho, \sigma)$ , st and en be as before. We have:*

- (1) *If  $(i/l, j) \in \text{Supp}(P)$ ,  $(\rho_1, \sigma_1) > (\rho, \sigma)$  and  $v_{-1, 1}(i/l, j) \leq v_{-1, 1}(\text{en})$ , then*

$$v_{\rho_1, \sigma_1}(i/l, j) \leq v_{\rho_1, \sigma_1}(\text{en}). \tag{2.18}$$

*Moreover, if  $(\rho_1, \sigma_1) \neq (-1, 1)$ , then equality holds if and only if  $(\frac{i}{l}, j) = \text{en}$ .*

- (2) *If  $(i/l, j) \in \text{Supp}(P)$ ,  $(\rho_1, \sigma_1) < (\rho, \sigma)$  and  $v_{1, -1}(i/l, j) \leq v_{1, -1}(\text{st})$ , then*

$$v_{\rho_1, \sigma_1}(i/l, j) \leq v_{\rho_1, \sigma_1}(\text{st}).$$

*Moreover, if  $(\rho_1, \sigma_1) \neq (1, -1)$ , then equality holds if and only if  $(\frac{i}{l}, j) = \text{st}$ .*

*Proof.* We prove item (1) and leave the proof of item (2), which is similar, to the reader. Set  $(a, b) := (i/l, j) - \text{en}$ . Then, by the hypothesis,

$$\rho\sigma_1 - \sigma\rho_1 > 0 \quad \text{and} \quad b - a \leq 0.$$

Hence

$$b\rho\sigma_1 + \sigma\rho_1a - a\rho\sigma_1 - b\sigma\rho_1 \leq 0, \tag{2.19}$$

and the equality holds if and only if  $b = a$ . We also know that  $v_{\rho, \sigma}(i/l, j) \leq v_{\rho, \sigma}(\text{en})$ , which means that  $\rho a + \sigma b \leq 0$ . Since  $\rho_1 + \sigma_1 \geq 0$ , we obtain

$$\rho_1\rho a + \sigma_1\sigma b + \rho_1\sigma b + \sigma_1\rho a = (\rho a + \sigma b)(\rho_1 + \sigma_1) \leq 0. \tag{2.20}$$

Summing up (2.19) and (2.20), we obtain

$$0 \geq \rho\rho_1a + \sigma\sigma_1b + \rho\sigma_1b + \sigma\rho_1a = (\rho + \sigma)(\rho_1a + \sigma_1b),$$

and so  $v_{\rho_1, \sigma_1}(a, b) \leq 0$ , as desired. Moreover, if the equality is true, then (2.19) is also an equality, and so  $b = a$ . Hence  $0 = v_{\rho_1, \sigma_1}(a, a) = (\rho_1 + \sigma_1)a$ , which implies that  $a = 0$  or  $(\rho_1, \sigma_1) = (-1, 1)$ . Thus, if  $(\rho_1, \sigma_1) \neq (-1, 1)$  and equality holds in (2.18), then  $(i/l, j) = \text{en}$ .  $\square$

**Definition 2.21.** If  $\text{Valsup}(\rho, \sigma) \neq \emptyset$ , then we define

$$\text{Succ}(\rho, \sigma) := \min \text{Valsup}(\rho, \sigma)$$

and if  $\text{Valinf}(\rho, \sigma) \neq \emptyset$ , then we define

$$\text{Pred}(\rho, \sigma) := \max \text{Valinf}(\rho, \sigma).$$

**Lemma 2.22.** *The following assertions hold:*

- (1)  $\text{Succ}(\rho, \sigma) \in \text{Val}(P)$  and  $\text{en} = \text{st}_{\text{Succ}(\rho, \sigma)}(P)$ .
- (2)  $\text{Pred}(\rho, \sigma) \in \text{Val}(P)$  and  $\text{st} = \text{en}_{\text{Pred}(\rho, \sigma)}(P)$ .

*Proof.* We only prove (1) since (2) is similar. As above we set  $\text{en} := \text{en}_{\rho, \sigma}(P)$ . Write  $(\rho_1, \sigma_1) := \text{Succ}(\rho, \sigma)$ . By definition, there exists an  $(i_0/l, j_0) \in \text{Supp}(P)$ , such that

$$v_{-1,1}(i_0/l, j_0) > v_{-1,1}(\text{en}) \quad \text{and} \quad (\rho_1, \sigma_1) = \text{val}((i_0/l, j_0) - \text{en}).$$

Consequently,

$$(i_0/l, j_0) \neq \text{en} \quad \text{and} \quad v_{\rho_1, \sigma_1}(\text{en}) = v_{\rho_1, \sigma_1}(i_0/l, j_0). \quad (2.21)$$

Hence  $(\rho_1, \sigma_1) \neq (-1, 1)$ , since, on the contrary,  $v_{\rho_1, \sigma_1}(\text{en}) < v_{\rho_1, \sigma_1}(i_0/l, j_0)$ . We claim that  $v_{\rho_1, \sigma_1}(P) = v_{\rho_1, \sigma_1}(\text{en})$ , which, by (2.21), proves that  $(\rho_1, \sigma_1) \in \text{Val}(P)$ . In fact, assume on the contrary that there exists  $(i/l, j) \in \text{Supp}(P)$  with

$$v_{\rho_1, \sigma_1}(i/l, j) > v_{\rho_1, \sigma_1}(\text{en}) \quad (2.22)$$

By item (1) of Lemmas 2.19 and 2.20, necessarily  $v_{-1,1}(i/l, j) > v_{-1,1}(\text{en})$ , and so  $(a, b) := (i/l, j) - \text{en}$  fulfills  $b - a > 0$ . Hence

$$(\rho_2, \sigma_2) := \text{val}((i/l, j) - \text{en}) = \text{val}(a, b) = \lambda(b, -a)$$

with  $\lambda > 0$ . Now (2.22) leads to

$$\begin{aligned} 0 &< (\rho_1, \sigma_1) \cdot (a, b) \\ &= \frac{1}{\lambda}(\rho_2 \sigma_1 - \sigma_2 \rho_1) \\ &= \frac{1}{\lambda}(\rho_2, \sigma_2) \times (\rho_1, \sigma_1), \end{aligned}$$

which implies that  $(\rho_2, \sigma_2) < (\rho_1, \sigma_1)$ . But this fact is impossible, since  $(\rho_1, \sigma_1)$  is minimal in  $\text{Valsup}(\rho, \sigma)$  and  $(\rho_2, \sigma_2) \in \text{Valsup}(\rho, \sigma)$ . This proves the claim and so  $\text{Succ}(\rho, \sigma) \in \text{Val}(P)$ .

Finally we will check that  $\text{en} = \text{st}_{\rho_1, \sigma_1}(P)$ . For this, it suffices to prove that any  $(i/l, j) \in \text{Supp}(\ell_{\rho_1, \sigma_1}(P))$  fulfills  $v_{1, -1}(i/l, j) \leq v_{1, -1}(\text{en})$  or, equivalently, that  $v_{-1, 1}(i/l, j) \geq v_{-1, 1}(\text{en})$ . To do this we first note that by item (1) of Lemma 2.19 we have  $(\rho_1, \sigma_1) > (\rho, \sigma)$ . Since, moreover  $(i/l, j) \in \text{Supp}(P)$  and  $(\rho_1, \sigma_1) \neq (-1, 1)$ , using item (1) of Lemma 2.20, it follows that if  $v_{-1, 1}(i/l, j) < v_{-1, 1}(\text{en})$ , then  $v_{\rho_1, \sigma_1}(i/l, j) < v_{\rho_1, \sigma_1}(\text{en})$ , which is a contradiction.  $\square$

**Proposition 2.23.** *Let  $P \in W^{(l)} \setminus \{0\}$  and let  $(\rho_1, \sigma_1) > (\rho_2, \sigma_2)$  consecutive elements in  $\overline{\text{Val}}(P)$ . The following assertions hold:*

- (1) *If  $(\rho_1, \sigma_1) \in \text{Val}(P)$  and  $(\rho_1, \sigma_1) > (\rho, \sigma) \geq (\rho_2, \sigma_2)$ , then*  

$$(\rho_1, \sigma_1) = \text{Succ}_{\rho, \sigma}(P).$$
- (2) *If  $(\rho_2, \sigma_2) \in \text{Val}(P)$  and  $(\rho_1, \sigma_1) \geq (\rho, \sigma) > (\rho_2, \sigma_2)$ , then*  

$$(\rho_2, \sigma_2) = \text{Pred}_{\rho, \sigma}(P).$$
- (3) *If  $(\rho_1, \sigma_1) > (\rho, \sigma) > (\rho_2, \sigma_2)$ , then*  

$$\{\text{st}_{\rho_1, \sigma_1}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P)) = \{\text{en}_{\rho_2, \sigma_2}(P)\}.$$

*Proof.* (1) By item (1) of Lemmas 2.19 and 2.22 it is clear that the existence of  $\text{Succ}(\rho, \sigma)$  implies

$$(\rho, \sigma) < \text{Succ}(\rho, \sigma) \quad \text{and} \quad \text{Succ}(\rho, \sigma) \in \text{Val}(P).$$

Hence  $(\rho_1, \sigma_1) \leq \text{Succ}(\rho, \sigma)$ . So we must prove that  $\text{Succ}(\rho, \sigma)$  exists and that  $(\rho_1, \sigma_1) \geq \text{Succ}(\rho, \sigma)$ . For the existence it suffices to prove that  $\text{Valsup}(\rho, \sigma) \neq \emptyset$ . Assume on the contrary that  $\text{Valsup}_{\rho, \sigma}(P) = \emptyset$ . Then, by definition

$$v_{-1,1}(i/l, j) \leq v_{-1,1}(\text{en}_{\rho, \sigma}(P)) \quad \text{for all } (i/l, j) \in \text{Supp}(P).$$

Consequently, since  $(\rho, \sigma) < (\rho_1, \sigma_1) < (-1, 1)$ , by item (1) of Lemma 2.20,

$$\text{Supp}(\ell_{\rho_1, \sigma_1}(P)) = \{\text{en}_{\rho, \sigma}(P)\},$$

and so  $(\rho_1, \sigma_1) \notin \text{Val}(P)$ , which is a contradiction.

Now we prove that  $(\rho_1, \sigma_1) \geq \text{Succ}(\rho, \sigma)$ . Since  $(\rho_1, \sigma_1)$  is the minimal element of  $\text{Val}(P)$  greater than  $(\rho, \sigma)$ , it suffices to prove that there exists no  $(\rho_3, \sigma_3) \in \text{Val}(P)$  such that  $\text{Succ}(\rho, \sigma) > (\rho_3, \sigma_3) > (\rho, \sigma)$ . In other words that

$$\text{Succ}_{\rho, \sigma}(P) > (\rho_3, \sigma_3) > (\rho, \sigma) \implies (\rho_3, \sigma_3) \notin \text{Val}(P).$$

So let us assume  $\text{Succ}(\rho, \sigma) > (\rho_3, \sigma_3) > (\rho, \sigma)$  and let  $(i/l, j) \in \text{Supp}(\ell_{\rho_3, \sigma_3}(P))$ . We assert that  $(i/l, j) = \text{en}_{\rho, \sigma}(P)$ , which shows that  $\text{Supp}(\ell_{\rho_3, \sigma_3}(P)) = \{\text{en}_{\rho, \sigma}(P)\}$ , and consequently that  $(\rho_3, \sigma_3) \notin \text{Val}(P)$ . In fact, if  $v_{-1,1}(i/l, j) \leq v_{-1,1}(\text{en}_{\rho, \sigma}(P))$ , this follows from item (1) of Lemma 2.20, applied to  $(\rho_3, \sigma_3)$  instead of  $(\rho_1, \sigma_1)$ . Assume now that  $v_{-1,1}(i/l, j) \geq v_{-1,1}(\text{en}_{\rho, \sigma}(P))$ . Since, by item (1) of Lemma 2.22, we know that  $\text{st}_{\text{Succ}(\rho, \sigma)}(P) = \text{en}_{\rho, \sigma}(P)$ , we have

$$v_{1,-1}(i/l, j) \leq v_{1,-1}(\text{en}) = v_{1,-1}(\text{st}_{\text{Succ}(\rho, \sigma)}(P)).$$

Hence, applying item (2) of Lemma 2.20, with  $\text{Succ}(\rho, \sigma)$  instead of  $(\rho, \sigma)$  and  $(\rho_3, \sigma_3)$  instead of  $(\rho_1, \sigma_1)$ , and taking into account that  $(i/l, j) \in \text{Supp}(\ell_{\rho_3, \sigma_3}(P))$ , we obtain

$$v_{\rho_3, \sigma_3}(i/l, j) = v_{\rho_3, \sigma_3}(\text{st}_{\text{Succ}(\rho, \sigma)}(P)).$$

Consequently, since  $(\rho_3, \sigma_3) \neq (1, -1)$ , it follows, again by item (2) of Lemma 2.20, that  $(i/l, j) = \text{st}_{\text{Succ}(\rho, \sigma)}(P) = \text{en}_{\rho, \sigma}(P)$ , which proves the assertion.

(2) It is similar to the proof of item (1).

(3) We first prove that if  $(\rho_1, \sigma_1) \in \text{Val}(P)$ , then

$$\{\text{st}_{\rho_1, \sigma_1}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P)).$$

Since  $\{\text{en}_{\rho, \sigma}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P))$ , this fact follows from item (1) and item (1) of Lemma 2.22. This conclude the proof of the first equality in the statement when  $(\rho_1, \sigma_1) \in (-1, 1)$ . Now, a symmetric argument shows that if  $(\rho_2, \sigma_2) > (1, -1)$ , then

$$\{\text{en}_{\rho_2, \sigma_2}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P)).$$

Assume now that  $(\rho_1, \sigma_1) = (-1, 1)$  and  $(\rho_2, \sigma_2) \neq (1, -1)$ . Then, by item (1) of Lemmas 2.19 and 2.22, we have  $\text{Valsup}(\rho_2, \sigma_2) = \emptyset$ . Hence

$$v_{-1,1}(i/l, j) \leq v_{-1,1}(\text{en}_{\rho_2, \sigma_2}(P)),$$

for all  $(i/l, j) \in \text{Supp}(P)$ . Consequently,  $\text{en}_{\rho_2, \sigma_2}(P) \in \text{Supp}(\ell_{-1,1}(P))$ , and so

$$\text{st}_{-1,1}(P) = \text{en}_{\rho_2, \sigma_2}(P) + (a, a),$$

for some  $a \geq 0$ . But necessarily  $a = 0$ , since  $a > 0$  leads to the contradiction

$$v_{\rho_2, \sigma_2}(\text{st}_{-1,1}(P)) = v_{\rho_2, \sigma_2}(\text{en}_{\rho_2, \sigma_2}(P) + (a, a)) = v_{\rho_2, \sigma_2}(P) + a(\rho_2 + \sigma_2).$$

Thus

$$\{\text{st}_{\rho_1, \sigma_1}(P)\} = \{\text{en}_{\rho_2, \sigma_2}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P)).$$

Similarly, if  $(\rho_1, \sigma_1) \neq (-1, 1)$  and  $(\rho_2, \sigma_2) = (1, -1)$ , then

$$\{\text{st}_{\rho_1, \sigma_1}(P)\} = \{\text{en}_{\rho_2, \sigma_2}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P)).$$

Finally we assume that  $(\rho_1, \sigma_1) = (-1, 1)$  and  $(\rho_2, \sigma_2) = (1, -1)$ . Since  $\text{Val}(P) = \emptyset$ , it follows from Lemma 2.22 that

$$\text{Valsup}(\rho, \sigma) = \emptyset = \text{Valinf}(\rho, \sigma).$$

Hence

$$v_{-1,1}(P) = v_{-1,1}(\text{en}_{\rho, \sigma}(P)) \quad \text{and} \quad v_{1,-1}(P) = v_{1,-1}(\text{st}_{\rho, \sigma}(P)). \quad (2.23)$$

But, since  $\text{en}_{\rho, \sigma}(P) = \text{st}_{\rho, \sigma}(P)$ , it follows easily from (2.23) that  $P = \ell_{-1,1}(P)$ , and so,

$$\{\text{en}_{1,-1}(P)\} = \{w(P)\} = \{\overline{w}(P)\} = \{\text{st}_{-1,1}(P)\} = \text{Supp}(\ell_{\rho, \sigma}(P)),$$

as desired.  $\square$

**Proposition 2.24.** *Let  $P \in W^{(l)} \setminus \{0\}$  and let  $(\rho', \sigma') \in \text{Val}(P)$ . We have:*

- (1) *If  $(\rho, \sigma) \in \overline{\mathfrak{B}}$  satisfy  $\sigma < 0$  and  $(\rho', \sigma') < (\rho, \sigma)$ , then*

$$v_{\rho, \sigma}(\text{st}_{\rho', \sigma'}(P)) < v_{\rho, \sigma}(\text{en}_{\rho', \sigma'}(P)),$$

- (2) *If  $(\rho, \sigma) \in \overline{\mathfrak{B}}$  satisfy  $(\rho, \sigma) < (\rho', \sigma')$ , then*

$$v_{\rho, \sigma}(\text{st}_{\rho', \sigma'}(P)) > v_{\rho, \sigma}(\text{en}_{\rho', \sigma'}(P)).$$

*The same properties hold for  $P \in L^{(l)} \setminus \{0\}$ .*

*Proof.* We prove item (1) and leave the proof of item (2), which is similar, to the reader. By definition

$$(\rho', \sigma') < (\rho, \sigma) \iff \rho' \sigma - \sigma' \rho > 0 \iff \sigma - \frac{\rho \sigma'}{\rho'} > 0,$$

where the last equivalence follows from the fact that  $\rho' > 0$ . Now, set

$$\text{st}_{\rho', \sigma'}(P) = \left(\frac{r}{l}, s\right) \quad \text{and} \quad \text{en}_{\rho', \sigma'}(P) = \left(\frac{r}{l} - \frac{\gamma \sigma'}{\rho'}, s + \gamma\right)$$

Since  $(\rho', \sigma') \in \text{Val}(P)$  we have  $\gamma \in \mathbb{N}$ . Consequently,

$$v_{\rho, \sigma}(\text{en}_{\rho', \sigma'}(P)) - v_{\rho, \sigma}(\text{st}_{\rho', \sigma'}(P)) = \sigma \gamma - \frac{\rho \sigma' \gamma}{\rho'} > 0,$$

as desired.  $\square$

### 3 Fixed points of $(\rho, \sigma)$ -brackets

The aim of this section is to construct  $F \in W$  such that  $[F, P]_{\rho, \sigma} = \ell_{\rho, \sigma}(P)$  and  $[F, P]_{\rho, \sigma} = \ell_{\rho, \sigma}(P)$  for suitable pairs  $(P, Q)$  and some given  $(\rho, \sigma) \in \mathfrak{V}$ .

**Theorem 3.1.** *Let  $C \in W^{(l)}$  and let  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$ . Suppose that*

$$v_{\rho, \sigma}(C) > 0 \quad \text{and} \quad \ell_{\rho, \sigma}(C) \neq \zeta \ell_{\rho, \sigma}(D^h), \quad \text{for all } D \in W^{(l)}, \zeta \in K^\times \text{ and } h > 1.$$

*If there exist  $n, m \in \mathbb{N}$  and  $A, B \in W^{(l)}$  such that*

- (1)  $\ell_{\rho, \sigma}(A) = \ell_{\rho, \sigma}(C^n)$ ,
- (2)  $\ell_{\rho, \sigma}(B) = \ell_{\rho, \sigma}(C^m)$ ,
- (3)  $c := \gcd(n, m) \notin \{n, m\}$ ,
- (4)  $\ell_{\rho, \sigma}([A, B]) = \lambda \ell_{\rho, \sigma}(C^h)$ , for some  $h \in \mathbb{N}_0$  and  $\lambda \in K^\times$ ,



then there exist  $D \in W^{(l)}$ ,  $\mu \in K^\times$  and  $k, j_0 \in \mathbb{N}$ , such that

$$[D, C^k]_{\rho, \sigma} = \mu \ell_{\rho, \sigma}(C^{k+j_0}).$$

*Proof.* Take  $A$  and  $B$  satisfying the hypothesis of the statement with  $c$  minimum. Set

$$m_1 := m/c, \quad n_1 := n/c, \quad D_0 := A^{m_1} - B^{n_1}$$

and

$$X := \left\{ D = D_0 + \sum_{i,j \in \mathbb{N}_0} \lambda_{ij} A^i B^j \in W^{(l)} : in + jm < cn_1 m_1 \text{ and } \lambda_{ij} \in K \right\}.$$

We claim that each element  $D \in X$  satisfies

$$\ell_{\rho, \sigma}([D, B]) = m_1 \lambda \ell_{\rho, \sigma}(C^{nm_1 - n + h}) \quad (3.1)$$

and

$$\ell_{\rho, \sigma}([D, A]) = n_1 \lambda \ell_{\rho, \sigma}(C^{mn_1 - m + h}). \quad (3.2)$$

In fact, this is true for  $D_0$  since, by Proposition 1.8, Lemma 2.13 and items (1) and (4), we have

$$\ell_{\rho, \sigma}([D_0, B]) = \ell_{\rho, \sigma}([A^{m_1}, B]) = m_1 \lambda \ell_{\rho, \sigma}(C^{nm_1 - n + h}).$$

and similarly

$$\ell_{\rho, \sigma}([D_0, A]) = n_1 \lambda \ell_{\rho, \sigma}(C^{mn_1 - m + h}).$$

In particular  $D_0 \neq 0$ . So, in order to establish (3.1) and (3.2), it suffices to show that

$$v_{\rho, \sigma}(\ell_{\rho, \sigma}([A^i B^j, B])) < (nm_1 - n + h)v_{\rho, \sigma}(C)$$

and

$$v_{\rho, \sigma}([A^i B^j, A]) < (mn_1 - m + h)v_{\rho, \sigma}(C),$$

for all  $i, j$  such that  $in + jm < n_1 m_1 c$ . But this follows from the fact that, again by Proposition 1.8, Lemma 2.13 and items (1), (2) and (4),

$$\ell_{\rho, \sigma}([A^i B^j, B]) = \ell_{\rho, \sigma}([A^i, B]B^j) = i \lambda \ell_{\rho, \sigma}(C^{mi + mj - n + h})$$

and

$$\ell_{\rho, \sigma}([A^i B^j, A]) = \ell_{\rho, \sigma}(A^i [B^j, A]) = j \lambda \ell_{\rho, \sigma}(C^{mi + mj - m + h}).$$

Now, by Remark 1.11, equality (3.1) implies that for  $D \in X$

$$v_{\rho, \sigma}(D) + v_{\rho, \sigma}(B) - (\rho + \sigma) \geq v_{\rho, \sigma}(C^{nm_1 - n + h}),$$

and so, by item (2),

$$v_{\rho, \sigma}(D) > v_{\rho, \sigma}(C^{nm_1 - n + h}) - v_{\rho, \sigma}(B) = (nm_1 - m - n + h)v_{\rho, \sigma}(C) \quad (3.3)$$

for all  $D \in X$ . Hence, there exists  $D_1 \in X$  such that  $v_{\rho, \sigma}(D_1)$  is minimum. We have two alternatives:

$$[D_1, B]_{\rho, \sigma} \neq 0 \quad \text{or} \quad [D_1, B]_{\rho, \sigma} = 0. \quad (3.4)$$

Note that

$$j_0 := nm_1 - n - m + h \geq c(n_1 m_1 - n_1 - m_1) = c((n_1 - 1)(m_1 - 1) - 1) > 0,$$

since  $\gcd(n_1, m_1) = 1$  and  $n_1, m_1 > 1$  by item (3). Hence, in the first case, the thesis holds with  $k = m$  and  $\mu = m_1 \lambda$ , because, by item (2), Corollary 2.6 and (3.1),

$$[D_1, C^m]_{\rho, \sigma} = [D_1, B]_{\rho, \sigma} = m_1 \lambda \ell_{\rho, \sigma}(C^{nm_1 - n + h}).$$

Assume now that  $[D_1, B]_{\rho, \sigma} = 0$ . We are going to show that this alternative is impossible, because it implies that  $c$  is not minimum. In other words, that

- (\*) there exist  $\bar{A}, \bar{B} \in W^{(l)}$ ,  $\bar{\lambda} \in K^\times$  and  $\bar{n}, \bar{m}, \bar{c}, \bar{h} \in \mathbb{N}$  with  $\bar{c} < c$ , such that (1), (2), (3) and (4) hold, with  $\bar{A}, \bar{B}, \bar{\lambda}, \bar{n}, \bar{m}, \bar{c}$  and  $\bar{h}$  instead of  $A, B, \lambda, n, m, c$  and  $h$  respectively.

With this purpose in mind, we claim that there exist  $\lambda_1 \in K^\times$  and  $r \in \mathbb{N}$ , such that

$$\ell_{\rho, \sigma}(D_1) = \lambda_1 \ell_{\rho, \sigma}(C^r), \quad r < n_1 m_1 c, \quad r > c \quad \text{and} \quad c \nmid r \quad (3.5)$$

In fact, by Corollary 2.6 and item (2), we know that  $[D_1, C^m]_{\rho, \sigma} = [D_1, B]_{\rho, \sigma} = 0$ , which by Lemma 2.13 implies that  $[D_1, C]_{\rho, \sigma} = 0$ . Hence, by Theorem 2.12, there exists  $R = \ell_{\rho, \sigma}(R) \in L^{(l)}$ ,  $\zeta, \xi \in K^\times$  and  $r, s \in \mathbb{N}$ , such that

$$\ell_{\rho, \sigma}(D_1) = \zeta R^r \quad \text{and} \quad \ell_{\rho, \sigma}(C) = \xi R^s.$$

Besides, by the conditions required to  $C$ , it must be  $s = 1$  and so, Proposition 1.8,  $\ell_{\rho, \sigma}(D_1) = \frac{\zeta}{\xi} \ell_{\rho, \sigma}(C^r)$ , which proves the equality in (3.5) with  $\lambda_1 = \frac{\zeta}{\xi}$ . Moreover

$$rv_{\rho, \sigma}(C) = v_{\rho, \sigma}(D_1) \leq v_{\rho, \sigma}(D_0) = v_{\rho, \sigma}(A^{m_1} - B^{n_1}) < n_1 m_1 c v_{\rho, \sigma}(C),$$

where the last inequality follows from the fact that, by items (1) and (2),

$$\ell_{\rho, \sigma}(A^{m_1}) = \ell_{\rho, \sigma}(C^{cn_1 m_1}) = \ell_{\rho, \sigma}(B^{n_1}).$$

Thus  $r < n_1 m_1 c$ . Note that by (3.3) and the equality in (3.5),

$$rv_{\rho, \sigma}(C) = v_{\rho, \sigma}(D_1) > (nm_1 - m - n + h)v_{\rho, \sigma}(C) \geq c(m_1 n_1 - m_1 - n_1)v_{\rho, \sigma}(C).$$

Hence

$$r > c(m_1 n_1 - m_1 - n_1) = c((m_1 - 1)(n_1 - 1) - 1) \geq c, \quad (3.6)$$

where the last equality holds, as before, since  $m_1, n_1 \geq 2$  and  $m_1 \neq n_1$ . Next we will prove that  $c$  does not divide  $r$ . Assume on the contrary that  $c|r$ . By [3, Lemma 4.1] and the first inequality in (3.6) there exist  $a_1, b_1 \geq 0$  such that

$$a_1 n_1 + b_1 m_1 = \frac{r}{c}.$$

Consequently

$$a_1 n + b_1 m = r < cn_1 m_1,$$

and so  $D_2 := D_1 - \lambda_1 A^{a_1} B^{b_1} \in X$ . Moreover, since by items (1) and (2), and the equality in (3.5),

$$\lambda_1 \ell_{\rho, \sigma}(A^{a_1} B^{b_1}) = \lambda_1 \ell_{\rho, \sigma}(C^{a_1 n + b_1 m}) = \lambda_1 \ell_{\rho, \sigma}(C^r) = \ell_{\rho, \sigma}(D_1),$$

we get  $v_{\rho, \sigma}(D_2) < v_{\rho, \sigma}(D_1)$ , which contradicts the minimality of  $v_{\rho, \sigma}(D_1)$ . Thus  $c$  does not divide  $r$ .

Set  $\bar{c} := \gcd(c, r)$  and  $\bar{A} := \frac{1}{\lambda_1} D_1$ . By (3.5), we know that

$$\ell_{\rho, \sigma}(\bar{A}) = \ell_{\rho, \sigma}(C^{\bar{n}}),$$

where  $\bar{n} := r > c > \bar{c}$ . Moreover, by [3, Lemma 4.2] there exist  $a, b \geq 0$ , such that  $\gcd(r, an + bm) = \bar{c}$ . Note that  $a > 0$  or  $b > 0$ , because  $\bar{c} \neq r$ . In particular  $\bar{c} < c \leq \min(n, m) \leq an + bm$ . Let  $\bar{B} := A^a B^b$ . By Proposition 1.8 and items (1) and (2),

$$\ell_{\rho, \sigma}(\bar{B}) = \ell_{\rho, \sigma}(C^{\bar{m}}),$$

where  $\bar{m} := an + bm$ . So, in order to verify that (\*) holds, it only remains to establish (4). But, since,

$$\lambda_1 [\bar{A}, \bar{B}] = [D_1, A^a B^b] = [D_1, A^a] B^b + A^a [D_1, B^b].$$

and, by Proposition 1.8, Lemma 2.13, items (1) and (2), and equalities (3.1) and (3.2)

$$\ell_{\rho, \sigma}([D_1, A^a] B^b) = an_1 \lambda \ell_{\rho, \sigma}(C^{\bar{m} + c(m_1 n_1 - m_1 - n_1) + h})$$

and

$$\ell_{\rho,\sigma}(A^a[D_1, B^b]) = bm_1\lambda\ell_{\rho,\sigma}(C^{\bar{m}+c(m_1n_1-m_1-n_1)+h}),$$

we have

$$\ell_{\rho,\sigma}([\bar{A}, \bar{B}]) = \bar{\lambda}C^{\bar{h}},$$

with

$$\bar{\lambda} := \frac{\lambda}{\lambda_1}(an_1 + bm_1) \neq 0 \quad \text{and} \quad \bar{h} := \bar{m} + c(m_1n_1 - m_1 - n_1) + h > 0,$$

as desired.  $\square$

**Corollary 3.2.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$ , and let  $C \in W^{(l)}$  such that*

$$v_{\rho,\sigma}(C) > 0 \quad \text{and} \quad \ell_{\rho,\sigma}(C) \neq \zeta\ell_{\rho,\sigma}(D^h) \quad \text{for all } D \in W^{(l)}, \zeta \in K^\times \text{ and } h \in \mathbb{N}.$$

*If there exist  $n, m \in \mathbb{N}$  and  $A, B \in W^{(l)}$  such that*

- (1)  $\ell_{\rho,\sigma}(A) = \ell_{\rho,\sigma}(C^n)$ ,
- (2)  $\ell_{\rho,\sigma}(B) = \ell_{\rho,\sigma}(C^m)$ ,
- (3)  $c := \gcd(n, m) \notin \{n, m\}$ ,
- (4)  $\ell_{\rho,\sigma}([A, B]) = \lambda\ell_{\rho,\sigma}(C^h)$ , for some  $h \in \mathbb{N}_0$  and  $\lambda \in K^\times$ ,

*then*

$$\text{Supp}(\ell_{\rho,\sigma}(C)) \neq \{(j, j)\} \quad \text{for all } j.$$

*Proof.* Assume on the contrary that  $\ell_{\rho,\sigma}(C) = dX^jY^j$  for some  $d \in K^\times$  and some  $j$ . By Theorem 3.1 there exist  $D \in W^{(l)}$ ,  $\mu \in K^\times$ ,  $k \in \mathbb{N}$  and  $j_0 \in \mathbb{N}_0$ , such that  $[D, C^k]_{\rho,\sigma} = \mu C^{k+j_0}$ . Now, by Corollary 2.6, we can assume that  $D$  is  $(\rho, \sigma)$ -homogeneous. Write  $D = \sum d_{rs}X^rY^s$ . Since, by Remark 1.11,

$$[d_{rl,r}X^rY^r, d^kX^{kj}Y^{kj}] = 0$$

and, by Lemma 1.6,

$$\ell_{\rho,\sigma}([d_{rs}X^rY^s, d^kX^{kj}Y^{kj}]) = (s - r/l)kj d_{rs}d^k x^{r/l+kj-1}y^{s+kj-1} \quad \text{for all } r \neq sl,$$

we have

$$\ell_{\rho,\sigma}([D, d^kX^{kj}Y^{kj}]) = \sum_{r \neq sl} (s - r/l)kj d_{rs}d^k x^{r/l+kj-1}y^{s+kj-1} \quad (3.7)$$

On the other hand, by Proposition 1.8 and Corollary 2.6,

$$\ell_{\rho,\sigma}([D, d^kX^{kj}Y^{kj}]) = \ell_{\rho,\sigma}([D, C^k]) = \mu\ell_{\rho,\sigma}(C^{k+j_0}) = \mu d^{k+j_0}x^{(k+j_0)j}y^{(k+j_0)j},$$

which contradicts (3.7).  $\square$

**Lemma 3.3.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  and  $(i/l, j) \in \frac{1}{l}\mathbb{Z} \times \mathbb{Z}$  such that  $v_{\rho,\sigma}(i/l, j) > 0$ . Then there exists  $(\rho'', \sigma'') < (\rho, \sigma)$  such that*

$$v_{\rho'', \sigma'}(i/l, j) > 0 \quad \text{for all } (\rho'', \sigma'') < (\rho', \sigma') < (\rho, \sigma).$$

*Proof.* Suppose first that  $i/l < j$  and take  $(\rho'', \sigma'') := \lambda(jl, -i)$  with  $\lambda = \frac{1}{\gcd(jl, i)}$ . Since

$$(\rho'', \sigma'') \times (\rho', \sigma') = \lambda(\rho'i + \sigma'jl) = \lambda v_{\rho', \sigma'}(i/l, j),$$

we have

$$(\rho'', \sigma'') < (\rho, \sigma) \quad \text{and} \quad v_{\rho'', \sigma'}(i/l, j) > 0 \quad \text{for all } (\rho'', \sigma'') < (\rho', \sigma').$$

Suppose now that  $i/l \geq j$  and take  $(\rho'', \sigma'') := (1, -1)$ . Let  $(\bar{\rho}, \bar{\sigma}) = \lambda(-jl, i)$  with  $\lambda = \frac{1}{\gcd(jl, i)}$ . Since

$$(\rho', \sigma') \times (\bar{\rho}, \bar{\sigma}) = \lambda(\rho'i + \sigma'jl) = \lambda v_{\rho', \sigma'}(i/l, j),$$

we have

$$(\rho, \sigma) < (\bar{\rho}, \bar{\sigma}) \quad \text{and} \quad v_{\rho', \sigma'}(i/l, j) > 0 \quad \text{for all } (\rho', \sigma') < (\bar{\rho}, \bar{\sigma}).$$

The thesis follows immediately from these facts.  $\square$

**Corollary 3.4.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  and  $P, Q \in W^{(l)}$  such that  $[Q, P] \in K^\times$ . If  $[Q, P]_{\rho, \sigma} = 0$ , then there exists  $(\rho'', \sigma'') < (\rho, \sigma)$  such that*

$$[Q, P]_{\rho', \sigma'} = 0 \quad \text{for all } (\rho'', \sigma'') < (\rho', \sigma') < (\rho, \sigma).$$

*Proof.* By hypothesis

$$v_{\rho, \sigma}(1, 1) < v_{\rho, \sigma}(P) + v_{\rho, \sigma}(Q).$$

Let  $(i/l, j) \in \text{Supp}(P)$  and  $(i'/l, j') \in \text{Supp}(Q)$  be such that  $v_{\rho, \sigma}(P) = v_{\rho, \sigma}(i/l, j)$  and  $v_{\rho, \sigma}(Q) = v_{\rho, \sigma}(i'/l, j')$ . By Lemma 3.3, there exists  $(\rho'', \sigma'') < (\rho, \sigma)$  such that

$$v_{\rho', \sigma'}((i/l, j) + (i'/l, j') - (1, 1)) > 0 \quad \text{for all } (\rho'', \sigma'') < (\rho', \sigma') < (\rho, \sigma).$$

Hence,

$$v_{\rho', \sigma'}(1, 1) < v_{\rho', \sigma'}(P) + v_{\rho', \sigma'}(Q),$$

from which the thesis follows immediately.  $\square$

**Theorem 3.5.** *Let  $P, Q \in W^{(l)}$  and  $(\rho, \sigma) \in \mathfrak{V}$  with  $\sigma \leq 0$ . Suppose that*

$$\begin{aligned} [Q, P] &= 1, & v_{\rho, \sigma}(P) &> 0, & v_{\rho, \sigma}(Q) &> 0, \\ [P, Q]_{\rho, \sigma} &= 0, & \frac{v_{\rho, \sigma}(P)}{v_{\rho, \sigma}(Q)} &\notin \mathbb{N}, & \frac{v_{\rho, \sigma}(Q)}{v_{\rho, \sigma}(P)} &\notin \mathbb{N}. \end{aligned}$$

*Then*

- (1) *If  $(\rho, \sigma) \notin \text{Val}(P)$ , then  $v_{1, -1}(\ell_{\rho, \sigma}(P)) \neq 0$ .*
- (2)  *$v_{1, -1}(\text{st}_{\rho, \sigma}(P)) \neq 0$ .*
- (3) *There exists a  $(\rho, \sigma)$ -homogeneous element  $F \in W^{(l)}$ , such that*

$$[P, F]_{\rho, \sigma} = \ell_{\rho, \sigma}(P) \quad \text{and} \quad [Q, F]_{\rho, \sigma} = \frac{v_{\rho, \sigma}(Q)}{v_{\rho, \sigma}(P)} \ell_{\rho, \sigma}(Q).$$

*Furthermore*

$$v_{\rho, \sigma}(F) = \rho + \sigma \quad \text{and} \quad f_{[P, F], \rho, \sigma}^{(l)} = f_{P, \rho, \sigma}^{(l)},$$

*where  $f_{[P, F], \rho, \sigma}^{(l)}$  and  $f_{P, \rho, \sigma}^{(l)}$  are the polynomials introduced in Definition 2.9.*

*Proof.* By item (2) of Theorem 2.12, there exist  $\lambda_P, \lambda_Q \in K^\times$ ,  $m, n \in \mathbb{N}$  and a  $(\rho, \sigma)$ -homogeneous polynomial  $R \in L^{(l)}$ , such that

$$\ell_{\rho, \sigma}(P) = \lambda_P R^m \quad \text{and} \quad \ell_{\rho, \sigma}(Q) = \lambda_Q R^n.$$

Clearly we can assume that

$$R \neq \zeta S^h, \quad \text{for all } S \in L^{(l)}, \zeta \in K^\times \text{ and } h > 1.$$

Let  $C \in W^{(l)}$  such that  $\Psi^{(l)}(C) = R$ . By Proposition 1.8,

$$\ell_{\rho, \sigma}(P) = \lambda_P \ell_{\rho, \sigma}(C^m), \quad \ell_{\rho, \sigma}(Q) = \lambda_Q \ell_{\rho, \sigma}(C^n)$$

and

$$\ell_{\rho, \sigma}(C) \neq \zeta \ell_{\rho, \sigma}(D^h) \quad \text{for all } D \in W^{(l)}, \zeta \in K^\times \text{ and } h > 1.$$

Moreover, since, by item (3) of Proposition 1.8,

$$v_{\rho, \sigma}(P) = m v_{\rho, \sigma}(C) \quad \text{and} \quad v_{\rho, \sigma}(Q) = n v_{\rho, \sigma}(C), \quad (3.8)$$

we deduce that  $v_{\rho,\sigma}(C) > 0$  and  $\gcd(m, n) \notin \{m, n\}$ . Thus, the conditions of Theorem 3.1 and Corollary 3.2 are fulfilled with

$$A := \frac{1}{\lambda_Q}Q, \quad B := \frac{1}{\lambda_P}P, \quad h := 0 \quad \text{and} \quad \lambda := \frac{1}{\lambda_P \lambda_Q}.$$

Consequently, if  $(\rho, \sigma) \notin \text{Val}(P)$ , then  $\text{Supp}(\ell_{\rho,\sigma}(C)) = \{(i, j)\}$  with  $i \neq j$ , and so,  $\text{Supp}(\ell_{\rho,\sigma}(P)) = \{(mi, mj)\}$ . Item (1) follows immediately from this fact. In order to prove item (2) we know that by Proposition 2.23, Lemma 3.3 and Corollary 3.4 there exists  $(\rho', \sigma') < (\rho, \sigma)$  such that

$$\begin{aligned} \text{Supp}(\ell_{\rho',\sigma'}(P)) &= \{\text{st}_{\rho,\sigma}(P)\}, & \text{Supp}(\ell_{\rho',\sigma'}(Q)) &= \{\text{st}_{\rho,\sigma}(Q)\}, \\ v_{\rho',\sigma'}(P) &> 0, & v_{\rho',\sigma'}(Q) &> 0 \quad \text{and} \quad [P, Q]_{\rho',\sigma'} = 0. \end{aligned}$$

We claim that  $P$ ,  $Q$  and  $(\rho', \sigma')$  satisfy the hypothesis required  $P$ ,  $Q$  and  $(\rho, \sigma)$  in the statement of this theorem. By the above discussion, to do this, it only remains to prove that

$$\sigma' \leq 0 \quad \text{and} \quad \frac{v_{\rho',\sigma'}(P)}{v_{\rho',\sigma'}(Q)} \notin \mathbb{N} \cup \left\{ \frac{1}{n} : n \in \mathbb{N} \right\}.$$

The inequality follows directly from the fact that

$$(\rho', \sigma') < (\rho, \sigma) \leq (1, 0),$$

since  $\sigma \leq 0$ . Let us verify the second condition. By item (2) of Theorem 2.12, there exist  $\bar{\lambda}_P, \bar{\lambda}_Q \in K^\times$ ,  $\bar{m}, \bar{n} \in \mathbb{N}$  and a  $(\rho', \sigma')$ -homogeneous polynomial  $\bar{R} \in L^{(l)}$ , such that

$$\ell_{\rho',\sigma'}(P) = \bar{\lambda}_P \bar{R}^{\bar{m}} \quad \text{and} \quad \ell_{\rho',\sigma'}(Q) = \bar{\lambda}_Q \bar{R}^{\bar{n}}.$$

Hence

$$\{\text{st}_{\rho,\sigma}(P)\} = \text{Supp}(\ell_{\rho',\sigma'}(P)) = \bar{m} \text{Supp}(\bar{R}) = \frac{\bar{m}}{\bar{n}} \text{Supp}(\ell_{\rho',\sigma'}(Q)) = \frac{\bar{m}}{\bar{n}} \{\text{st}_{\rho,\sigma}(Q)\},$$

Thus,

$$\frac{\bar{m}}{\bar{n}} v_{\rho,\sigma}(Q) = v_{\rho,\sigma} \left( \frac{\bar{m}}{\bar{n}} \text{st}_{\rho,\sigma}(Q) \right) = v_{\rho,\sigma}(\text{st}_{\rho,\sigma}(P)) = \frac{m}{n} v_{\rho,\sigma}(Q),$$

where the last equality follows from (3.8). Consequently,

$$\frac{v_{\rho',\sigma'}(P)}{v_{\rho',\sigma'}(Q)} = \frac{v_{\rho',\sigma'}(\ell_{\rho',\sigma'}(P))}{v_{\rho',\sigma'}(\ell_{\rho',\sigma'}(Q))} = \frac{\bar{m}}{\bar{n}} = \frac{m}{n} \notin \mathbb{N} \cup \left\{ \frac{1}{n} : n \in \mathbb{N} \right\}.$$

as desired. Applying item (1) to  $P$ ,  $Q$  and  $(\rho', \sigma')$ , we obtain

$$v_{1,-1}(\text{st}_{\rho,\sigma}(P)) = v_{1,-1}(\ell_{\rho',\sigma'}(P)) \neq 0$$

which proves item (2). Now, by Theorem 3.1, there exist  $D \in W^{(l)}$ ,  $\mu \in K^\times$  and  $k, j_0 \in \mathbb{N}$ , such that

$$[D, C^k]_{\rho,\sigma} = \mu C^{k+j_0},$$

and so, by Theorem 2.15, there exists a  $(\rho, \sigma)$ -homogeneous element  $E \in W^{(l)}$ , such that

$$[C^t, E]_{\rho,\sigma} = t \ell_{\rho,\sigma}(C^t) \quad \text{for all } t \in \mathbb{N}.$$

Hence, by Corollary 2.6,

$$[P, E]_{\rho,\sigma} = [\lambda_P C^m, E]_{\rho,\sigma} = m \ell_{\rho,\sigma}(\lambda_P C^m) = m \ell_{\rho,\sigma}(P)$$

and

$$[Q, E]_{\rho,\sigma} = [\lambda_Q C^n, E]_{\rho,\sigma} = n \ell_{\rho,\sigma}(\lambda_Q C^n) = n \ell_{\rho,\sigma}(Q).$$

If we set  $F := \frac{1}{m}E$ , then we have

$$[P, F]_{\rho,\sigma} = \ell_{\rho,\sigma}(P) \quad \text{and} \quad [Q, F]_{\rho,\sigma} = \frac{n}{m} \ell_{\rho,\sigma}(Q).$$

Note now that  $v_{\rho,\sigma}(P) = mv_{\rho,\sigma}(C)$  and  $v_{\rho,\sigma}(Q) = nv_{\rho,\sigma}(C)$ , and so

$$\frac{n}{m} = \frac{v_{\rho,\sigma}(Q)}{v_{\rho,\sigma}(P)}.$$

Finally it is clear that  $[P, F]_{\rho,\sigma} = \ell_{\rho,\sigma}(P)$  implies

$$v_{\rho,\sigma}(P) = v_{\rho,\sigma}(P) + v_{\rho,\sigma}(F) - (\rho + \sigma) \quad \text{and} \quad \ell_{\rho,\sigma}([P, F]) = \ell_{\rho,\sigma}(P).$$

Consequently the last assertions in item (3) are true.  $\square$

**Proposition 3.6.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  such that  $\sigma \leq 0$ , let  $P, F \in W^{(l)} \setminus \{0\}$  and let  $f_{F,\rho,\sigma}^{(l)}$  and  $f_{P,\rho,\sigma}^{(l)}$  be as in Definition 2.9. Assume that  $F$  is  $(\rho, \sigma)$ -homogeneous and that  $[P, F]_{\rho,\sigma} = \ell_{\rho,\sigma}(P)$ . Then  $f_{F,\rho,\sigma}^{(l)}$  is separable and every irreducible factor of  $f_{P,\rho,\sigma}^{(l)}$  divides  $f_{F,\rho,\sigma}^{(l)}$ .*

*Proof.* By item (1) of Theorem 2.12, there exist  $h \geq 0$  and  $c \in \mathbb{Z}$ , such that

$$x^h f_P = c f_P f_F + a x f'_P f_F - b x f'_F f_P,$$

where  $a := \frac{1}{\rho} v_{\rho,\sigma}(F)$ ,  $b := \frac{1}{\rho} v_{\rho,\sigma}(P)$ ,  $f_P^{(l)} := f_{P,\rho,\sigma}$  and  $f_F := f_{F,\rho,\sigma}^{(l)}$ . Hence, the pair of polynomials  $(f_P, f_F)$  satisfies the condition PE(1, 0,  $a, b, c$ ) introduced in [3, Def. 1.23]. Since  $f_P \neq 0 \neq f_F$  the result follows from [3, Prop. 1.24].  $\square$

## 4 Cutting the right lower edge

The central result of this section is Proposition 4.3, which loosely spoken cuts the right lower edge of the support of an irreducible subrectangular pair.

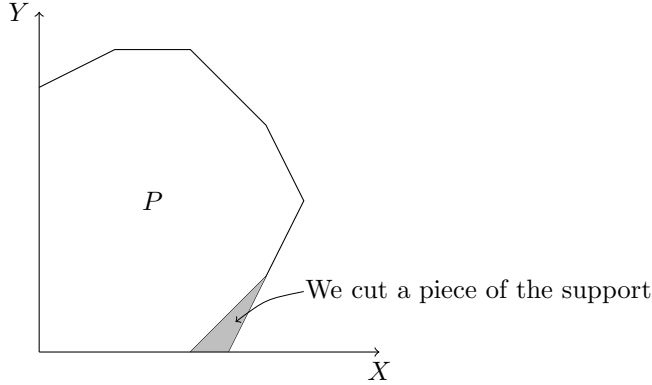


FIGURE 1

This result is used in Section 5 to determine lower bounds for

$$B := \min\{\gcd(v_{1,1}(P), v_{1,1}(Q)), \text{ where } (P, Q) \text{ is an irreducible pair}\}.$$

In the last section we prove the existence of a complete chain of corners for a given an irreducible pair, that are compatible with Proposition 4.3.

**Lemma 4.1.** *Let  $A_i \in W^{(l)} \setminus \{0\}$  ( $i = 0, \dots, n$ ) and let  $(\rho, \sigma) \in \mathfrak{V}$ . Suppose that there exists  $q \in \mathbb{Q}$  such that  $v_{\rho,\sigma}(A_i) = q$  for all  $i$  and set  $A := \sum_{i=0}^n A_i$ . Then*

$$\begin{aligned} A \neq 0 \text{ and } v_{\rho,\sigma}(A) = q &\iff \sum_i \ell_{\rho,\sigma}(A_i) \neq 0 \\ &\iff A \neq 0 \text{ and } \ell_{\rho,\sigma}(A) = \sum_i \ell_{\rho,\sigma}(A_i). \end{aligned}$$

*Proof.* This is clear since the isomorphism of  $K$ -vector spaces  $\Psi^{(l)}: W^{(l)} \rightarrow L^{(l)}$ , introduced at the beginning of Section 1, preserves the  $(\rho, \sigma)$ -degree.  $\square$

For  $\varphi \in \text{Aut}(W^{(l)})$ , we will denote by  $\varphi_L$  the automorphism of  $L^{(l)}$  given by  $\varphi_L(x^{1/l}) := \Psi^{(l)}(\varphi(X^{1/l}))$  and  $\varphi_L(y) := \Psi^{(l)}(\varphi(Y))$ .

**Proposition 4.2.** *Let  $(\rho, \sigma) \in \mathfrak{V}$  and  $\lambda \in K$ . Assume that  $\sigma \leq 0$  and  $\rho|l$ . Consider the automorphism of  $W^{(l)}$  defined by  $\varphi(X^{1/l}) = X^{1/l}$  and  $\varphi(Y) = Y + \lambda X^{\sigma/\rho}$ . Then*

$$\ell_{\rho, \sigma}(\varphi(P)) = \varphi_L(\ell_{\rho, \sigma}(P)) \quad \text{and} \quad v_{\rho, \sigma}(\varphi(P)) = v_{\rho, \sigma}(P) \quad \text{for all } P \in W^{(l)} \setminus \{0\}.$$

Furthermore,

$$\ell_{\rho_1, \sigma_1}(\varphi(P)) = \ell_{\rho_1, \sigma_1}(P) \quad \text{for all } (\rho, \sigma) < (\rho_1, \sigma_1) < (-1, 1).$$

*Proof.* By item 3) of Proposition 1.8,

$$v_{\rho, \sigma}(\varphi(X^{\frac{i}{l}} Y^j)) = i v_{\rho, \sigma}(X^{\frac{1}{l}}) + j v_{\rho, \sigma}(Y + \lambda X^{\frac{\sigma}{\rho}}) = \frac{i}{l} \rho + j \sigma = v_{\rho, \sigma}(X^{\frac{i}{l}} Y^j),$$

for all  $i \in \mathbb{Z}$  and  $j \in \mathbb{N}_0$ . Hence,

$$v_{\rho, \sigma}(\varphi(P)) = v_{\rho, \sigma}(P) \quad \text{for all } P \in W^{(l)} \setminus \{0\}, \quad (4.1)$$

since  $\varphi$  is bijective and therefore induce an isomorphism between the gradations associate with the  $(\rho, \sigma)$ -filtrations. We fix now a  $P \in W^{(l)} \setminus \{0\}$  and write

$$P = \sum_{i=0}^n \lambda_i X^{\frac{r}{l} - i \frac{\sigma}{\rho}} Y^{s+i} + R,$$

where  $R = 0$  or  $v_{\rho, \sigma}(R) < v_{\rho, \sigma}(P)$ . By (4.1), Lemma 4.1 and item (2) of Proposition 1.8

$$\begin{aligned} \ell_{\rho, \sigma}(\varphi(P)) &= \ell_{\rho, \sigma} \left( \sum_{i=0}^n \lambda_i \varphi \left( X^{\frac{r}{l} - i \frac{\sigma}{\rho}} Y^{s+i} \right) \right) \\ &= \ell_{\rho, \sigma} \left( \sum_{i=0}^n \lambda_i X^{\frac{r}{l} - i \frac{\sigma}{\rho}} (Y + \lambda X^{\frac{\sigma}{\rho}})^{s+i} \right) \\ &= \sum_{i=0}^n \lambda_i \ell_{\rho, \sigma} \left( X^{\frac{r}{l} - i \frac{\sigma}{\rho}} (Y + \lambda X^{\frac{\sigma}{\rho}})^{s+i} \right) \\ &= \sum_{i=0}^n \lambda_i x^{\frac{r}{l} - i \frac{\sigma}{\rho}} (y + \lambda x^{\frac{\sigma}{\rho}})^{s+i} \\ &= \varphi_L \left( \sum_{i=0}^n \lambda_i x^{\frac{r}{l} - i \frac{\sigma}{\rho}} y^{s+i} \right) \\ &= \varphi_L(\ell_{\rho, \sigma}(P)), \end{aligned}$$

as desired. Let  $(\rho_1, \sigma_1) \in \mathfrak{V}$  such that  $(\rho, \sigma) < (\rho_1, \sigma_1)$ . Then  $\rho_1 \sigma < \rho \sigma_1$ , and so

$$\ell_{\rho_1, \sigma_1}(Y + \lambda X^{\frac{\sigma}{\rho}}) = y.$$

Hence, by Proposition 1.8,

$$\ell_{\rho_1, \sigma_1}(\varphi(X^{\frac{i}{l}} Y^j)) = \ell_{\rho_1, \sigma_1}(X^{\frac{i}{l}} (Y + \lambda X^{\frac{\sigma}{\rho}})^j) = x^{\frac{i}{l}} y^j$$

and

$$v_{\rho_1, \sigma_1}(\varphi(X^{\frac{i}{l}} Y^j)) = \frac{i}{l} \rho_1 + j \sigma_1 = v_{\rho_1, \sigma_1}(X^{\frac{i}{l}} Y^j),$$

which implies that

$$v_{\rho_1, \sigma_1}(\varphi(R)) = v_{\rho_1, \sigma_1}(R) \quad \text{for all } R \in W^{(l)} \setminus \{0\}. \quad (4.2)$$

Fix now  $P \in W^{(l)} \setminus \{0\}$  and write

$$P = \sum_{\{(i/l, j): \rho_1 i/l + \sigma_1 j = v_{\rho_1, \sigma_1}(P)\}} \lambda_{i/l, j} X^{\frac{i}{l}} Y^j + R,$$

with  $R = 0$  or  $v_{\rho_1, \sigma_1}(R) < v_{\rho_1, \sigma_1}(P)$ . Again by (4.1), Lemma 4.1 and item (2) of Proposition 1.8

$$\begin{aligned} \ell_{\rho_1, \sigma_1}(\varphi(P)) &= \ell_{\rho_1, \sigma_1} \left( \sum_{i/l, j} \lambda_{i/l, j} \varphi(X^{\frac{i}{l}} Y^j) \right) \\ &= \ell_{\rho_1, \sigma_1} \left( \sum_{i/l, j} \lambda_{i/l, j} X^{\frac{i}{l}} (Y + \lambda X^{\frac{\sigma}{\rho}})^j \right) \\ &= \sum_{i/l, j} \lambda_{i/l, j} \ell_{\rho_1, \sigma_1} (X^{\frac{i}{l}} (Y + \lambda X^{\frac{\sigma}{\rho}})^j) \\ &= \sum_{i/l, j} \lambda_{i/l, j} x^{\frac{i}{l}} y^j \\ &= \ell_{\rho_1, \sigma_1}(P), \end{aligned}$$

as desired.  $\square$

For the rest of this section we assume that  $K$  is algebraically closed.

Let  $P, Q \in W^{(l)}$  and let  $(\rho, \sigma) \in \mathfrak{V}$ . Write

$$\text{st}_{\rho, \sigma}(P) = \left( \frac{r}{l}, s \right) \quad \text{and} \quad \mathfrak{f}(x) := x^s f_{P, \rho, \sigma}^{(l)}(x).$$

Let  $\varphi \in \text{Aut}(W^{(l')})$  be the automorphism defined by

$$\varphi(X^{\frac{1}{l'}}) := X^{\frac{1}{l'}} \quad \text{and} \quad \varphi(Y) := Y + \lambda X^{\frac{\sigma}{\rho}},$$

where  $l' := \text{lcm}(l, \rho)$  and  $\lambda$  is any element of  $K$  such that the multiplicity  $m_\lambda$  of  $x - \lambda$  in  $\mathfrak{f}(x)$  is maximum.

**Proposition 4.3.** *If*

- (a)  $\sigma \leq 0$ ,
- (b)  $[Q, P] = 1$ ,
- (c)  $(\rho, \sigma) \in \text{Val}(P) \cap \text{Val}(Q)$ ,
- (d)  $v_{\rho, \sigma}(P) > 0$  and  $v_{\rho, \sigma}(Q) > 0$ ,
- (e)  $[P, Q]_{\rho, \sigma} = 0$ .
- (f)  $\frac{v_{\rho, \sigma}(Q)}{v_{\rho, \sigma}(P)} \notin \mathbb{N}$  and  $\frac{v_{\rho, \sigma}(P)}{v_{\rho, \sigma}(Q)} \notin \mathbb{N}$ ,
- (g)  $v_{1, -1}(\text{en}_{\rho, \sigma}(P)) < 0$  and  $v_{1, -1}(\text{en}_{\rho, \sigma}(Q)) < 0$ ,

then, there exists  $(\rho', \sigma') \in \mathfrak{V}$  such that

- (1)  $(\rho', \sigma') < (\rho, \sigma)$  and  $(\rho', \sigma') \in \text{Val}(\varphi(P)) \cap \text{Val}(\varphi(Q))$ ,
- (2)  $v_{1, -1}(\text{en}_{\rho', \sigma'}(\varphi(P))) < 0$  and  $v_{1, -1}(\text{en}_{\rho', \sigma'}(\varphi(Q))) < 0$ ,
- (3)  $v_{\rho', \sigma'}(\varphi(P)) > 0$  and  $v_{\rho', \sigma'}(\varphi(Q)) > 0$ ,
- (4)  $\frac{v_{\rho', \sigma'}(\varphi(P))}{v_{\rho', \sigma'}(\varphi(Q))} = \frac{v_{\rho, \sigma}(P)}{v_{\rho, \sigma}(Q)}$ ,
- (5) For all  $(\rho, \sigma) < (\rho'', \sigma'') < (-1, 1)$  the equalities

$$\ell_{\rho'', \sigma''}(\varphi(P)) = \ell_{\rho'', \sigma''}(P) \quad \text{and} \quad \ell_{\rho'', \sigma''}(\varphi(Q)) = \ell_{\rho'', \sigma''}(Q)$$

hold,

- (6)  $\text{en}_{\rho', \sigma'}(\varphi(P)) = \text{st}_{\rho, \sigma}(\varphi(P)) = \left( \frac{r}{l} + \frac{s\sigma}{\rho} - m_\lambda \frac{\sigma}{\rho}, m_\lambda \right)$ ,



$$(7) \text{ en}_{\rho',\sigma'}(\varphi(Q)) = \text{st}_{\rho,\sigma}(\varphi(Q)) \text{ and } \text{en}_{\rho',\sigma'}(\varphi(P)) = \frac{v_{\rho,\sigma}(P)}{v_{\rho,\sigma}(Q)} \text{ en}_{\rho',\sigma'}(\varphi(Q)),$$

(8) It is true that

$$v_{0,1}(\text{en}_{\rho',\sigma'}(\varphi(P))) < v_{0,1}(\text{en}_{\rho,\sigma}(P)) \quad \text{or} \quad \text{en}_{\rho',\sigma'}(\varphi(P)) = \text{en}_{\rho,\sigma}(P).$$

Furthermore, in the second case  $\text{en}_{\rho,\sigma}(P) + (\sigma/\rho, -1) \in \text{Supp}(P)$ ,

$$(9) \text{ } v_{\rho,\sigma}(\varphi(P)) = v_{\rho,\sigma}(P) \text{ and } v_{\rho,\sigma}(\varphi(Q)) = v_{\rho,\sigma}(Q),$$

$$(10) [\varphi(Q), \varphi(P)]_{\rho,\sigma} = 0.$$

(11) There exists a  $(\rho, \sigma)$ -homogeneous element  $F \in W^{(l)}$ , which is not a monomial, such that

$$[P, F]_{\rho,\sigma} = \ell_{\rho,\sigma}(P) \quad \text{and} \quad v_{\rho,\sigma}(F) = \rho + \sigma.$$

Furthermore, if  $\text{en}_{\rho,\sigma}(F) = (1, 1)$ , then  $\text{st}_{\rho,\sigma}(\varphi(P)) = \text{en}_{\rho,\sigma}(P)$ ,

Note that

- if  $l' = 1$ , then  $\varphi$  induces an automorphism of  $W$ ,
- $\sigma' < 0$ , since  $\sigma \leq 0$  means  $(\rho, \sigma) \leq (1, 0)$ , and so  $(\rho', \sigma') < (\rho, \sigma) \leq (1, 0)$  implies  $\sigma' < 0$ ,
- $v_{\rho,\sigma}(F) = \rho + \sigma > 0$  implies that  $\text{st}_{\rho,\sigma}(F) \neq (0, 0) \neq \text{en}_{\rho,\sigma}(F)$ .

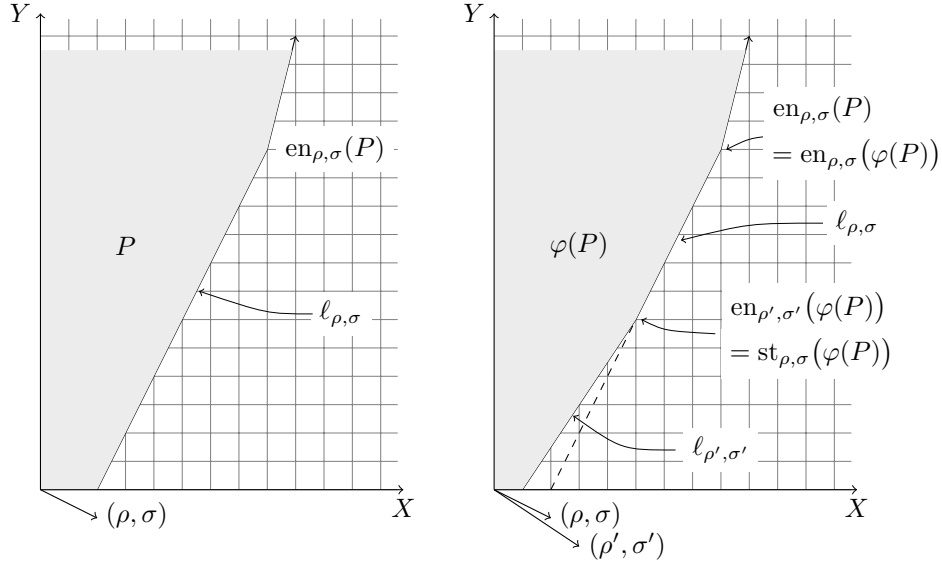


FIGURE 2. Illustration of Proposition 4.3

*Proof.* Note that  $\rho + \sigma > 0$ ,  $\rho > 0$  and  $\rho \neq -\sigma$  since  $(\rho, \sigma) \in \mathfrak{V}$  and  $\sigma \leq 0$ . We will use freely these facts. By item (3) of Theorem 3.5 we can find a  $(\rho, \sigma)$ -homogeneous element  $F \in W^{(l)}$  such that

$$[P, F]_{\rho,\sigma} = \ell_{\rho,\sigma}(P), \quad v_{\rho,\sigma}(F) = \rho + \sigma \quad \text{and} \quad f_{[P,F],\rho,\sigma}^{(l)} = f_{P,\rho,\sigma}^{(l)}, \quad (4.3)$$

where  $f_{[P,F],\rho,\sigma}^{(l)}$  and  $f_{P,\rho,\sigma}^{(l)}$  are the polynomials introduced in Definition 2.9. We claim that

$$1 \leq \# \text{ factors}(f_{P,\rho,\sigma}^{(l)}) \leq \deg(f_{P,\rho,\sigma}^{(l)}), \quad (4.4)$$

where  $\text{factors}(f_{P,\rho,\sigma}^{(l)})$  denotes the number of linear different factors of  $f_{P,\rho,\sigma}^{(l)}$ . In fact, the first inequality follows from the fact that  $(\rho, \sigma) \in \text{Val}(P)$ , while the second one follows from Proposition 3.6. Note that, by the very definition of  $f_{F,\rho,\sigma}^{(l)}$ , Condition (4.4) implies that  $F$  is not a monomial.

By (2.5) and the definition of  $\ell_{\rho,\sigma}(P)$  there exist  $b_0, \dots, b_\gamma \in K$  with  $b_0 \neq 0$  and  $b_\gamma \neq 0$ , such that

$$\ell_{\rho,\sigma}(P) = \sum_{i=0}^{\gamma} b_i x^{\frac{r}{l} - \frac{i\sigma}{\rho}} y^{s+i},$$

and, again by (2.5),

$$\text{en}_{\rho,\sigma}(P) = \left( \frac{r}{l} - \frac{\gamma\sigma}{\rho}, s + \gamma \right). \quad (4.5)$$

By Definition 2.9,

$$\mathfrak{f}(x) = \sum_{i=0}^{\gamma} b_i x^{i+s}.$$

Let  $(M_0, M) := \text{en}_{\rho,\sigma}(F)$ . From the second equality in (4.3), we obtain

$$M_0 = \frac{\rho + \sigma - \sigma M}{\rho}. \quad (4.6)$$

We assert that

$$1 \leq \# \text{factors}(\mathfrak{f}) \leq M. \quad (4.7)$$

The first inequality is fulfilled because of (4.4). In order to prove the second one we begin by noting that, by the first equality in (4.3) and item (1) of Proposition 2.4,

$$\text{st}_{\rho,\sigma}(F) = (1, 1) \quad \text{or} \quad \text{st}_{\rho,\sigma}(F) \sim \text{st}_{\rho,\sigma}(P), \quad (4.8)$$

and that  $\text{st}_{\rho,\sigma}(F) \neq (0, 0)$  because of the second equality in (4.3). Hence, if  $s > 0$ , then  $\text{st}_{\rho,\sigma}(F) \neq (u, 0)$ . Consequently, by (2.5) and (4.4),

$$\# \text{factors}(\mathfrak{f}) = \# \text{factors}(f_{P,\rho,\sigma}^{(l)}) + 1 \leq \deg(f_{F,\rho,\sigma}^{(l)}) + 1 \leq M.$$

On the other hand, if  $s = 0$ , then again by (2.5) and (4.4),

$$\# \text{factors}(\mathfrak{f}) = \# \text{factors}(f_{P,\rho,\sigma}^{(l)}) \leq \deg(f_{F,\rho,\sigma}^{(l)}) \leq M,$$

as desired, proving the assertion.

For the sake of simplicity we set  $N := \gamma + s$ . Since  $\deg \mathfrak{f} = N$ , by (4.7) there exists at least one factor  $x - \lambda$  of  $\mathfrak{f}$  with multiplicity  $m_\lambda$  greater or equal to  $N/M$ . We take  $\lambda \in K$  such that the multiplicity of  $x - \lambda$  in  $\mathfrak{f}(x)$  is maximum. We have

$$\mathfrak{f}(x) = \sum_{i=m_\lambda}^N a_i (x - \lambda)^i \quad \text{with } a_i \in K, a_{m_\lambda}, a_N \neq 0 \text{ and } m_\lambda \geq \frac{N}{M}. \quad (4.9)$$

Note that, since  $\text{st}_{\rho,\sigma}(P) = (r/l, s)$ , by equality (2.6) we have

$$\ell_{\rho,\sigma}(P) = x^{\frac{r}{l}} y^s f_{P,\rho,\sigma}^{(l)}(x^{-\frac{\sigma}{\rho}} y) = x^{\frac{r}{l} + s \frac{\sigma}{\rho}} (x^{-\frac{\sigma}{\rho}} y)^s f_{P,\rho,\sigma}^{(l)}(x^{-\frac{\sigma}{\rho}} y) = x^{\frac{k}{l'}} \mathfrak{f}(x^{-\frac{\sigma}{\rho}} y),$$

where  $k := \frac{rl'}{l} + \frac{l'\sigma}{\rho}$ . So, by Proposition 4.2,

$$\ell_{\rho,\sigma}(\varphi(P)) = \varphi_L(\ell_{\rho,\sigma}(P)) = x^{\frac{k}{l'}} \mathfrak{f}(x^{-\frac{\sigma}{\rho}} y + \lambda) = \sum_{i=m_\lambda}^N a_i x^{\frac{k}{l'}} (x^{-\frac{\sigma}{\rho}} y)^i,$$

since  $\varphi_L(x^{-\sigma/\rho} y) = x^{-\sigma/\rho} y + \lambda$ . But then, by the first equality in (2.5),

$$\text{st}_{\rho,\sigma}(\varphi(P)) = \left( \frac{k}{l'} - m_\lambda \frac{\sigma}{\rho}, m_\lambda \right) = \left( \frac{r}{l} + \frac{s\sigma}{\rho} - m_\lambda \frac{\sigma}{\rho}, m_\lambda \right). \quad (4.10)$$

Note also that by (4.5),

$$\text{en}_{\rho,\sigma}(P) = \left(\frac{r}{l} - \frac{\gamma\sigma}{\rho}, N\right) = \left(\frac{k}{l'} - \frac{N\sigma}{\rho}, N\right). \quad (4.11)$$

We claim that

$$v_{1,-1}(\text{st}_{\rho,\sigma}(\varphi(P))) < 0. \quad (4.12)$$

First note that by Proposition 4.2 (with  $l$  replaced by  $l'$ ),

$$v_{\rho,\sigma}(\varphi(P)) = v_{\rho,\sigma}(P) \quad \text{and} \quad v_{\rho,\sigma}(\varphi(Q)) = v_{\rho,\sigma}(Q). \quad (4.13)$$

So, item (9) holds and the hypothesis of Theorem 3.5 are fulfilled for  $\varphi(P)$ ,  $\varphi(Q)$ ,  $(\rho, \sigma)$  and  $l'$ . Item (2) of that theorem gives

$$v_{1,-1}(\text{st}_{\rho,\sigma}(\varphi(P))) \neq 0. \quad (4.14)$$

On the other hand, by the first equality in (4.3) and item (2) of Proposition 2.4,

$$\text{en}_{\rho,\sigma}(F) = (1, 1) \quad \text{or} \quad \text{en}_{\rho,\sigma}(F) \sim \text{en}_{\rho,\sigma}(P).$$

In the first case

$$\text{Supp}(F) \subseteq \{(1, 1), (1 + \sigma/\rho, 0)\}, \quad (4.15)$$

and so  $\deg(f_{F,\rho,\sigma}^{(l)}) \leq 1$ . Hence, by (4.4),

$$1 \leq \# \text{ factors}(f_{F,\rho,\sigma}^{(l)}) \leq \deg(f_{F,\rho,\sigma}^{(l)}) \leq 1, \quad (4.16)$$

and consequently in (4.15) the equality holds. Thus,  $\text{st}_{\rho,\sigma}(F) = (1 + \sigma/\rho, 0)$ , which implies that  $l' = l$  and, by (4.8), also implies that  $s = 0$ . Therefore  $k = r$ ,  $N = \gamma$  and  $f_{P,\rho,\sigma}^{(l)} = \mathfrak{f}$ . So, by (4.16)

$$\mathfrak{f} = a_N(x - \lambda)^N.$$

where  $a_N$  is as in (4.9). But then  $m_\lambda = N = \gamma$  and so, by (4.10) and (4.11),

$$\text{st}_{\rho,\sigma}(\varphi(P)) = \left(\frac{r}{l} - \gamma\frac{\sigma}{\rho}, N\right) = \text{en}_{\rho,\sigma}(P),$$

which finishes the proof of item (11) and yields (4.12), since  $v_{1,-1}(\text{en}_{\rho,\sigma}(P)) < 0$ .

In the second case, by (4.11)

$$(M_0, M) := \text{en}_{\rho,\sigma}(F) \sim \text{en}_{\rho,\sigma}(P) = (N_0, N),$$

where

$$N_0 := \frac{r}{l} - \frac{\gamma\sigma}{\rho} = \frac{k}{l'} - \frac{N\sigma}{\rho}. \quad (4.17)$$

Since, by (4.7)

$$M \geq 1 \quad \text{and} \quad N := \deg(\mathfrak{f}) \geq \# \text{ factors}(\mathfrak{f}) \geq 1,$$

we have  $\frac{N_0}{N} = \frac{M_0}{M}$ . Hence, by (4.6), (4.9) and (4.17),

$$\frac{k\rho}{l'(\rho + \sigma)} = \frac{k/l'}{1 + \sigma/\rho} = \frac{N_0 + N\frac{\sigma}{\rho}}{M_0 + M\frac{\sigma}{\rho}} = \frac{N(N_0/N + \sigma/\rho)}{M(M_0/M + \sigma/\rho)} = \frac{N}{M} \leq m_\lambda,$$

which, combined with (4.10), gives

$$v_{1,-1}(\text{st}_{\rho,\sigma}(\varphi(P))) = \frac{k}{l'} - m_\lambda \frac{\sigma}{\rho} - m_\lambda = \left(\frac{\sigma + \rho}{\rho}\right) \left(\frac{k\rho}{l'(\rho + \sigma)} - m_\lambda\right) \leq 0.$$

Taking into account (4.14), this yields (4.12), ending the proof of the claim. Now, by item (2) of Theorem 2.12, there exist relatively prime  $\bar{m}, \bar{n} \in \mathbb{N}$ ,  $\lambda_P, \lambda_Q \in K^\times$  and a  $(\rho, \sigma)$ -homogeneous  $R \in L^{(l)}$  such that

$$\frac{\bar{n}}{\bar{m}} = \frac{v_{\rho,\sigma}(Q)}{v_{\rho,\sigma}(P)}, \quad \ell_{\rho,\sigma}(P) = \lambda_P R^{\bar{m}} \quad \text{and} \quad \ell_{\rho,\sigma}(Q) = \lambda_Q R^{\bar{n}}. \quad (4.18)$$

Hence, again by Proposition 4.2,

$$\ell_{\rho,\sigma}(\varphi(P)) = \lambda_P \varphi_L(R)^{\bar{m}} \quad \text{and} \quad \ell_{\rho,\sigma}(\varphi(Q)) = \lambda_Q \varphi_L(R)^{\bar{n}}. \quad (4.19)$$

Consequently, by items (4) and (5) of Proposition 1.8,

$$\text{st}_{\rho,\sigma}(\varphi(P)) = \bar{m} \text{st}_{\rho,\sigma}(\varphi_L(R)), \quad \text{en}_{\rho,\sigma}(\varphi(P)) = \bar{m} \text{en}_{\rho,\sigma}(\varphi_L(R)) \quad (4.20)$$

and

$$\text{st}_{\rho,\sigma}(\varphi(Q)) = \bar{n} \text{st}_{\rho,\sigma}(\varphi_L(R)), \quad \text{en}_{\rho,\sigma}(\varphi(Q)) = \bar{n} \text{en}_{\rho,\sigma}(\varphi_L(R)), \quad (4.21)$$

and so

$$\text{st}_{\rho,\sigma}(\varphi(P)) = \frac{\bar{m}}{\bar{n}} \text{st}_{\rho,\sigma}(\varphi(Q)) \quad \text{and} \quad \text{en}_{\rho,\sigma}(\varphi(P)) = \frac{\bar{m}}{\bar{n}} \text{en}_{\rho,\sigma}(\varphi(Q)). \quad (4.22)$$

We assert that

$$v_{0,1}(\text{st}_{\rho,\sigma}(\varphi_L(R))) \geq 1. \quad (4.23)$$

In fact, otherwise  $v_{0,1}(\text{st}_{\rho,\sigma}(\varphi_L(R))) = 0$ , and so

$$\text{st}_{\rho,\sigma}(\varphi_L(R)) = (h, 0) \quad \text{with } h \in \frac{1}{l'}\mathbb{Z}.$$

Then

$$v_{\rho,\sigma}(\varphi_L(R)) = v_{\rho,\sigma}(\text{st}_{\rho,\sigma}(\varphi_L(R))) = \rho h < 0, \quad (4.24)$$

since  $\rho > 0$  and, by (4.12) and (4.20),

$$h = v_{1,-1}(\text{st}_{\rho,\sigma}(\varphi_L(R))) < 0.$$

But, by item (3) of Proposition 1.8, the second equality in (4.18), and the facts that  $\varphi_L$  is  $(\rho, \sigma)$ -homogeneous, and, by hypothesis,  $v_{\rho,\sigma}(P) > 0$ , we have

$$v_{\rho,\sigma}(\varphi_L(R)) = v_{\rho,\sigma}(R) > 0,$$

which contradicts (4.24). Hence inequality (4.23) is true. Take now

$$(\rho', \sigma') := \max\{(\rho'', \sigma'') \in \overline{\text{Val}}(\varphi(P)) : (\rho'', \sigma'') < (\rho, \sigma)\}$$

and

$$(\bar{\rho}, \bar{\sigma}) := \max\{(\rho'', \sigma'') \in \overline{\text{Val}}(\varphi(Q)) : (\rho'', \sigma'') < (\rho, \sigma)\}.$$

By Proposition 2.23

$$\text{en}_{\rho',\sigma'}(\varphi(P)) = \text{st}_{\rho,\sigma}(\varphi(P)) \quad \text{and} \quad \text{en}_{\bar{\rho},\bar{\sigma}}(\varphi(Q)) = \text{st}_{\rho,\sigma}(\varphi(Q)). \quad (4.25)$$

Combining the first equality with equality (4.10), we obtain item (6). Moreover, by the first equalities in (4.13) and (4.25),

$$v_{\rho,\sigma}(\text{en}_{\rho',\sigma'}(\varphi(P))) = v_{\rho,\sigma}(\text{st}_{\rho,\sigma}(\varphi(P))) = v_{\rho,\sigma}(\varphi(P)) = v_{\rho,\sigma}(P) > 0,$$

where the last inequality is true by hypothesis. Consequently

$$\text{en}_{\rho',\sigma'}(\varphi(P)) \neq (0, 0). \quad (4.26)$$

We claim that

$$(\rho', \sigma') = (\bar{\rho}, \bar{\sigma}). \quad (4.27)$$

In order to prove this we proceed by contradiction. Assume that  $(\rho', \sigma') > (\bar{\rho}, \bar{\sigma})$ . Then

$$\text{st}_{\rho,\sigma}(\varphi(Q)) = \text{en}_{\rho',\sigma'}(\varphi(Q)) = \text{st}_{\rho',\sigma'}(\varphi(Q)), \quad (4.28)$$

where the first equality follows from Proposition 2.23, and the second one, from the fact that  $(\rho', \sigma') \notin \overline{\text{Val}}(\varphi(Q))$ . Furthermore

$$\text{en}_{\rho',\sigma'}(\varphi(P)) \neq \text{st}_{\rho',\sigma'}(\varphi(P)) \quad (4.29)$$

since  $(\rho', \sigma') \in \text{Val}(\varphi(P))$ . Now, by (4.25), (4.22) and (4.28),

$$\begin{aligned} \text{en}_{\rho', \sigma'}(\varphi(P)) &= \text{st}_{\rho, \sigma}(\varphi(P)) \\ &= \frac{\bar{m}}{\bar{n}} \text{st}_{\rho, \sigma}(\varphi(Q)) \\ &= \frac{\bar{m}}{\bar{n}} \text{en}_{\rho', \sigma'}(\varphi(Q)) \\ &= \frac{\bar{m}}{\bar{n}} \text{st}_{\rho', \sigma'}(\varphi(Q)). \end{aligned} \tag{4.30}$$

We assert that

$$\text{en}_{\rho', \sigma'}(\varphi(P)) \approx \text{st}_{\rho', \sigma'}(\varphi(P)). \tag{4.31}$$

Otherwise, by the inequalities in (4.26) and (4.29) there exists  $\mu \in K \setminus \{1\}$  such that

$$\text{st}_{\rho', \sigma'}(\varphi(P)) = \mu \text{en}_{\rho', \sigma'}(\varphi(P)).$$

which implies that

$$v_{\rho', \sigma'}(\varphi(P)) = \mu v_{\rho', \sigma'}(\varphi(P)). \tag{4.32}$$

On the other hand, by (4.30)

$$v_{\rho', \sigma'}(\varphi(Q)) = \frac{\bar{n}}{\bar{m}} v_{\rho', \sigma'}(\varphi(P)),$$

which combined with equality (4.32), gives

$$v_{\rho', \sigma'}(\varphi(P)) = 0 = v_{\rho', \sigma'}(\varphi(Q)).$$

But this contradicts Remark 1.11, since  $[\varphi(Q), \varphi(P)] = 1$  and  $\rho' + \sigma' > 0$ . Hence the condition (4.31) is fulfilled. Combining this fact with (4.30), we obtain

$$\text{st}_{\rho', \sigma'}(\varphi(Q)) \approx \text{st}_{\rho', \sigma'}(\varphi(P)).$$

Hence  $[\varphi(Q), \varphi(P)]_{\rho', \sigma'} \neq 0$ , by Corollary 2.7. Then, since  $[\varphi(Q), \varphi(P)] = 1$ , it follows from item (1) of Proposition 2.4 that

$$\text{st}_{\rho', \sigma'}(\varphi(P)) + \text{st}_{\rho', \sigma'}(\varphi(Q)) - (1, 1) = \text{st}_{\rho', \sigma'}(1) = (0, 0), \tag{4.33}$$

which implies that

$$v_{0,1}(\ell_{\rho', \sigma'}(\varphi(Q))) \in \{0, 1\}, \tag{4.34}$$

because the second coordinates in (4.33) are non-negative. But, by (4.19), (4.23), (4.28), item (4) of Proposition 1.8, and the fact that  $\bar{n} > 1$ , by the first equality in (4.18),

$$v_{0,1}(\text{en}_{\rho', \sigma'}(\varphi(Q))) = v_{0,1}(\text{st}_{\rho, \sigma}(\varphi(Q))) = \bar{n} v_{0,1}(\text{st}_{\rho, \sigma}(\varphi_L(R))) > 1,$$

which contradicts (4.34). Consequently,  $(\rho', \sigma') > (\bar{\rho}, \bar{\sigma})$  is impossible. Similarly one can prove that  $(\rho', \sigma') < (\bar{\rho}, \bar{\sigma})$  is also impossible, and so (4.27) is true.

Using (4.22), (4.25), (4.27), and the fact that  $\bar{m}/\bar{n} = v_{\rho, \sigma}(P)/v_{\rho, \sigma}(Q)$ , we obtain

$$\text{en}_{\rho', \sigma'}(\varphi(Q)) = \text{st}_{\rho, \sigma}(\varphi(Q))$$

and

$$\text{en}_{\rho', \sigma'}(\varphi(P)) = \text{st}_{\rho, \sigma}(\varphi(P)) = \frac{v_{\rho, \sigma}(P)}{v_{\rho, \sigma}(Q)} \text{en}_{\rho', \sigma'}(\varphi(Q)), \tag{4.35}$$

which proves item (7) and combined with (4.12), also proves item (2). Hence  $(\rho', \sigma') \neq (1, -1)$ , since otherwise

$$v_{1, -1}(\varphi(P)) < 0 \quad \text{and} \quad v_{1, -1}(\varphi(Q)) < 0,$$

which is impossible, because it contradicts Remark 1.11, since  $[\varphi(Q), \varphi(P)] = 1$ . This concludes the proof of item (1). Now item (3) follows, since by (4.35),

$$v_{\rho', \sigma'}(\varphi(P)) \leq 0 \iff v_{\rho', \sigma'}(\varphi(Q)) \leq 0,$$

and so, again by Remark 1.11, the falseness of item (3) implies

$$v_{\rho', \sigma'}(1) = v_{\rho', \sigma'}([\varphi(Q), \varphi(P)]) \leq v_{\rho', \sigma'}(\varphi(Q)) + v_{\rho', \sigma'}(\varphi(P)) - (\rho' + \sigma') < 0,$$

which is impossible. Item (4) also follows from (4.35), item (5) from Proposition 4.2 and item (10) from item (9) and the facts that  $[\varphi(Q), \varphi(P)] = [Q, P]$  and  $[Q, P]_{\rho, \sigma} = 0$ . Finally we prove Item (8). Note that by item (6) and (4.10)

$$\text{en}_{\rho', \sigma'}(\varphi(P)) = \text{st}_{\rho, \sigma}(\varphi(P)) = \left( \frac{k}{l'} - m_\lambda \frac{\sigma}{\rho}, m_\lambda \right), \quad (4.36)$$

and so by (4.11),

$$v_{0,1}(\text{en}_{\rho', \sigma'}(\varphi(P))) = m_\lambda \leq N = v_{0,1}(\text{en}_{\rho, \sigma}(P)),$$

Furthermore, if the equality holds, then by (4.11) and (4.36),

$$\text{en}_{\rho', \sigma'}(\varphi(P)) = \text{en}_{\rho, \sigma}(P) \quad \text{and} \quad x^s f_{P, \rho, \sigma}^{(l)}(x) = \mathfrak{f}(x) = a_N(x - \lambda)^N,$$

where  $a_N$  is as in (4.9). But  $\deg(f_{P, \rho, \sigma}^{(l)}) > 0$  and  $x \nmid f_{P, \rho, \sigma}^{(l)}$ , since  $(\rho, \sigma) \in \text{Val}(P)$  and  $f_{P, \rho, \sigma}^{(l)}(0) \neq 0$ . Hence, from the last equality it follows that  $\lambda \neq 0$ ,  $s = 0$  and  $\text{st}_{\rho, \sigma}(P) = (k/l', 0)$ . So, by (2.6)

$$\ell_{\rho, \sigma}(P) = x^{\frac{k}{l'}} \mathfrak{f}(x^{-\frac{\sigma}{\rho}} y) = a_N x^{\frac{k}{l'}} (x^{-\frac{\sigma}{\rho}} y - \lambda)^N = \sum_{i=0}^N a_N \binom{N}{i} \lambda^{N-i} x^{\frac{k}{l'} - i \frac{\sigma}{\rho}} y^i.$$

Consequently,

$$\left( \frac{k}{l'} - \frac{(N-1)\sigma}{\rho}, N-1 \right) \in \text{Supp}(P),$$

since  $\lambda \neq 0$ . This finishes the proof because

$$\text{en}_{\rho, \sigma}(P) + \left( \frac{\sigma}{\rho}, -1 \right) = \left( \frac{k}{l'} - \frac{(N-1)\sigma}{\rho}, N-1 \right)$$

by (4.11). □

The following definition generalize [3, Def. 2.2].

**Definition 4.4.** Let  $l \in \mathbb{N}$ . For each  $(r, s) \in \frac{1}{l}\mathbb{Z} \times \mathbb{Z} \setminus \mathbb{Z}(1, 1)$ , we define  $\text{val}(r, s)$  to be the unique  $(\rho, \sigma) \in \mathfrak{V}$  such that  $v_{\rho, \sigma}(r, s) = 0$ .

*Remark 4.5.* Note that if  $P \in W^{(l)} \setminus \{0\}$  and  $(\rho, \sigma) \in \text{Val}(P)$ , then

$$(\rho, \sigma) = \text{val}(\text{en}_{\rho, \sigma}(P) - \text{st}_{\rho, \sigma}(P)).$$

**Proposition 4.6.** Let  $P, Q \in W^{(l)}$  and let  $(\rho, \sigma) \in \mathfrak{V}$  such that conditions (a), (b), (c), (d) and (f) of Proposition 4.3 are fulfilled. Assume that  $\frac{v_{\rho, \sigma}(Q)}{v_{\rho, \sigma}(P)} = \frac{n}{m}$  with  $n, m > 1$  and  $\gcd(n, m) = 1$ . Then

$$\frac{1}{m} \text{en}_{\rho, \sigma}(P) \neq \left( \bar{r} - \frac{1}{l}, \bar{r} \right),$$

for all  $\bar{r} \geq 2$ .

*Proof.* We will assume that

$$\frac{1}{m} \text{en}_{\rho, \sigma}(P) = \left( \bar{r} - \frac{1}{l}, \bar{r} \right), \quad (4.37)$$

for some fixed  $\bar{r} \geq 2$  and we will prove successively the following two items:

- (1)  $[P, Q]_{\rho, \sigma} = 0$ ,  $v_{1, -1}(\text{en}_{\rho, \sigma}(P)) < 0$  and  $v_{1, -1}(\text{en}_{\rho, \sigma}(Q)) < 0$ .

- (2)  $\rho|l$  and there exist  $\varphi \in \text{Aut}(W^{(l)})$  and  $(\rho_1, \sigma_1) \in \mathfrak{V}$  with  $(\rho_1, \sigma_1) < (\rho, \sigma)$ , such that  $P_1 := \varphi(P)$ ,  $Q_1 := \varphi(Q)$  and  $(\rho_1, \sigma_1)$  satisfy conditions (a), (b), (c), (d) and (f) of Proposition 4.3 (more precisely, these conditions are fulfilled with  $(P_1, Q_1)$  playing the role of  $(P, Q)$  and  $(\rho_1, \sigma_1)$  playing the role of  $(\rho, \sigma)$ ). Furthermore,

$$\frac{v_{\rho_1, \sigma_1}(P_1)}{v_{\rho_1, \sigma_1}(Q_1)} = \frac{v_{\rho, \sigma}(P)}{v_{\rho, \sigma}(Q)} \quad \text{and} \quad \frac{1}{m} \text{en}_{\rho_1, \sigma_1}(P_1) = \left( \bar{r} - \frac{1}{l}, \bar{r} \right).$$

Item (2) yields an infinite, descending chain of valuations  $(\rho_k, \sigma_k)$ , such that  $\rho_k|l$ . But there are only finitely many  $\rho_k$ 's with  $\rho_k|l$ . Moreover,  $0 < -\sigma_k < \rho_k$ , so there are only finitely many  $(\rho_k, \sigma_k)$  possible, which provide us with the desired contradiction.

We first prove item (1). Set  $A := \frac{1}{m} \text{en}_{\rho, \sigma}(P)$  and suppose  $[P, Q]_{\rho, \sigma} \neq 0$ . Since

$$v_{\rho, \sigma}(P) = v_{\rho, \sigma}(mA), \quad v_{\rho, \sigma}(Q) = v_{\rho, \sigma}(nA) \quad \text{and} \quad [P, Q] = 1,$$

under this assumption we have

$$v_{\rho, \sigma}(mA + nA - (1, 1)) = v_{\rho, \sigma}(P) + v_{\rho, \sigma}(Q) - v_{\rho, \sigma}(1, 1) = 0.$$

Consequently,

$$v_{\rho, \sigma}(A) = \frac{\rho + \sigma}{m + n} \quad \text{and} \quad \rho(m\bar{r}l + n\bar{r}l - m - n - l) = -\sigma(m\bar{r}l + n\bar{r}l - l), \quad (4.38)$$

where for the second equality we use assumption (4.37). Let

$$d := \gcd(m\bar{r}l + n\bar{r}l - l, m + n - m\bar{r}l - n\bar{r}l + l) = \gcd(l, m + n).$$

From the second equality in (4.38), it follows that

$$\rho = \frac{m\bar{r}l + n\bar{r}l - l}{d} \quad \text{and} \quad \sigma = \frac{m + n - m\bar{r}l - n\bar{r}l + l}{d} = \frac{m + n}{d} - \rho, \quad (4.39)$$

and so  $\rho + \sigma = (m + n)/d$ . Hence, by the first equality in (4.38),

$$v_{\rho, \sigma}(P) = mv_{\rho, \sigma}(A) = \frac{m(\rho + \sigma)}{m + n} = \frac{m}{d} \quad \text{and} \quad v_{\rho, \sigma}(Q) = nv_{\rho, \sigma}(A) = \frac{n}{d}.$$

We will see that we are lead to

$$v_{1, -1}(P) \leq 0 \quad \text{and} \quad v_{1, -1}(Q) \leq 0, \quad (4.40)$$

which is impossible, since  $[P, Q] = 1$ . In order to prove (4.40), it suffices to check that if  $(i, j) \in \frac{1}{l}\mathbb{Z} \times \mathbb{N}_0$  and  $i > j$ , then  $v_{\rho, \sigma}(i, j) > \max\{v_{\rho, \sigma}(P), v_{\rho, \sigma}(Q)\}$ . But, writing  $(i, j) = (j + \frac{s}{l}, j)$  with  $s \in \mathbb{N}$ , we obtain

$$\begin{aligned} v_{\rho, \sigma}(i, j) &= \rho j + \rho \frac{s}{l} + \frac{m + n}{d} j - \rho j && \text{by (4.39)} \\ &= \frac{s(m\bar{r} + n\bar{r} - 1)}{d} + \frac{m + n}{d} j && \text{by (4.39)} \\ &\geq \frac{(m + n)\bar{r} - 1}{d} \\ &\geq \frac{m + n}{d} && \text{since } \bar{r} \geq 2 \text{ and } m + n \geq 1. \\ &> \max\{m/d, n/d\} \\ &= \max\{v_{\rho, \sigma}(P), v_{\rho, \sigma}(Q)\}. \end{aligned}$$

This concludes the proof that  $[P, Q]_{\rho, \sigma} = 0$ . Now, by Corollary 2.7 and the assumption (4.37), we have

$$v_{1, -1}(\text{en}_{\rho, \sigma}(P)) = mv_{1, -1}\left(\bar{r} - \frac{1}{l}, \bar{r}\right) < 0$$

and

$$v_{1,-1}(\text{en}_{\rho,\sigma}(Q)) = \frac{n}{m} v_{1,-1}(\text{en}_{\rho,\sigma}(P)) < 0,$$

which finishes the proof of item (1).

We now prove item (2). By Item (1), the hypothesis of Proposition 4.3 are satisfied. Let  $(\rho', \sigma')$  and  $\varphi$  be as in its statement. Set

$$P_1 := \varphi(P), \quad Q_1 := \varphi(Q) \quad \text{and} \quad (\rho_1, \sigma_1) := (\rho', \sigma').$$

By items (1), (3) and (4) of Proposition 4.3, we know that

$$\frac{v_{\rho_1, \sigma_1}(P_1)}{v_{\rho_1, \sigma_1}(Q_1)} = \frac{v_{\rho, \sigma}(P)}{v_{\rho, \sigma}(Q)},$$

and that conditions (a), (c), (d) and (f) of that proposition are fulfilled for  $P_1$ ,  $Q_1$  and  $(\rho_1, \sigma_1)$ . Moreover condition (b) follows immediately from the fact that  $\varphi$  is an algebra automorphism. It remains to prove that

$$\rho \mid l \quad \text{and} \quad \frac{1}{m} \text{en}_{\rho_1, \sigma_1}(P_1) = \left( \bar{r} - \frac{1}{l}, \bar{r} \right). \quad (4.41)$$

By item (11) of Proposition 4.3, there is a  $(\rho, \sigma)$ -homogeneous element  $F$ , which is not a monomial, such that

$$[P, F]_{\rho, \sigma} = \ell_{\rho, \sigma}(P) \quad \text{and} \quad v_{\rho, \sigma}(F) = \rho + \sigma. \quad (4.42)$$

By item (2) of Proposition 2.4,

$$\text{en}_{\rho, \sigma}(F) = (1, 1) \quad \text{or} \quad \text{en}_{\rho, \sigma}(F) \sim \text{en}_{\rho, \sigma}(P).$$

By items (6) and (11) of Proposition 4.3, in the first case we have

$$\frac{1}{m} \text{en}_{\rho_1, \sigma_1}(P_1) = \frac{1}{m} \text{st}_{\rho, \sigma}(P_1) = \frac{1}{m} \text{en}_{\rho, \sigma}(P) = \left( \bar{r} - \frac{1}{l}, \bar{r} \right).$$

Hence, by item (8) of the same proposition,

$$\text{en}_{\rho, \sigma}(P) + \left( \frac{\sigma}{\rho}, -1 \right) \in \text{Supp}(P) \subseteq \frac{1}{l} \mathbb{Z} \times \mathbb{Z}.$$

Since  $\text{en}_{\rho, \sigma}(P) \in \frac{1}{l} \mathbb{Z} \times \mathbb{N}_0$ , this implies that

$$\left( \frac{\sigma}{\rho}, -1 \right) \in \frac{1}{l} \mathbb{Z} \times \mathbb{Z},$$

and so  $\rho \mid \sigma l$ . But then  $\rho \mid l$ , since  $\gcd(\rho, \sigma) = 1$ . This finishes the proof of (4.41) when  $\text{en}_{\rho, \sigma}(F) = (1, 1)$ .

Assume now that  $\text{en}_{\rho, \sigma}(F) \sim \text{en}_{\rho, \sigma}(P)$ . Then, since  $(\bar{r} - \frac{1}{l}, \bar{r})$  is indivisible in  $\frac{1}{l} \mathbb{Z} \times \mathbb{N}_0$ , we have

$$\text{en}_{\rho, \sigma}(F) = \mu \frac{1}{m} \text{en}_{\rho, \sigma}(P) = \mu \left( \bar{r} - \frac{1}{l}, \bar{r} \right) \quad \text{with } \mu \in \mathbb{N}. \quad (4.43)$$

We claim that

$$\mu = 1, \quad \bar{r} = 2 \quad \text{and} \quad \rho = l.$$

By item (2) of Theorem 2.12 there exist  $\lambda_P, \lambda_Q \in K^\times$  and a  $(\rho, \sigma)$ -homogeneous polynomial  $R \in L^{(l)}$ , such that

$$\ell_{\rho, \sigma}(P) = \lambda_P R^m \quad \text{and} \quad \ell_{\rho, \sigma}(Q) = \lambda_Q R^n. \quad (4.44)$$

Note that  $R$  is not a monomial, since  $(\rho, \sigma) \in \text{Val}(P)$ . By item (5) of Proposition 1.8 and the assumption (4.37), we have

$$\text{en}_{\rho, \sigma}(R) = \left( \bar{r} - \frac{1}{l}, \bar{r} \right). \quad (4.45)$$



Hence, by item (2) of Proposition 2.24,

$$v_{1,-1}(\text{st}_{\rho,\sigma}(R)) > v_{1,-1}(\text{en}_{\rho,\sigma}(R)) = -\frac{1}{l}. \quad (4.46)$$

Since, by item (2) of Theorem 3.5 and item (4) of Proposition 1.8,

$$v_{1,-1}(\text{st}_{\rho,\sigma}(R)) = \frac{1}{m}v_{1,-1}(\text{st}_{\rho,\sigma}(P)) \neq 0,$$

from inequality (4.46) it follows that

$$v_{1,-1}(\text{st}_{\rho,\sigma}(R)) > 0. \quad (4.47)$$

Moreover, by equality (4.43) and the second equality in (4.42)

$$v_{\rho,\sigma}\left(\mu\left(\bar{r} - \frac{1}{l}, \bar{r}\right) - (1, 1)\right) = 0,$$

which implies that

$$\rho(\mu\bar{r}l - \mu - l) = -\sigma(\mu\bar{r}l - l). \quad (4.48)$$

Let

$$d := \gcd(\mu\bar{r}l - \mu - l, \mu\bar{r}l - l) = \gcd(\mu, l). \quad (4.49)$$

By equality (4.48)

$$\rho = \frac{\mu\bar{r}l - l}{d} \quad \text{and} \quad \sigma = \frac{\mu - \mu\bar{r}l + l}{d} = \frac{\mu}{d} - \rho.$$

Hence

$$\rho = \frac{\mu\bar{r}l - l}{d} \quad \text{and} \quad \rho + \sigma = \frac{\mu}{d}. \quad (4.50)$$

So,

$$v_{\rho,\sigma}\left(j + \frac{s}{l}, j\right) = \frac{\mu j}{d} + \frac{(\mu\bar{r} - 1)s}{d} \geq \frac{\mu\bar{r} - 1}{d} \quad \text{for all } j \in \mathbb{N}_0 \text{ and } s \in \mathbb{N}. \quad (4.51)$$

If  $\bar{r} > 2$  or  $\mu > 1$ , this yields

$$v_{\rho,\sigma}\left(j + \frac{s}{l}, j\right) > \frac{1}{d} = v_{\rho,\sigma}(R),$$

where the last equality follows from (4.45) and (4.50). Hence, no  $(i, j) \in \frac{1}{l}\mathbb{Z} \times \mathbb{N}$  with  $i > j$  lies in the support of  $R$ , and so  $v_{1,-1}(\text{st}_{\rho,\sigma}(R)) \leq 0$ , which contradicts inequality (4.47). Thus, necessarily  $\bar{r} = 2$  and  $\mu = 1$ , which, by equality (4.49) and the first equality in (4.50), implies  $d = 1$  and  $\rho = l$ . This finishes the proof of the claim. Combining this with (4.43) and (4.45), we obtain

$$\text{en}_{\rho,\sigma}(F) = \text{en}_{\rho,\sigma}(R) = \left(2 - \frac{1}{\rho}, 2\right). \quad (4.52)$$

Now, by (2.5), there exists  $\gamma \in \{1, 2\}$ , such that

$$\text{st}_{\rho,\sigma}(R) = \left(2 - \frac{1}{\rho} + \frac{\gamma\sigma}{\rho}, 2 - \gamma\right) = \left(1 + \frac{(\gamma - 1)\sigma}{\rho}, 2 - \gamma\right),$$

where the last equality follows from the fact that, by the second equality in (4.50), we have  $\rho + \sigma = 1$ . But the case  $\gamma = 1$  is impossible, since it contradicts inequality (4.47). Thus, necessarily

$$\text{st}_{\rho,\sigma}(R) = \left(1 + \frac{\sigma}{\rho}, 0\right) = \left(\frac{1}{\rho}, 0\right). \quad (4.53)$$

Note that from equalities (4.52) and (4.53) it follows that  $\deg(f_{R,\rho,\sigma}^{(\rho)}) = 2$ . Hence, by Remark (2.11) and the first equality in (4.44),

$$f_{P,\rho,\sigma}^{(\rho)} = a(x - \lambda)^{2m} \quad \text{or} \quad f_{P,\rho,\sigma}^{(\rho)} = a(x - \lambda)^m(x - \lambda')^m,$$

where  $a, \lambda, \lambda' \in K^\times$  and  $\lambda' \neq \lambda$ . Let  $\mathfrak{f}$  be as in Proposition 4.3. By item (4) of Proposition 1.8, the first equality in (4.44) and equality (4.53),

$$\text{st}_{\rho,\sigma}(P) = \left(\frac{m}{\rho}, 0\right) \quad \text{and} \quad \mathfrak{f} = f_{P,\rho,\sigma}^{(\rho)}.$$

Let  $m_\lambda$  be the multiplicity of  $x - \lambda$  in  $\mathfrak{f}$ . By item (6) of Proposition 4.3,

$$\text{en}_{\rho_1,\sigma_1}(P_1) = \text{st}_{\rho,\sigma}(P_1) = \left(\frac{m}{\rho} - m_\lambda \frac{\sigma}{\rho}, m_\lambda\right) = \begin{cases} (m, m) & \text{if } m_\lambda = m, \\ (2m - m/\rho, 2m) & \text{if } m_\lambda = 2m, \end{cases}$$

where for the computation we used that  $\rho + \sigma = 1$ . In order to finish the proof it is enough to show that the case  $m_\lambda$  is impossible, which will follow from item (2) of Theorem 3.5, if we can show that its hypothesis are satisfied by  $P_1$ ,  $Q_1$  and  $(\rho, \sigma)$ . But this is true by items (9) and (10) of Proposition 4.3.  $\square$

## 5 Computing lower bounds

Our next aim is to determine a lower bound for the value

$$B := \min\{\text{gcd}(v_{1,1}(P), v_{1,1}(Q)), \text{ where } (P, Q) \text{ is an irreducible pair}\}.$$

More precisely, we will prove that  $B > 14$ . In a forthcoming article we will carry these results over from Dixmier pairs to Jacobian pairs, where this first result already improves the lower bound for the greatest common divisor of the degrees given in [5] and [6] which is  $B > 8$ . We will also try to raise this lower bound to at least 52, which would imply that

$$\max\{\deg(P), \deg(Q)\} \geq 156,$$

improving thus the result of [4], which says that a Keller map  $F$  with  $\deg(F) < 101$  is invertible.

Let  $(P, Q)$  be an irreducible pair and let  $(\rho, \sigma) \in \text{Val}(P)$ . Assume that  $\sigma < 0$ . By [3, Prop. 6.3 (1) and (2)], we know that there exist  $\lambda_P, \lambda_Q \in K^\times$ ,  $n, m \in \mathbb{N}$ , a  $(\rho, \sigma)$ -homogeneous element  $R \in L$  and a  $(\rho, \sigma)$ -homogeneous element  $F \in W$ , which is not a monomial, such that  $n, m > 1$ ,  $\text{gcd}(m, n) = 1$  and

$$\ell_{\rho,\sigma}(P) = \lambda_P R^m, \quad \ell_{\rho,\sigma}(Q) = \lambda_Q R^n, \quad (5.1)$$

$$[F, P]_{\rho,\sigma} = \ell_{\rho,\sigma}(P), \quad v_{\rho,\sigma}(F) = \rho + \sigma. \quad (5.2)$$

$$\text{en}_{\rho,\sigma}(F) \neq (1, 1), \quad \text{en}_{\rho,\sigma}(F) = \mu \text{en}_{\rho,\sigma}(R) \quad \text{with } 0 < \mu < 1. \quad (5.3)$$

$$\frac{v_{\rho,\sigma}(P)}{v_{\rho,\sigma}(Q)} = \frac{v_{1,1}(P)}{v_{1,1}(Q)} = \frac{m}{n}. \quad (5.4)$$

**Proposition 5.1.** *It is true that*

$$\frac{1}{m} \text{en}_{\rho,\sigma}(P) \notin \{(3, 6), (4, 6)\}.$$

*Proof.* Write

$$(r, s) := \text{en}_{\rho,\sigma}(R) = \frac{1}{m} \text{en}_{\rho,\sigma}(P) \quad \text{and} \quad (r', s') := (\mu r, \mu s) = \text{en}_{\rho,\sigma}(F).$$

Since

$$\rho r' + \sigma s' = v_{\rho,\sigma}(F) = \rho + \sigma,$$

we have

$$\rho(r' - 1) = -\sigma(s' - 1), \quad (5.5)$$

which determines  $(\rho, \sigma)$  as a function of  $\text{en}_{\rho,\sigma}(F)$ , because  $\text{gcd}(\rho, \sigma) = 1$ ,  $\sigma < 0$  and  $\text{en}_{\rho,\sigma}(F) \neq (1, 1)$ . Note that the equality (5.5) means that

$$(\rho, \sigma) = \text{val}((r', s') - (1, 1)).$$

Also, we note that  $R$  is not a monomial since  $(\rho, \sigma) \in \text{Val}(P)$ , and so there exists  $\gamma \in \mathbb{N}$ , such that

$$\text{st}_{\rho, \sigma}(R) = (r + \gamma\sigma, s - \gamma\rho) \in \mathbb{N}_0 \times \mathbb{N}_0. \quad (5.6)$$

Next, we prove separately that  $(r, s) \neq (3, 6)$  and  $(r, s) \neq (4, 6)$ .

**Proof of  $(r, s) \neq (3, 6)$ .** Assume by contradiction that  $(r, s) = (3, 6)$ . Then, by the equality in (5.3) and [3, Prop. 6.3 (4)], necessarily

$$(r', s') := \text{en}_{\rho, \sigma}(F) = (2, 4). \quad (5.7)$$

Hence, by [3, Prop. 6.3 (6)], and equalities (5.5) and (5.6),

$$(\rho, \sigma) = (3, -1) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) = (1, 0). \quad (5.8)$$

Note now that, by (5.7) and [3, Lemma 5.6],

$$\ell_{3, -1}(F) = \mu_0 xy + \mu_1 x^2 y^4 \quad \text{with } \mu_0, \mu_1 \in K^\times.$$

Hence, by [3, Def. 1.20],

$$f_{F, 3, -1} = \mu_0 + \mu_1 x.$$

Moreover, since, by [3, Def. 1.20],

$$\text{st}_{3, -1}(R) = (1, 0) \quad \text{and} \quad \text{en}_{3, -1}(R) = (3, 6),$$

we have

$$\deg(f_{R, 3, -1}) = 2.$$

So, by the first equalities in (5.1) and (5.2), and [3, Rem. 1.21 and Prop. 4.6],

$$f_{R, 3, -1} = \mu(\mu_0 + \mu_1 x)^2 \quad \text{with } \mu \in K^\times.$$

Consequently, by Remark 2.10,

$$f_{R, 3, -1}^{(1)} = \mu(\mu_0 + \mu_1 x^3)^2.$$

Hence, by the first equality in (5.1), the second one in (5.8), item (4) of Proposition 1.8 and Remark 2.11,

$$\text{st}_{3, -1}(P) = (m, 0) \quad \text{and} \quad f_{P, 3, -1}^{(1)} = \mu^m(\mu_0 + \mu_1 x^3)^{2m}.$$

This implies that the polynomial  $\mathfrak{f}$ , introduced at the beginning of this Section, equals  $f_{P, 3, -1}^{(1)}$ , and that the multiplicity  $m_\lambda$  of each linear factor  $x - \lambda$  of  $\mathfrak{f}$  equals  $2m$ . So, if we verify that Conditions (a)–(g) of Proposition 4.3 are satisfied, we can and will apply it with  $\lambda \in K$  an arbitrary root of  $\mathfrak{f}$ . Now we proceed to check the above mentioned conditions. Items (a)–(b) are trivial and items (c)–(f) follow from [3, Prop. 3.6, 3.7 and Rem. 3.9]. Finally, item (g) is satisfied, since, by (5.1) and [3, Prop 1.9 (5)], we have

$$v_{1, -1}(\text{en}_{3, -1}(P)) = mv_{1, -1}(\text{en}_{3, -1}(R)) = -3m$$

and

$$v_{1, -1}(\text{en}_{3, -1}(Q)) = nv_{1, -1}(\text{en}_{3, -1}(R)) = -3n.$$

Let  $\varphi \in \text{Aut}(W^{(3)})$  and  $(\rho', \sigma') \in \mathfrak{V}$  be as in Proposition 4.3. Set

$$P_1 := \varphi(P), \quad Q_1 := \varphi(Q), \quad \rho_1 := \rho' \quad \text{and} \quad \sigma_1 := \sigma'.$$

By item (1), (3), (4) and (6) of Proposition 4.3 we know that  $P_1$ ,  $Q_1$  and  $(\rho_1, \sigma_1)$  satisfy conditions (a), (c), (d) and (f) in the statement of that proposition, and that

$$\text{en}_{\rho_1, \sigma_1}(P_1) = \text{st}_{3, -1}(P_1) = (5m/3, 2m). \quad (5.9)$$

Moreover condition (b) of Proposition 4.3 is trivially satisfied. This contradicts Proposition 4.6 for  $P_1$ ,  $Q_1$ ,  $l = 3$ ,  $(\rho_1, \sigma_1)$  and  $\bar{r} = 3$ , and eliminates so the case  $(r, s) = (3, 6)$ .

**Proof of  $(r, s) \neq (4, 6)$ .** Assume by contradiction that  $(r, s) = (4, 6)$ . Then, by equality (5.3) and [3, Prop. 6.3 (4)], necessarily

$$(r', s') := \text{en}_{\rho, \sigma}(F) = (2, 3). \quad (5.10)$$

Hence, by [3, Prop. 6.3 (6)], and equalities (5.5) and (5.6),

$$(\rho, \sigma) = (2, -1) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) = (1, 0). \quad (5.11)$$

Note now that, by (5.7) and [3, Lemma 5.6],

$$\ell_{2, -1}(F) = \mu_0 xy + \mu_1 x^2 y^3 \quad \text{with } \mu_0, \mu_1 \in K^\times.$$

Hence, by [3, Def. 1.20],

$$f_{F, 2, -1} = \mu_0 + \mu_1 x.$$

Moreover, since, by [3, Def. 1.20],

$$\text{st}_{2, -1}(R) = (1, 0) \quad \text{and} \quad \text{en}_{2, -1}(R) = (4, 6),$$

we have

$$\deg(f_{R, 2, -1}) = 3.$$

So, by equality (5.1) and [3, Rem. 1.21 and Prop. 4.6],

$$f_{R, 2, -1} = \mu(\mu_0 + \mu_1 x)^3 \quad \text{with } \mu \in K^\times.$$

Consequently, by Remark 2.10,

$$f_{R, 3, -1}^{(1)} = \mu(\mu_0 + \mu_1 x^2)^3.$$

Hence, by the first equality in (5.1), the second one in (5.8), item (4) of Proposition 1.8 and Remark 2.11,

$$\text{st}_{2, -1}(P) = (m, 0) \quad \text{and} \quad f_{P, 2, -1}^{(1)} = \mu^m(\mu_0 + \mu_1 x^2)^{3m}.$$

This implies that the polynomial  $\mathfrak{f}$ , introduced at the beginning of this Section, equals  $f_{P, 2, -1}^{(1)}$ , and that the multiplicity  $m_\lambda$  of each linear factor  $x - \lambda$  of  $\mathfrak{f}$  equals  $3m$ . So, if we verify that Conditions (a)–(g) of Proposition 4.3 are satisfied, we can and will apply it with  $\lambda \in K$  an arbitrary root of  $\mathfrak{f}$ . Now we proceed to check the above mentioned conditions. Items (a)–(b) are trivial and items (c)–(f) follow from [3, Prop. 3.6, 3.7 and Rem. 3.9]. Finally, item (g) is satisfied, since, by (5.1) and [3, Prop 1.9 (5)], we have

$$v_{1, -1}(\text{en}_{2, -1}(P)) = m v_{1, -1}(\text{en}_{2, -1}(R)) = -2m$$

and

$$v_{1, -1}(\text{en}_{2, -1}(Q)) = n v_{1, -1}(\text{en}_{2, -1}(R)) = -2n.$$

Let  $\varphi \in \text{Aut}(W^{(2)})$  and  $(\rho', \sigma') \in \mathfrak{V}$  be as in Proposition 4.3. Set

$$P_1 := \varphi(P), \quad Q_1 := \varphi(Q), \quad \rho_1 := \rho' \quad \text{and} \quad \sigma_1 := \sigma'.$$

By item (1), (3), (4) and (6) of Proposition 4.3 we know that  $P_1$ ,  $Q_1$  and  $(\rho_1, \sigma_1)$  satisfy conditions (a), (c), (d) and (f) in the statement of that proposition, and that

$$\text{en}_{\rho_1, \sigma_1}(P_1) = \text{st}_{2, -1}(P_1) = (5m/2, 3m). \quad (5.12)$$

Moreover condition (b) of Proposition 4.3 is trivially satisfied. This contradicts Proposition 4.6 for  $P_1$ ,  $Q_1$ ,  $l = 2$ ,  $(\rho_1, \sigma_1)$  and  $\bar{r} = 2$ , and eliminates so the case  $(r, s) = (4, 6)$ .  $\square$

**Proposition 5.2.** *Let*

$$B := \min\{\gcd(v_{1,1}(P), v_{1,1}(Q)), \text{ where } (P, Q) \text{ is an irreducible pair}\}.$$

*We have  $B > 14$ .*

*Proof.* Let  $(P, Q)$  be an irreducible pair such that  $B = \gcd(v_{1,1}(P), v_{1,1}(Q))$ . Let  $(P_0, Q_0)$  and  $(\rho, \sigma)$  be as in [3, Prop. 6.2]. Thus,  $(P_0, Q_0)$  is an irreducible pair,  $\sigma < 0$  and  $(\rho, \sigma) \in \text{Val}(P_0) \cap \text{Val}(Q_0)$ . Hence, by [3, Prop. 6.3 (1)], there exists  $\lambda_{P_0}, \lambda_{Q_0} \in K^\times$ , a  $(\rho, \sigma)$ -homogeneous element  $R \in L \setminus \{0\}$ , and  $m, n \in \mathbb{N}$ , with  $m, n > 1$  and  $\gcd(m, n) = 1$ , such that

$$\ell_{\rho, \sigma}(P_0) = \lambda_{P_0} R^m, \quad \ell_{\rho, \sigma}(Q_0) = \lambda_{Q_0} R^n \quad (5.13)$$

and

$$\frac{m}{n} = \frac{v_{\rho, \sigma}(P_0)}{v_{\rho, \sigma}(Q_0)} = \frac{v_{1,1}(P_0)}{v_{1,1}(Q_0)}. \quad (5.14)$$

From the first equality in (5.13) and [3, Prop. 1.9 (5)], it follows that

$$\frac{1}{m} \text{en}_{\rho, \sigma}(P_0) = \text{en}_{\rho, \sigma}(R),$$

while, equality (5.14) implies that

$$\gcd(v_{1,1}(P_0), v_{1,1}(Q_0)) = \frac{1}{m} v_{1,1}(P_0).$$

On the other hand, by [3, Prop. 6.2 (2)],

$$v_{1,1}(P_0) = v_{1,1}(P) \quad \text{and} \quad v_{1,1}(Q_0) = v_{1,1}(Q), \quad (5.15)$$

and so

$$B = \gcd(v_{1,1}(P_0), v_{1,1}(Q_0)) = \frac{1}{m} v_{1,1}(P_0) \geq v_{1,1}(\text{en}_{\rho, \sigma}(R)) = r + s,$$

where  $(r, s) := \text{en}_{\rho, \sigma}(R)$ . Since, by [3, Prop. 6.2 (g)], we have  $r < s$ , it follows from [3, Prop. 6.3 (3) and (5)], that if  $r + s \leq 14$ , then

$$(r, s) \in \{(3, 9), (4, 8), (6, 8), (4, 10), (3, 6), (4, 6)\}. \quad (5.16)$$

Furthermore, by conditions (5.2) and (5.3) there exist a  $(\rho, \sigma)$ -homogeneous element  $F \in W \setminus \{0\}$ , such that

$$\begin{aligned} [F, P_0]_{\rho, \sigma} &= \ell_{\rho, \sigma}(P_0), & v_{\rho, \sigma}(F) &= \rho + \sigma, \\ \text{en}_{\rho, \sigma}(F) &\neq (1, 1), & \text{en}_{\rho, \sigma}(F) &= \mu \text{en}_{\rho, \sigma}(R) \quad \text{with } 0 < \mu < 1. \end{aligned}$$

Write  $(r', s') := \text{en}_{\rho, \sigma}(F)$ , so that

$$(r', s') = \mu(r, s). \quad (5.17)$$

Since

$$\rho r' + \sigma s' = v_{\rho, \sigma}(F) = \rho + \sigma,$$

we have

$$\rho(r' - 1) = -\sigma(s' - 1), \quad (5.18)$$

which determines  $(\rho, \sigma)$  as a function of  $(r', s')$  because  $\gcd(\rho, \sigma) = 1$ ,  $\sigma < 0$  and  $(r', s') \neq (1, 1)$ . Finally, since  $(\rho, \sigma) \in \text{Val}(P)$ , we know that  $R$  is not a monomial. Hence there exists  $\gamma \in \mathbb{N}$ , such that

$$\text{st}_{\rho, \sigma}(R) = (r + \gamma\sigma, s - \gamma\rho) \in \mathbb{N}_0 \times \mathbb{N}_0. \quad (5.19)$$

Now we will analyze each of the possibilities for  $(r, s)$  in (5.16) and see that none of them can hold. First of all we note that cases  $(r, s) = (3, 6)$  and  $(r, s) = (4, 6)$  are covered by Proposition 5.1.

**$(r, s) = (3, 9)$ .** By (5.17) and [3, Prop. 6.3 (4)], necessarily

$$(r', s') := \text{en}_{\rho, \sigma}(F) = (2, 6).$$

Hence, by (5.18) and (5.19),

$$(\rho, \sigma) = (5, -1) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) = (2, 4),$$

which contradicts [3, Prop. 6.3 (6)].

$(\mathbf{r}, \mathbf{s}) = (\mathbf{4}, \mathbf{8})$ . By (5.17) and [3, Prop. 6.3 (4)], necessarily

$$(r', s') := \text{en}_{\rho, \sigma}(F) \in \{(2, 4), (3, 6)\}.$$

If  $(r', s') = (2, 4)$ , then by (5.18) and (5.19),

$$(\rho, \sigma) = (3, -1) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) \in \{(3, 5), (2, 2)\}$$

and if  $(r', s') = (3, 6)$ , then again by (5.18) and (5.19),

$$(\rho, \sigma) = (5, -2) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) = (2, 3).$$

Both cases are impossible by [3, Prop. 6.3 (6)].

$(\mathbf{r}, \mathbf{s}) = (\mathbf{6}, \mathbf{8})$ . By (5.17) and [3, Prop. 6.3 (4)], necessarily

$$(r', s') := \text{en}_{\rho, \sigma}(F) = (3, 4).$$

Hence, by (5.18) and (5.19),

$$(\rho, \sigma) = (3, -2) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) \in \{(4, 5), (2, 2)\},$$

which also contradicts [3, Prop. 6.3 (6)].

$(\mathbf{r}, \mathbf{s}) = (\mathbf{4}, \mathbf{10})$ . By (5.17) and [3, Prop. 6.3 (4)], necessarily

$$(r', s') := \text{en}_{\rho, \sigma}(F) = (2, 5).$$

Hence, by (5.18) and (5.19),

$$(\rho, \sigma) = (4, -1) \quad \text{and} \quad \text{st}_{\rho, \sigma}(R) \in \{(3, 6), (2, 2)\}.$$

Again by [3, Prop. 6.3 (6)], the case  $\text{st}_{4, -1}(R) = (2, 2)$  is impossible. So, we can assume that  $\text{st}_{4, -1}(R) = (3, 6)$ . As above of [3, Lemma 2.4], let

$$\text{Valinf}_{4, -1}(P_0) := \{\text{val}((i, j) - \text{st}) : (i, j) \in \text{Supp}(P_0) \text{ and } v_{1, -1}(i, j) > v_{1, -1}(\text{st})\},$$

where  $\text{st} := \text{st}_{4, -1}(P_0)$ . Since, by [3, Prop. 1.9 (4) and Prop. 3.6], and the first equality in (5.13)

$$v_{1, -1}(\text{st}_{4, -1}(P_0)) = v_{1, -1}(\text{st}_{4, -1}(R)) = -3m < 0 \quad \text{and} \quad v_{1, -1}(P_0) > 0,$$

we have  $\text{Valinf}_{4, -1}(P_0) \neq \emptyset$ . Let

$$(\rho_1, \sigma_1) := \text{Pred}_{4, -1}(P_0) := \max(\text{Valinf}_{4, -1}(P_0)).$$

By [3, Lemma 2.7 (2)], we know that

$$(\rho_1, \sigma_1) \in \text{Val}(P_0) \quad \text{and} \quad \text{en}_{\rho_1, \sigma_1}(P_0) = \text{st}_{4, -1}(P_0). \quad (5.20)$$

By (5.1) and (5.4) there exists  $\lambda'_{P_0}, \lambda'_{Q_0} \in K^\times$ , a  $(\rho_1, \sigma_1)$ -homogeneous element  $R_1 \in L \setminus \{0\}$ , and  $m_1, n_1 \in \mathbb{N}$ , with  $m_1, n_1 > 1$ ,  $\gcd(m_1, n_1) = 1$ , such that

$$\ell_{\rho_1, \sigma_1}(P_0) = \lambda'_{P_0} R_1^{m_1} \quad \text{and} \quad \ell_{\rho_1, \sigma_1}(Q_0) = \lambda'_{Q_0} R_1^{n_1}. \quad (5.21)$$

and

$$\frac{m_1}{n_1} = \frac{v_{\rho_1, \sigma_1}(P_0)}{v_{\rho_1, \sigma_1}(Q_0)} = \frac{v_{1, 1}(P_0)}{v_{1, 1}(Q_0)}. \quad (5.22)$$

Combining the last equality with (5.14), we obtain that  $m_1/n_1 = m/n$ , which implies  $m_1 = m$  and  $n_1 = n$ , since  $\gcd(m_1, n_1) = 1 = \gcd(m, n)$ . Consequently, by the equality in (5.20), [3, Prop. 1.9 (4) and (5)], and the first equalities in (5.13) and (5.21),

$$\text{en}_{\rho_1, \sigma_1}(R_1) = \frac{1}{m} \text{en}_{\rho_1, \sigma_1}(P_0) = \frac{1}{m} \text{st}_{4, -1}(P_0) = \text{st}_{4, -1}(R_0) = (3, 6),$$

which is impossible by Proposition 5.1.  $\square$

## 6 Compatible complete chains

In this last section we construct a sequence of pairs  $(P_j, Q_j)$  in  $W^{(l_j)}$  using Proposition 4.3, and prove that the sequence is finite. Associated with this sequence are certain triples  $A_j = (A_j, (\rho_j, \sigma_j), l_j)$ , with  $A_j \in \frac{1}{l_j}\mathbb{Z} \times \mathbb{N}$ , which form a compatible complete chain of corners of the support of the last pair.

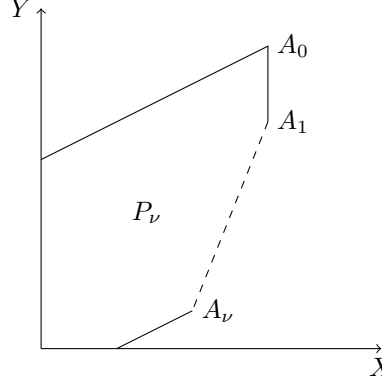


FIGURE 3. A complete chain  $A_0, \dots, A_\nu$

The smallest of such compatible complete chains, i.e., with  $v_{1,1}(A_0)$  minimal, will give a lower bound for  $B$ , improving Proposition 5.2. Until now, the smallest compatible chain found starts at  $A_0 = (9, 21)$ .

Let  $(P, Q)$  be an irreducible pair in  $W$ . By [3, Prop. 3.6 and 3.8] there exist  $m, n \in \mathbb{N}$ , such that  $m, n > 1$ ,  $\gcd(m, n) = 1$  and  $\frac{v_{1,1}(P)}{v_{1,1}(Q)} = \frac{m}{n}$ .

**Theorem 6.1.** *There exist  $\nu \in \mathbb{N}$ ,  $\psi \in \text{Aut}(W)$  and families*

$$((P_j, Q_j), (\rho_j, \sigma_j), l_j)_{0 \leq j \leq \nu} \quad \text{and} \quad (\varphi_j)_{1 \leq j \leq \nu}, \quad (6.1)$$

with  $P_0 = \psi(P)$ ,  $Q_0 = \psi(Q)$ ,  $l_0 = 1$ , such that

$$(1, 0) > (\rho_0, \sigma_0), \quad v_{1,1}(P_0) = v_{1,1}(P), \quad v_{1,1}(Q_0) = v_{1,1}(Q), \quad (6.2)$$

and

$$\begin{aligned} l_j &= \text{lcm}(l_{j-1}, \rho_{j-1}), & P_j, Q_j &\in W^{(l_j)}, & (\rho_j, \sigma_j) &\in \mathfrak{V}, \\ \varphi_j &\in \text{Aut}(W^{(l_j)}), & P_j &= \varphi_j(P_{j-1}), & Q_j &= \varphi_j(Q_{j-1}), \end{aligned} \quad (6.3)$$

for all  $j \geq 1$ . Furthermore they fulfill:

- (1)  $[Q_j, P_j] = 1$  for all  $j$ ,
- (2)  $v_{1,-1}(\text{en}_{\rho_j, \sigma_j}(P_j)) < 0$  and  $v_{1,-1}(\text{en}_{\rho_j, \sigma_j}(Q_j)) < 0$  for all  $j$ ,
- (3)  $(\rho_j, \sigma_j) \in \text{Val}(P_j) \cap \text{Val}(Q_j)$  for all  $j \geq 0$ ,
- (4)  $v_{\rho_j, \sigma_j}(P_j) > 0$  and  $v_{\rho_j, \sigma_j}(Q_j) > 0$  for all  $j$ ,
- (5)  $\frac{v_{\rho_j, \sigma_j}(P_j)}{v_{\rho_j, \sigma_j}(Q_j)} = \frac{m}{n}$  for all  $j$ ,
- (6)  $\text{en}_{\rho_j, \sigma_j}(P_j) = \frac{m}{n} \text{en}_{\rho_j, \sigma_j}(Q_j)$  for all  $j$ ,
- (7)  $(\rho_{j-1}, \sigma_{j-1}) > (\rho_j, \sigma_j)$  for  $j = 1, \dots, \nu$ ,
- (8) The equalities

$$v_{\rho_{j-1}, \sigma_{j-1}}(P_j) = v_{\rho_{j-1}, \sigma_{j-1}}(P_{j-1}) \quad \text{and} \quad v_{\rho_{j-1}, \sigma_{j-1}}(Q_j) = v_{\rho_{j-1}, \sigma_{j-1}}(Q_{j-1})$$

hold for  $j = 1, \dots, \nu$ ,

(9) *The equalities*

$$\ell_{\rho'', \sigma''}(P_j) = \ell_{\rho'', \sigma''}(P_{j-1}) \quad \text{and} \quad \ell_{\rho'', \sigma''}(Q_j) = \ell_{\rho'', \sigma''}(Q_{j-1})$$

hold for  $j = 1, \dots, \nu$  and  $(\rho_{j-1}, \sigma_{j-1}) < (\rho'', \sigma'') < (-1, 1)$ ,

(10)  $\text{en}_{\rho_j, \sigma_j}(P_j) = \text{st}_{\rho_{j-1}, \sigma_{j-1}}(P_j)$  for  $j = 1, \dots, \nu$ ,

(11) For each  $j = 1, \dots, \nu$  there exists a  $(\rho_{j-1}, \sigma_{j-1})$ -homogeneous element  $F_{j-1}$  in  $W^{(l_{j-1})}$ , which is not a monomial, such that

$$v_{\rho_{j-1}, \sigma_{j-1}}(F_{j-1}) = \rho_{j-1} + \sigma_{j-1}$$

and

$$[P_{j-1}, F_{j-1}]_{\rho_{j-1}, \sigma_{j-1}} = \ell_{\rho_{j-1}, \sigma_{j-1}}(P_{j-1}).$$

Furthermore,

$$\text{en}_{\rho_{j-1}, \sigma_{j-1}}(F_{j-1}) = (1, 1) \implies \text{st}_{\rho_{j-1}, \sigma_{j-1}}(P_j) = \text{en}_{\rho_{j-1}, \sigma_{j-1}}(P_{j-1}),$$

(12)  $[Q_j, P_j]_{\rho_j, \sigma_j} = 0$  for  $j = 0, \dots, \nu - 1$ ,

(13)  $[Q_\nu, P_\nu]_{\rho_\nu, \sigma_\nu} = 1$ .

For the sake of simplicity in the sequel we will write  $T_j := ((P_j, Q_j), (\rho_j, \sigma_j), l_j)$ .

*Proof.* Let  $\psi \in \text{Aut}(W)$  and  $(P_0, Q_0)$  be as in [3, Prop. 6.2]. Set

$$\rho_0 := \rho, \quad \sigma_0 := \sigma, \quad \text{and} \quad l_0 := 1.$$

The assertions in conditions (6.2) follow from [3, Prop. 6.2 (2) and (a)]. Furthermore, items (b)–(g) of [3, Prop. 6.2] imply items (1)–(5) and (12) for  $T_0$ . Finally item (6) is consequence of item (5) and [3, Rem. 3.11] (Note that items (7)–(11) and (13) only make sense for  $j > 0$ ).

Assume that we have  $T_0, \dots, T_{j_0}$  and  $\varphi_1, \dots, \varphi_{j_0}$  such that conditions (6.3) and items (1)–(12) are fulfilled for  $j < j_0$ , and that conditions (6.3) and items (1)–(11) are fulfilled for  $T_{j_0}$  and  $\varphi_{j_0}$ . If  $[Q_{j_0}, P_{j_0}]_{\rho_{j_0}, \sigma_{j_0}} \neq 0$ , then we set  $\nu := j_0$ . Clearly, since  $[Q_\nu, P_\nu] = 1$ , item (13) is true. If  $[Q_{j_0}, P_{j_0}]_{\rho_{j_0}, \sigma_{j_0}} = 0$ , then  $(P_{j_0}, Q_{j_0})$  and  $(\rho_{j_0}, \sigma_{j_0})$  fulfill the conditions required to  $(P, Q)$  and  $(\rho, \sigma)$  in the hypothesis of Proposition 4.3 with  $l := l_{j_0}$ . Applying that proposition we obtain

- $(\rho', \sigma') \in \mathfrak{V}$ ,
- a  $(\rho, \sigma)$ -homogeneous element  $F$  of  $W^{(l)}$ ,
- $\varphi \in \text{Aut}(W^{(l')})$ , such that

$$\varphi(X^{\frac{1}{l'}}) = X^{\frac{1}{l'}} \quad \text{and} \quad \varphi(Y) = Y + \lambda X^{\frac{\sigma}{\rho}},$$

in which  $l' := \text{lcm}(\rho_{j_0}, l)$  and  $\lambda \in K$  is any element such that the multiplicity of  $x - \lambda$  in  $x^{s_{j_0}} f_{P_{j_0}, \rho_{j_0}, \sigma_{j_0}}^{(l)}(x)$  is maximum, where  $s_{j_0}$  is the second coordinate of  $\text{st}_{\rho_{j_0}, \sigma_{j_0}}(P_{j_0})$ .

which enjoy the properties established there, in items (1)–(11). We set

$$l_{j_0+1} := l', \quad \varphi_{j_0+1} := \varphi, \quad P_{j_0+1} := \varphi_{j_0+1}(P_{j_0}) \quad \text{and} \quad Q_{j_0+1} := \varphi_{j_0+1}(Q_{j_0}).$$

Now it is clear that items (1)–(11) are fulfilled for  $j = j_0 + 1$ .

Next we will prove that this process is finite. By item (8) of Proposition 4.3 we know that

$$v_{0,1}(\text{en}_{\rho_{j+1}, \sigma_{j+1}}(P_{j+1})) \leq v_{0,1}(\text{en}_{\rho_j, \sigma_j}(P_j)).$$

Hence, since  $v_{0,1}(\text{en}_{\rho_j, \sigma_j}(P_j)) \in \mathbb{N}_0$  for all  $j$ , it suffices to prove that for each  $j$  there are only finitely many  $k \geq 0$  such that

$$v_{0,1}(\text{en}_{\rho_{j+k}, \sigma_{j+k}}(P_{j+k})) = v_{0,1}(\text{en}_{\rho_j, \sigma_j}(P_j)). \quad (6.4)$$



We claim that if (6.4) is fulfilled for  $k = 1$  and  $j$ , then  $\rho_j | l_j$ , and therefore  $l_{j+1} = l_j$ . In fact, again by item (8) of Proposition 4.3, in this case

$$\text{en}_{\rho_j, \sigma_j}(P_j) + \left( \frac{\sigma_j}{\rho_j}, -1 \right) \in \text{Supp}(P_j) \subseteq \frac{1}{l_j} \mathbb{Z} \times \mathbb{Z}.$$

Since  $\text{en}_{\rho_j, \sigma_j}(P_j) \in \frac{1}{l_j} \mathbb{Z} \times \mathbb{Z}$ , we obtain that  $(\sigma_j / \rho_j, -1) \in \frac{1}{l_j} \mathbb{Z} \times \mathbb{Z}$ , i.e.,

$$\frac{\sigma_j}{\rho_j} = \frac{h}{l_j},$$

for some  $h \in \mathbb{Z}$ . But then  $\rho_j | \sigma_j l_j$ , and so  $\rho_j | l_j$ , since  $\gcd(\rho_j, \sigma_j) = 1$ . This proves the claim.

Now, If (6.4) is fulfilled for  $k = 0, \dots, k_0$ , then  $\rho_{j+k} | l_j$  for  $k = 0, \dots, k_0$ . So there are only finitely many  $\rho_{j+k}$  possible. But  $0 < -\sigma_{j+k} < \rho_{j+k}$ , so there are only finitely many  $(\rho_{j+k}, \sigma_{j+k})$  possible. Since  $(\rho_{j+k+1}, \sigma_{j+k+1}) < (\rho_{j+k}, \sigma_{j+k})$ , we have proved that for each  $j$  there are only finitely many  $k \geq 0$  such that (6.4) is fulfilled, which concludes the proof of the theorem.  $\square$

To each triple  $T_j$  as in Theorem 6.1 we associate the triple  $S_j := (A_j, (\rho_j, \sigma_j), l_j)$ , where  $A_j := \frac{1}{m} \text{en}_{\rho_j, \sigma_j}(P_j)$ .

**Proposition 6.2.** *Let  $(S_j)_{j=0, \dots, \nu}$  be a family associated with the irreducible pair  $(P, Q)$ , according to Theorem 6.1. The following facts hold:*

- (1)  $l_0 = 1$  and  $l_j = \text{lcm}(\rho_{j-1}, l_{j-1})$  for  $j = 1, \dots, \nu$ ,
- (2)  $A_j \in \frac{1}{l_j} \mathbb{N} \times \mathbb{N}$  for all  $j$ ,
- (3)  $v_{1,-1}(A_j) < 0$  and  $v_{\rho_j, \sigma_j}(A_j) > 0$  for all  $j$ ,
- (4)  $(1, 0) > (\rho_0, \sigma_0)$  and  $(\rho_{j-1}, \sigma_{j-1}) > (\rho_j, \sigma_j)$  for  $j = 1, \dots, \nu$ .
- (5)  $v_{\rho_{j-1}, \sigma_{j-1}}(A_j) = v_{\rho_{j-1}, \sigma_{j-1}}(A_{j-1})$  for  $j = 1, \dots, \nu$ .
- (6) For all  $j$ , there exist  $A'_j \in \frac{1}{l_j} \mathbb{N} \times \mathbb{N}$  such that

$$v_{\rho_j, \sigma_j}(A'_j) = v_{\rho_j, \sigma_j}(A_j) \quad \text{and} \quad v_{1,-1}(A'_j) > v_{1,-1}(A_j).$$

- (7) If  $A_j \neq A_{j+1}$ , then  $\frac{\rho_j + \sigma_j}{v_{\rho_j, \sigma_j}(A_j)} A_j \in \frac{1}{l_j} \mathbb{N} \times \mathbb{N}$ .

- (8)  $v_{\rho_\nu, \sigma_\nu}(A_\nu) = \frac{\rho_\nu + \sigma_\nu}{n+m}$ .

*Proof.* Item (1) is true by the unnumbered conclusions in Theorem 6.1, item (3) by items (2) and (4) of Theorem 6.1, and item (4) by item (7) of the same theorem and the first condition in (6.2). We now prove item (2). By the definition of  $A_j$  and item (6) of Theorem 6.1,

$$mA_j = \text{en}_{\rho_j, \sigma_j}(P_j) \in \frac{1}{l_j} \mathbb{Z} \times \mathbb{N}_0 \quad \text{and} \quad nA_j = \text{en}_{\rho_j, \sigma_j}(Q_j) \in \frac{1}{l_j} \mathbb{Z} \times \mathbb{N}_0.$$

Since  $\gcd(m, n) = 1$  this implies that  $A_j \in \frac{1}{l_j} \mathbb{Z} \times \mathbb{N}_0$ . Write  $A_j = (c, d)$ . It remains to see that  $c, d > 0$ . But this follows from the fact that, by item (3)

$$c < d \quad \text{and} \quad \rho_j c > -\sigma_j d.$$

In fact, since  $\rho_j > -\sigma_j > 0$ , from the second inequality it follows that if  $c \leq 0$ , then  $d < 0$ , which is impossible since  $d \in \mathbb{N}_0$ . Consequently  $c > 0$ , which, for the first inequality, implies that  $d > 0$ .

Item (5) follows immediately from the fact that, by items (8) and (10) of Theorem 6.1

$$v_{\rho_{j-1}, \sigma_{j-1}}(P_{j-1}) = v_{\rho_{j-1}, \sigma_{j-1}}(P_j) = v_{\rho_{j-1}, \sigma_{j-1}}(\text{en}_{\rho_j, \sigma_j}(P_j)).$$

By item (3) of Theorem 6.1, to prove item (6) it suffices to take  $A'_j := \frac{1}{m} \text{st}_{\rho_j, \sigma_j}(P_j)$ . Now, let us note that

$$v_{\rho_\nu, \sigma_\nu}(P_\nu) + v_{\rho_\nu, \sigma_\nu}(Q_\nu) - (\rho_\nu + \sigma_\nu) = v_{\rho_\nu, \sigma_\nu}(1) = 0,$$

because  $[Q_\nu, P_\nu]_{\rho_\nu, \sigma_\nu} = 1$ . Hence, since

$$mv_{\rho_\nu, \sigma_\nu}(A_\nu) = v_{\rho_\nu, \sigma_\nu}(P_\nu)$$

and, by item (5) of Theorem 6.1, we have

$$nv_{\rho_\nu, \sigma_\nu}(A_\nu) = v_{\rho_\nu, \sigma_\nu}(Q_\nu),$$

item (8) is true. It remains to prove item (7). Let  $F_j \in W^{(l_j)}$  be as in item (11) of Theorem 6.1. If  $\text{en}_{\rho_j, \sigma_j}(F_j) = (1, 1)$ , then items (10) and (11) of Theorem 6.1 yield

$$\text{en}_{\rho_{j+1}, \sigma_{j+1}}(P_{j+1}) = \text{en}_{\rho_j, \sigma_j}(P_j),$$

and so  $A_j = A_{j+1}$ . Thus we can assume  $\text{en}_{\rho_j, \sigma_j}(F_j) \sim \text{en}_{\rho_j, \sigma_j}(P_j)$ . But then, there exists  $\lambda \in \mathbb{Q}$  such that

$$\lambda A_j = \text{en}_{\rho_j, \sigma_j}(F_j) \in \frac{1}{l_j} \mathbb{Z} \times \mathbb{N}_0,$$

and applying  $v_{\rho_j, \sigma_j}$  we obtain

$$\rho_j + \sigma_j = v_{\rho_j, \sigma_j}(F_j) = \lambda v_{\rho_j, \sigma_j}(A_j).$$

So,

$$\lambda = \frac{\rho_j + \sigma_j}{v_{\rho_j, \sigma_j}(A_j)}.$$

Write  $\lambda A_j = (c, d)$ . In order to finish the proof we must check that  $c, d > 0$ . But, this follows immediately from the fact that  $A_j \in \frac{1}{l_j} \mathbb{N} \times \mathbb{N}$  by item (2), and  $\lambda > 0$  by item (3).  $\square$

*Remark 6.3.* Assume that  $(S_j)_{j=0, \dots, \nu}$  is a family that satisfies Conditions (1)–(7) of Proposition 6.2. Then in order that Condition (8) be also fulfilled it must be

$$m + n = \frac{\rho_\nu + \sigma_\nu}{v_{\rho_\nu, \sigma_\nu}(A_\nu)}.$$

Note that, there is only a finite number of pairs  $(m, n)$  satisfying this equality, such that  $m, n > 1$  and  $\gcd(m, n) = 1$ .

**Example 6.4.** We next give some examples of families  $(S_j)_{j=0,1,2}$  which fulfill items (1)–(8) of Proposition 6.2.

(1) The first family  $(S_j)_{j=0,1,2}$  is

$$S_0 = ((9, 21), (3, -1), 1),$$

$$S_1 = ((13/3, 7), (5, -3), 3),$$

$$S_2 = ((11/15, 1), (3, -2), 15).$$

By Remark 6.3, we know that  $n + m = 5$ . Consequently  $(m, n) = (2, 3)$  or  $(m, n) = (3, 2)$ . Assume that the family  $(S_j)_{j=0,1,2}$  is constructed from a irreducible pair  $(P, Q)$ , according to Proposition 6.2. If  $(m, n) = (2, 3)$ , then by the definition of  $A_0$  and item (6) of Theorem 6.1,

$$\text{en}_{3,-1}(P_0) = (18, 42) \quad \text{and} \quad \text{en}_{3,-1}(Q_0) = (27, 63).$$

Similarly, if  $(m, n) = (3, 2)$ , then

$$\text{en}_{3,-1}(P_0) = (27, 63) \quad \text{and} \quad \text{en}_{3,-1}(Q_0) = (18, 42).$$

- (2) The second family  $(S_j)_{j=0,1,2}$  is

$$\begin{aligned} S_0 &= ((6, 30), (6, -1), 1), \\ S_1 &= ((3/2, 3), (9, -4), 6), \\ S_2 &= ((11/18, 1), (9, -5), 18). \end{aligned}$$

By Remark 6.3, we know that  $n + m = 8$ . Consequently  $(m, n) = (3, 5)$  or  $(m, n) = (5, 3)$ . Assume that the family  $(S_j)_{j=0,1,2}$  is constructed from a irreducible pair  $(P, Q)$ , according to Proposition 6.2. If  $(m, n) = (3, 5)$ , then by the definition of  $A_0$  and item (6) of Theorem 6.1,

$$\text{en}_{6,-1}(P_0) = (18, 90) \quad \text{and} \quad \text{en}_{6,-1}(Q_0) = (30, 150).$$

- (3) The third family  $(S_j)_{j=0,1,2}$  is

$$\begin{aligned} S_0 &= ((9, 36), (9, -2), 1), \\ S_1 &= ((5/3, 3), (2, -1), 9), \\ S_2 &= ((2/3, 1), (18, -11), 18). \end{aligned}$$

By Remark 6.3, we know that  $n + m = 7$ . Consequently  $(m, n) = (2, 5)$ ,  $(m, n) = (3, 4)$ ,  $(m, n) = (4, 3)$  or  $(m, n) = (5, 2)$ . Assume that the family  $(S_j)_{j=0,1,2}$  is constructed from a irreducible pair  $(P, Q)$ , according to Proposition 6.2. If  $(m, n) = (2, 5)$ , then by the definition of  $A_0$  and item (6) of Theorem 6.1,

$$\text{en}_{9,-2}(P_0) = (18, 72) \quad \text{and} \quad \text{en}_{9,-2}(Q_0) = (35, 180).$$

Similarly, if  $(m, n) = (3, 4)$ , then

$$\text{en}_{9,-2}(P_0) = (27, 108) \quad \text{and} \quad \text{en}_{9,-2}(Q_0) = (36, 144).$$

- (4) The fourth family  $(S_j)_{j=0,1,2}$  is

$$\begin{aligned} S_0 &= ((14, 42), (4, -1), 1), \\ S_1 &= ((6, 10), (7, -4), 4), \\ S_2 &= ((6/7, 1), (28, -23), 28). \end{aligned}$$

By Remark 6.3, we know that  $n + m = 5$ . Consequently  $(m, n) = (2, 3)$  or  $(m, n) = (3, 2)$ . Assume that the family  $(S_j)_{j=0,1,2}$  is constructed from a irreducible pair  $(P, Q)$ , according to Proposition 6.2. If  $(m, n) = (2, 3)$ , then by the definition of  $A_0$  and item (6) of Theorem 6.1,

$$\text{en}_{4,-1}(P_0) = (28, 84) \quad \text{and} \quad \text{en}_{4,-1}(Q_0) = (42, 126).$$

- (5) The fifth family  $(S_j)_{j=0,1,2}$  is

$$\begin{aligned} S_0 &= ((17, 85), (17, -3), 1), \\ S_1 &= ((46/17, 4), (17, -11), 17), \\ S_2 &= ((13/17, 1), (17, -12), 17). \end{aligned}$$

By Remark 6.3, we know that  $n + m = 5$ . Consequently  $(m, n) = (2, 3)$  or  $(m, n) = (3, 2)$ . Assume that the family  $(S_j)_{j=0,1,2}$  is constructed from a irreducible pair  $(P, Q)$ , according to Proposition 6.2. If  $(m, n) = (2, 3)$ , then by the definition of  $A_0$  and item (6) of Theorem 6.1,

$$\text{en}_{17,-3}(P_0) = (34, 170) \quad \text{and} \quad \text{en}_{17,-3}(Q_0) = (51, 255).$$

*Remark 6.5.* After we set the first version of [3] on arXiv, Yucai Su draw our attention to [7], where some properties of so called Dixmier pairs are studied. In particular Theorem 5.2 of [7] states that a certain shape of Dixmier pairs can be achieved. Via automorphisms we have brought an irreducible pair into the shape of  $(P_\nu, Q_\nu)$ , this shape can be further be brought into the same shape stated in [7], by the automorphism of  $W^{(l)}$  given by

$$X^{1/l} \mapsto \left( \frac{\rho + \sigma}{\rho} \right)^{1/l} X^{\rho/(l(\rho+\sigma))} \quad \text{and} \quad Y \mapsto X^{1-\rho/(\rho+\sigma)} Y,$$

where  $\rho = \rho_\nu$ ,  $\sigma = \sigma_\nu$  and  $l = \text{lcm}(\rho_r + \sigma_r, l_r)$ .

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